



# AMS-02 on the International Space Station

January, 21<sup>st</sup>, 2015  
**Iris Gebauer for the AMS collaboration**

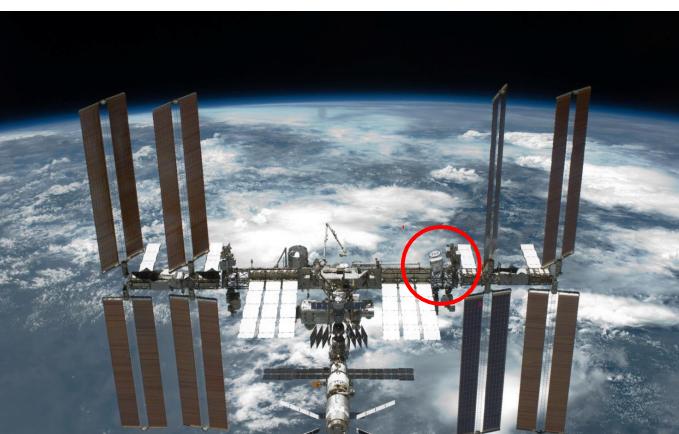
INSTITUT FÜR EXPERIMENTELLE KERNPHYSIK



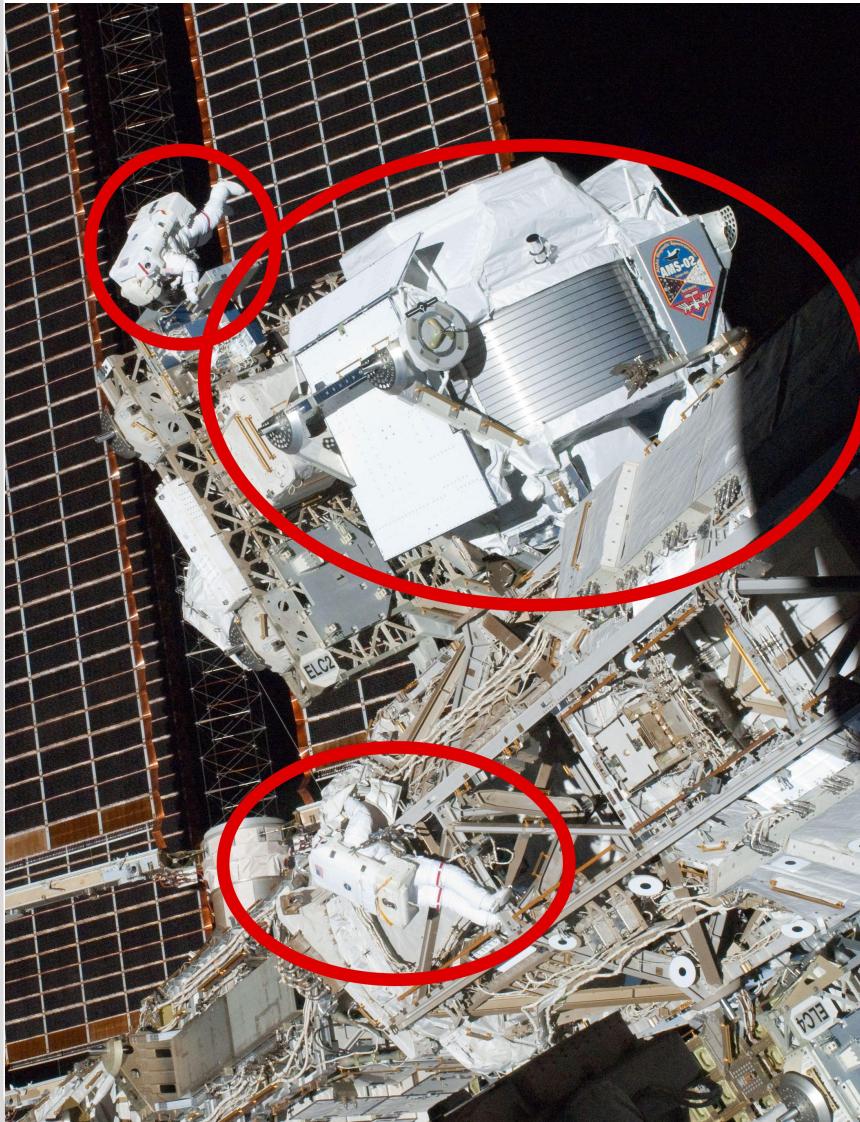
16th May 2011



19th May 2011

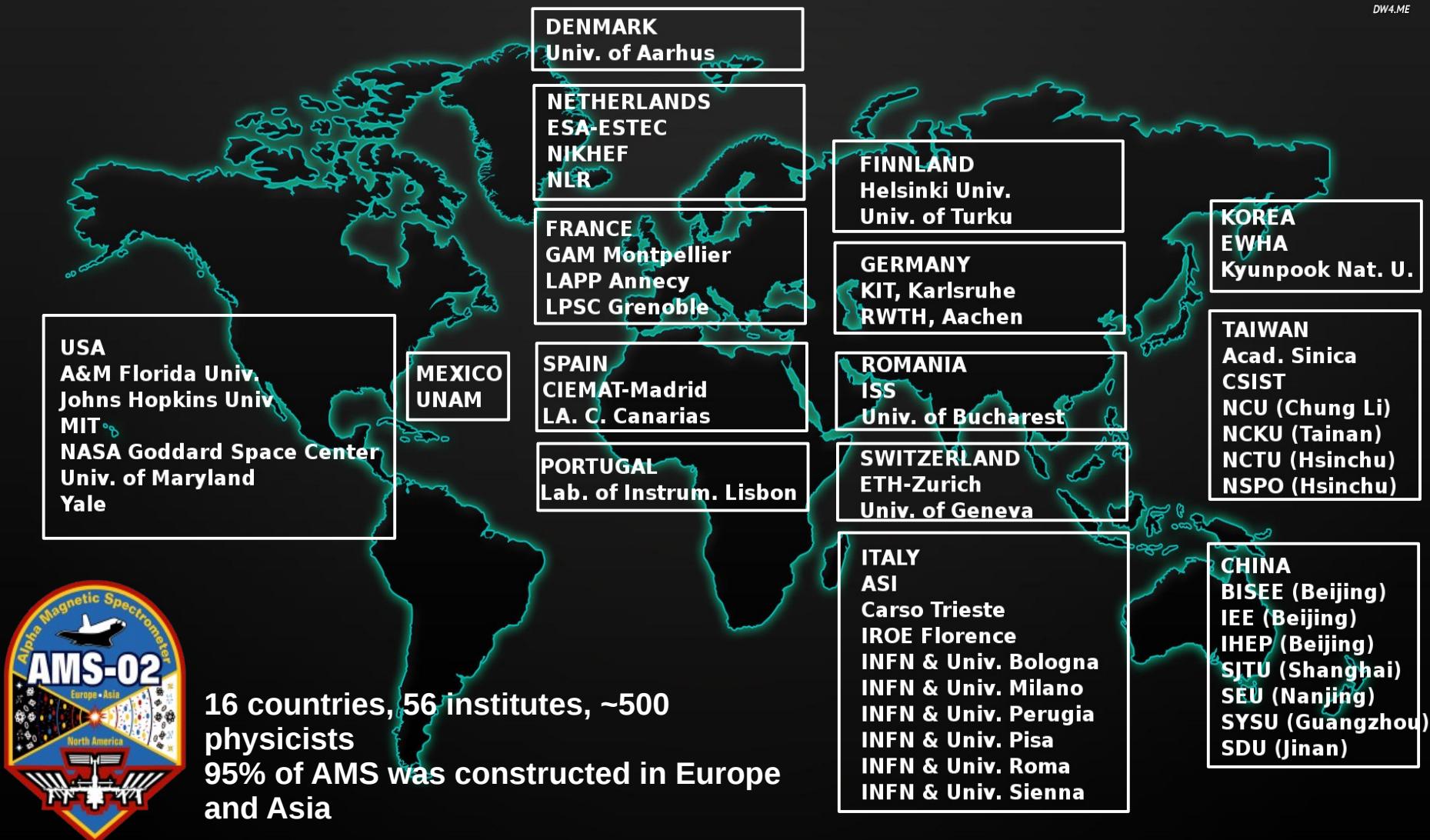


# AMS-02: THE ALPHA MAGNETIC SPECTROMETER 02



- **Volume** 64 m<sup>3</sup>, height 4 m
- **Weight** 8500 kg
- **Power** 2500 W
- **Data downlink** 9 Mbps (minimum)
- **Magnetic field** 0.15 T (400 x Earth, PAMELA: 0.4 T, but H=44.5 cm)
- **Launch** May 16th, 2011 (Endeavour)
- **Data taking** as of May 19th, 2011
- **Construction** 1999-2010 (>3 PhD generations)
- **Mission duration:** until the end of ISS operation (currently 2024)

# AMS-02 COLLABORATION



**Cosmic ray spectra up to TeV energies**

**Indirect Dark Matter search:  $e^+$ ,  $\bar{p}$ ,  $\chi$ , ...**

**Direct search for primordial antimatter:  $\bar{\text{He}}$ ,  $\bar{\text{C}}$ , ....**

**Solar physics effects over 11 years solar cycle**

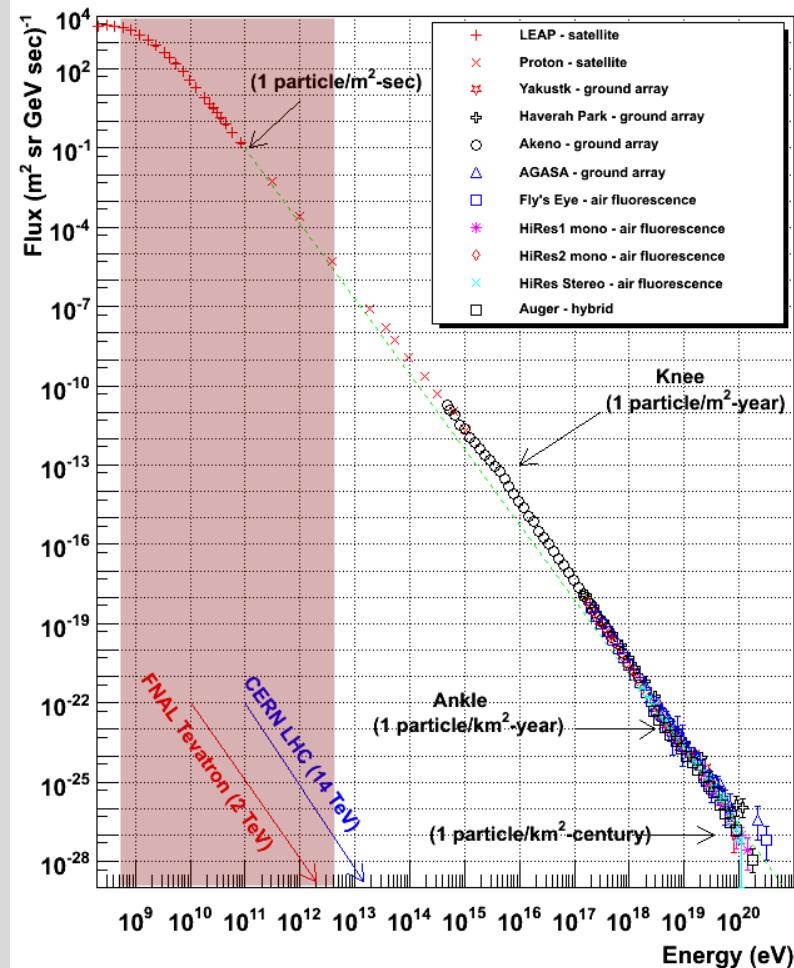
**Gamma ray physics (skymaps, photon spectra )**



**Currently ongoing analyses:**  
 $P$ ,  $\text{He}$ ,  $B/C$ ,  $\text{Be}/B$ ,  $C/O$ ....,  $\bar{p}/p$   
**Positron fraction,  $e^+$ ,  $e^-$  spectra**  
**Solar activity**

# CHARGED COSMIC RAYS (CRs)

Cosmic Ray Spectra of Various Experiments



Protons ~90% He ~10%, heavy nuclei (mainly C) ~1%, e<sup>-</sup> ~1%, traces of e<sup>+</sup>, anti-p, ...

Power law:

$$\Phi(E) dE \propto E^{-\gamma} dE$$

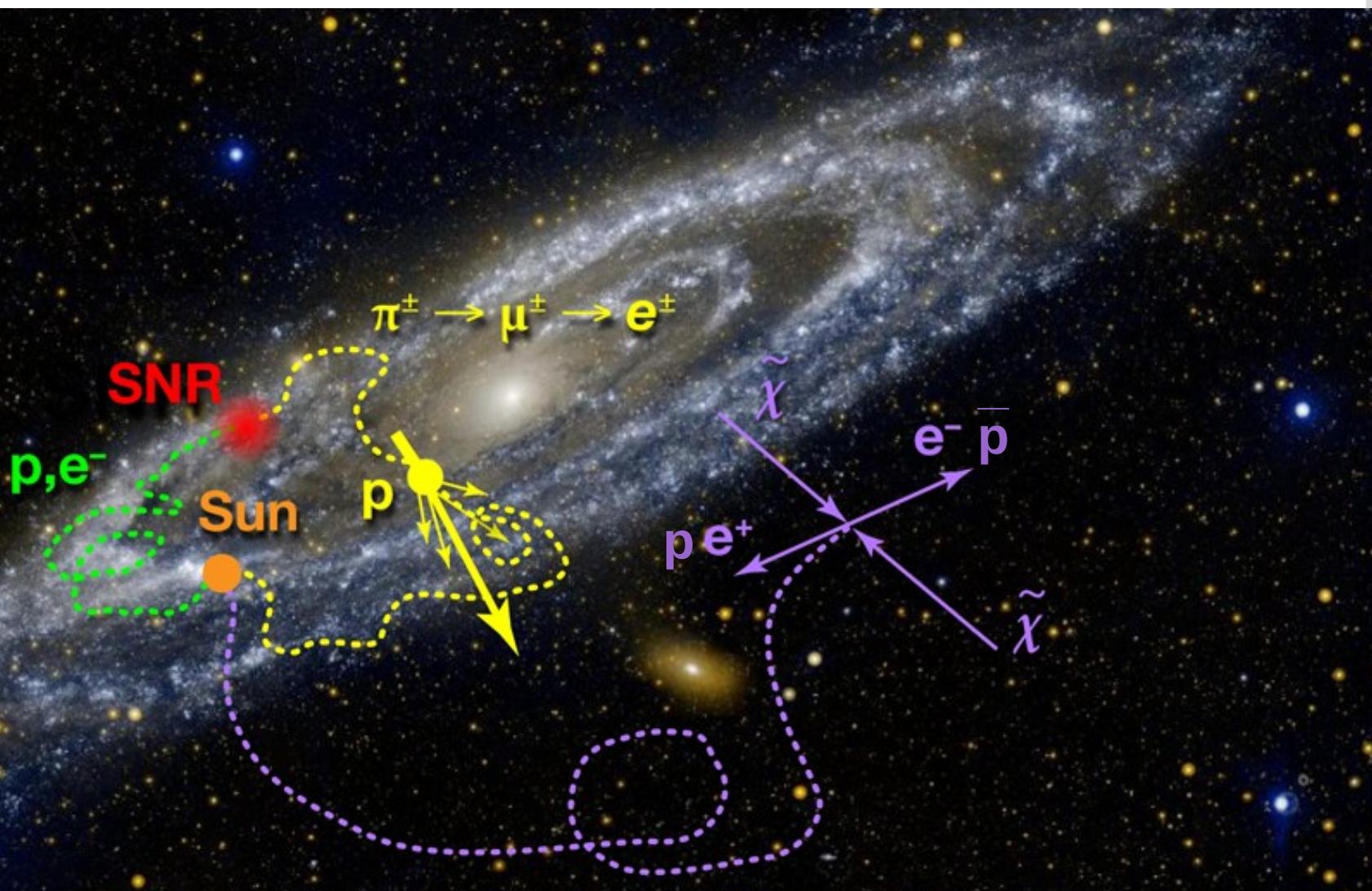
$\gamma \approx 2.6 - 2.7, E < 10^{15} \text{ eV}$   
 $\gamma \approx 3, E > 10^{15} \text{ eV}$

Low energies (<10 GeV): shape of flux is dominated by 'local' effects:

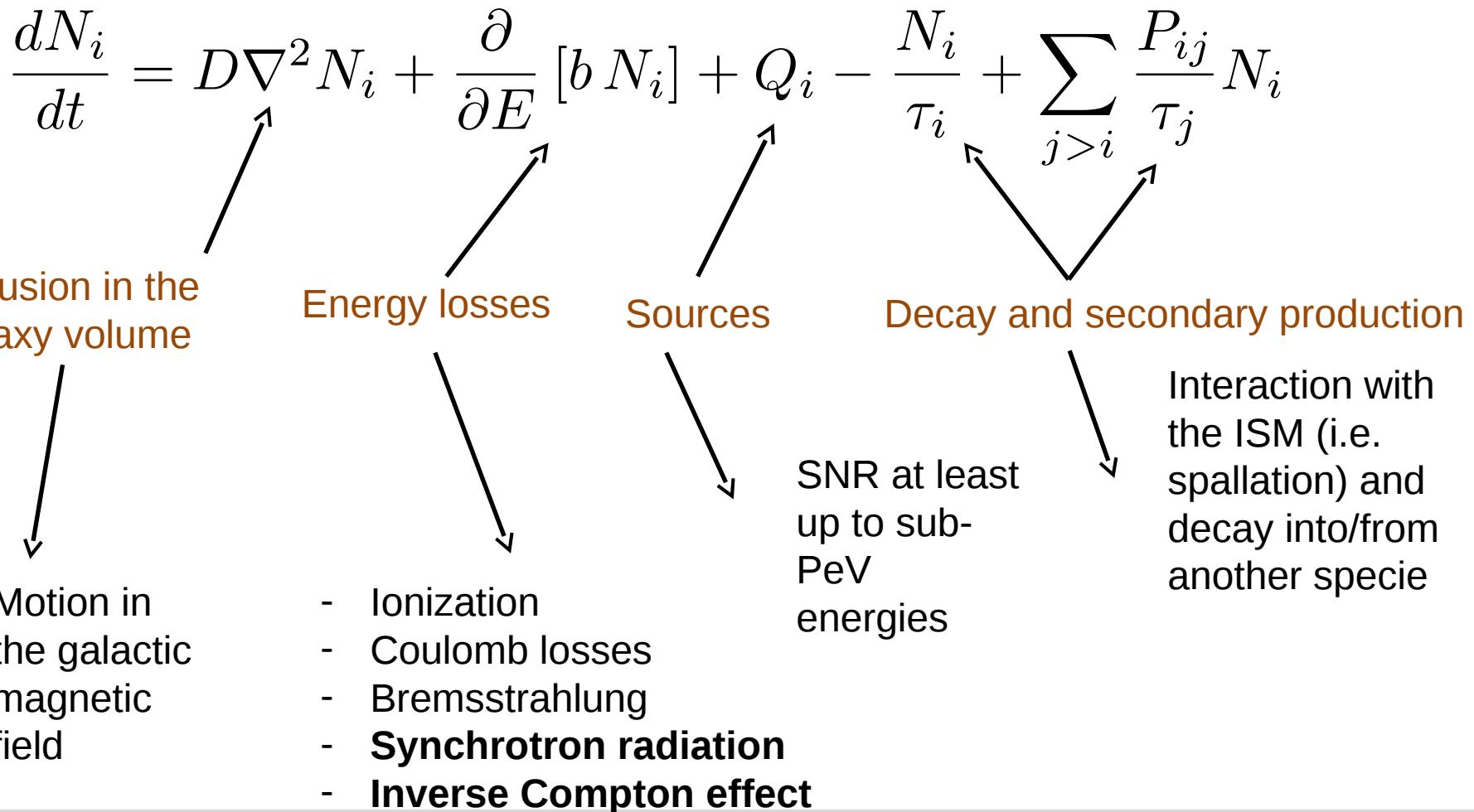
- solar wind
- magnetosphere

High energies (>10-20 GeV): shape reflects interstellar spectra → probe for galactic cosmic ray transport

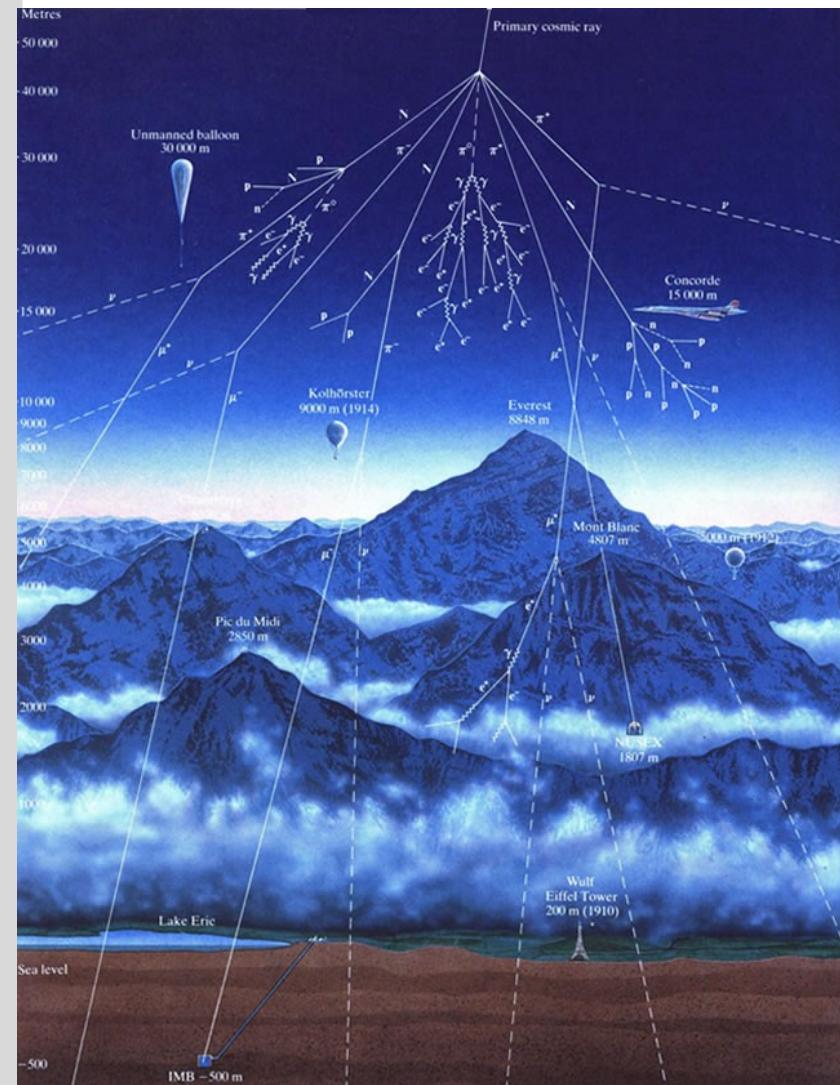
# GALACTIC COSMIC RAYS: SOURCE → US



Transport inside the galaxy:



# COSMIC RAY OBSERVATION LEVEL



Most of cosmic rays do not reach the ground due to interactions with the atmosphere



Let's go 'above' the atmosphere  
(at least above the troposphere, in the stratosphere, reachable via a balloon flight)



Particle physics in space  $E < 10^4$  GeV



Let's use the Earth's atmosphere as a calorimeter to indirectly measure primary particles



Particle physics on ground  $E > 10^4$  GeV

## OPERATING AMS ON THE ISS

## AMS-02-DETECTOR OVERVIEW

## NEW RESULTS FROM AMS

## SUMMARY

## OPERATING AMS-02 ON THE ISS



# OPERATING AMS-02 ON THE ISS

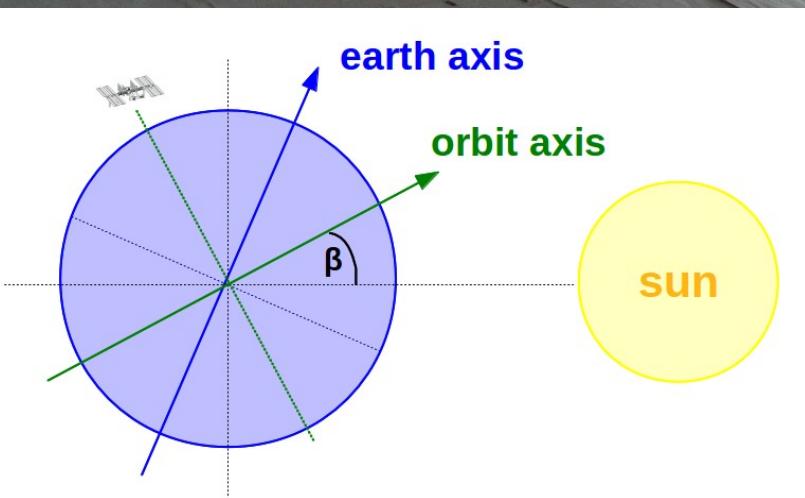
**One of the major challenges for an experiment onboard ISS is the extreme thermal environment to which it is exposed**



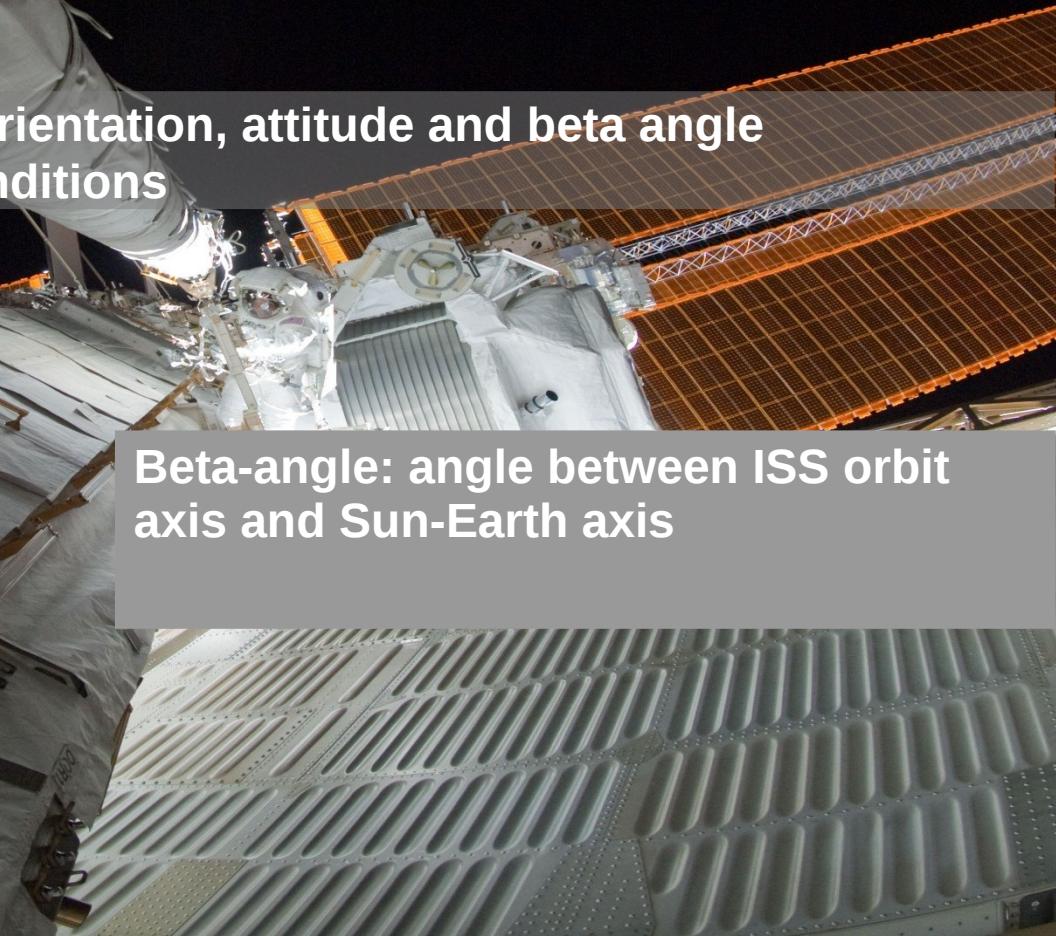
# OPERATING AMS-02 ON THE ISS

We have no control over the ISS orientation, attitude and beta angle  
-all of which affect the thermal conditions

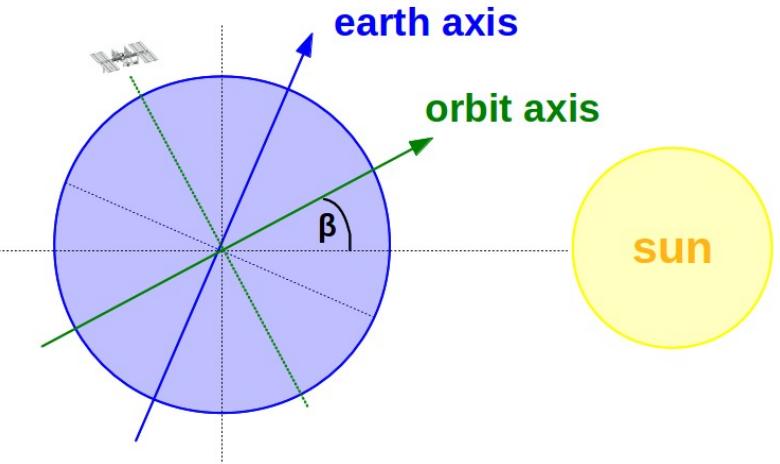
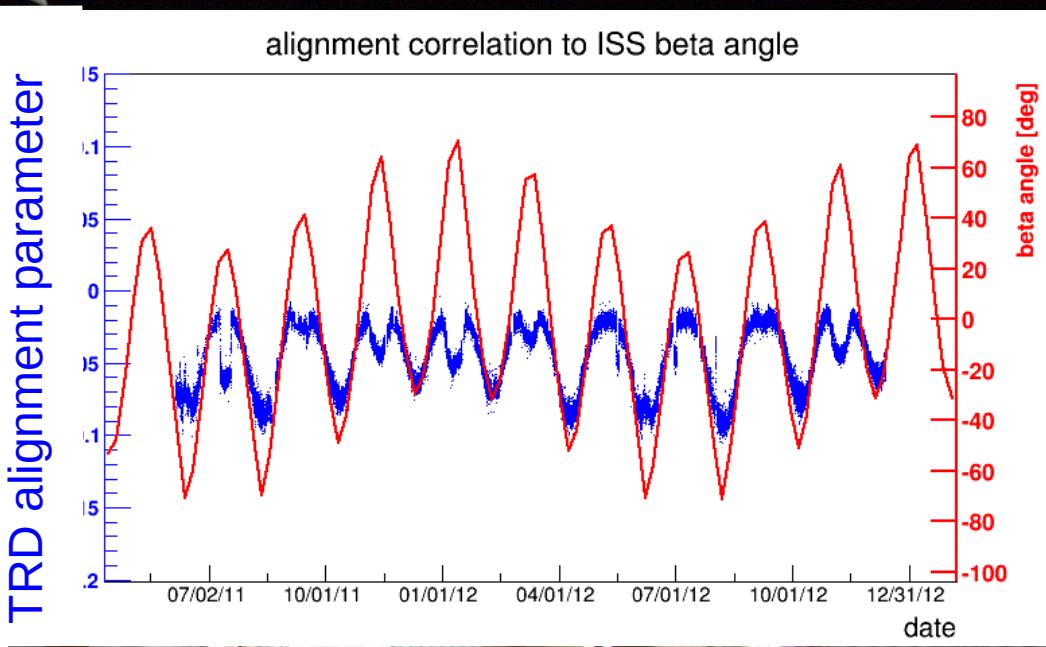
$\Delta T = 100^\circ\text{C}$  every 90 min



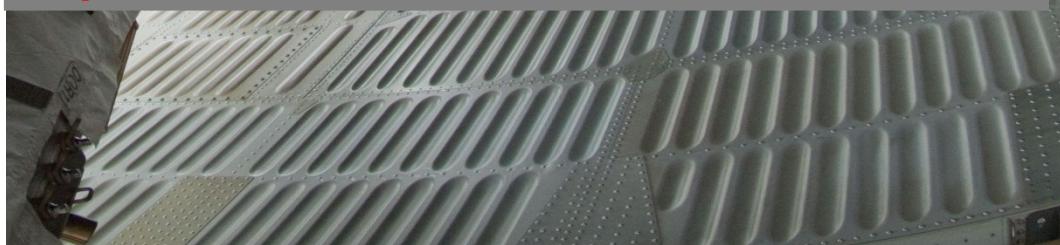
Beta-angle: angle between ISS orbit axis and Sun-Earth axis



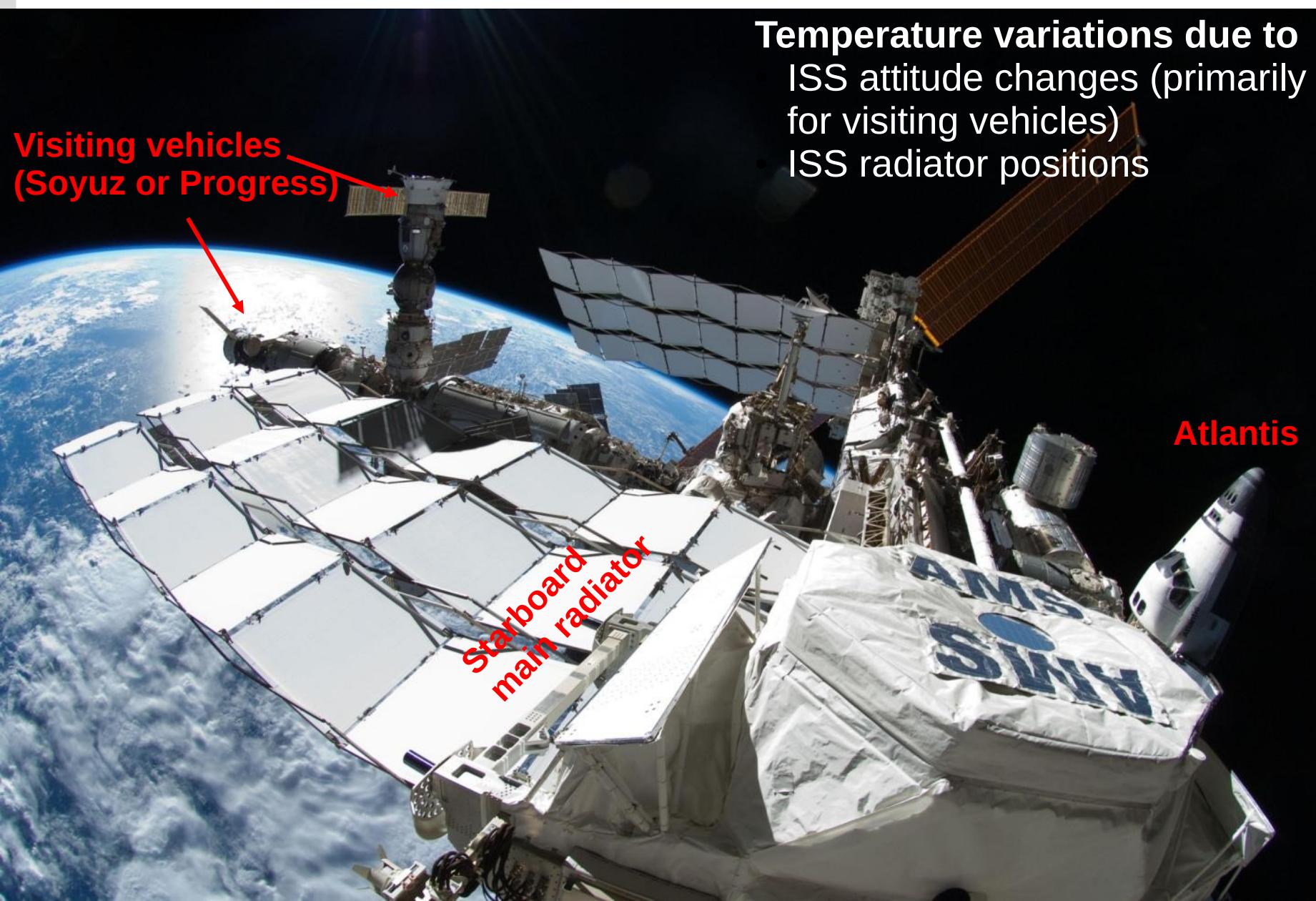
# OPERATING AMS-02 ON THE ISS



The big temperature gradient which evolves in time requires an **accurate and time dependent calibration of detectors**

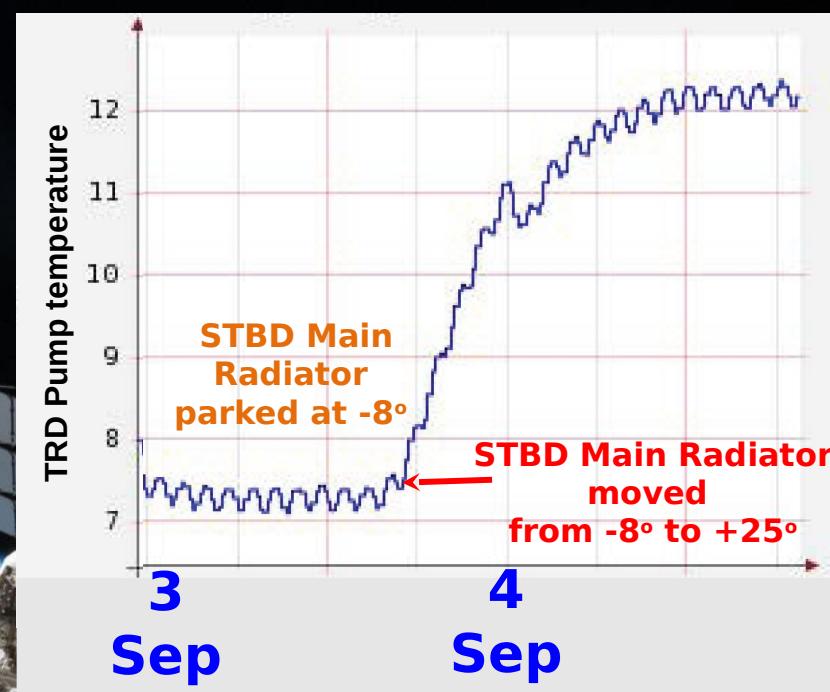
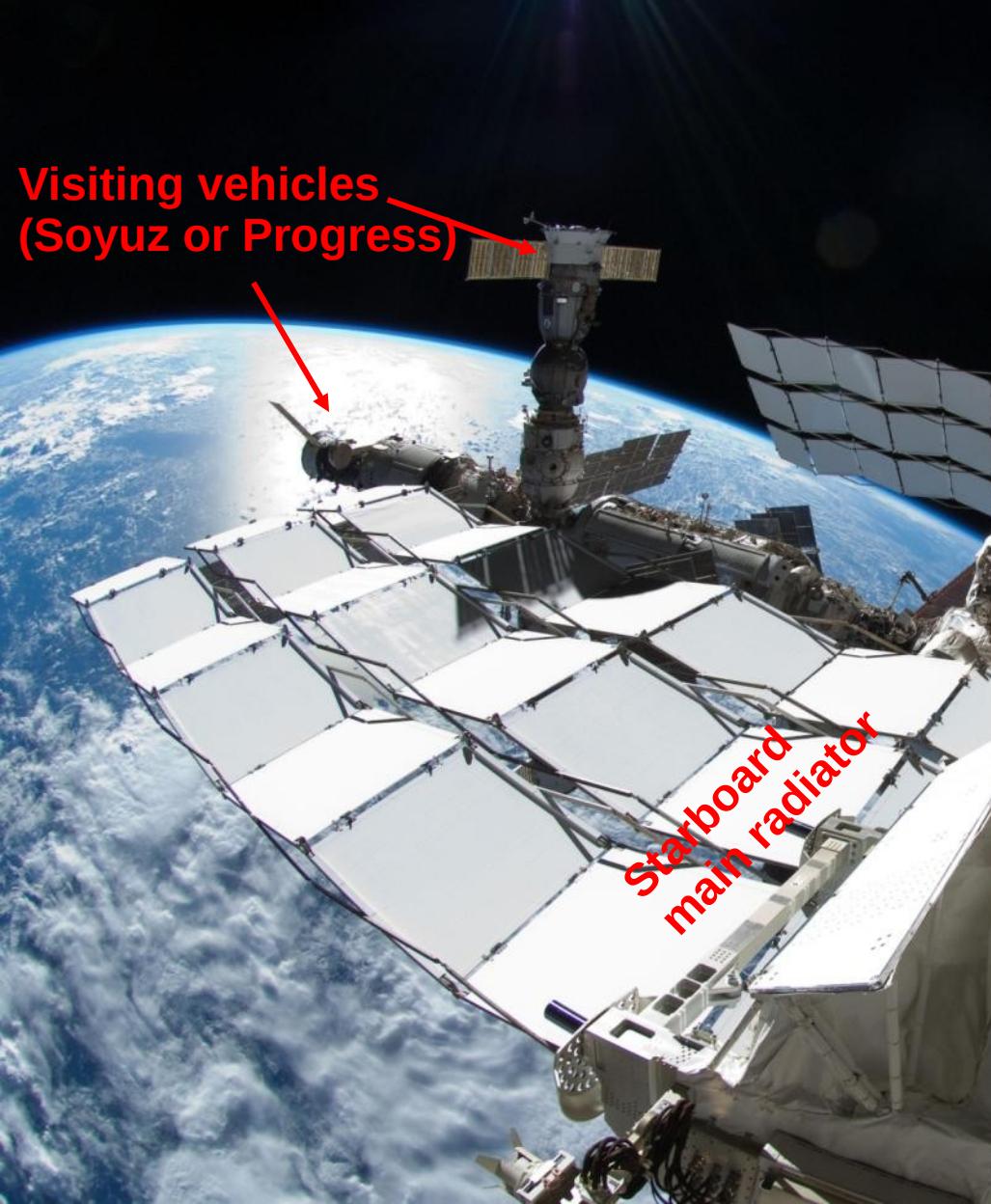


# OPERATING AMS-02 ON THE ISS



**Temperature variations due to**  
ISS attitude changes (primarily  
for visiting vehicles)  
• ISS radiator positions

# OPERATING AMS-02 ON THE ISS



# OPERATING AMS-02 ON THE ISS



**Tracker front-end electronics are kept stable to 1°C (optimal performance)  
radiating ~ 150W to Space**

# POCC: PAYLOAD OPERATIONS CONTROL CENTER



## POCC Payload Operations Control Center

Monitoring + Commanding

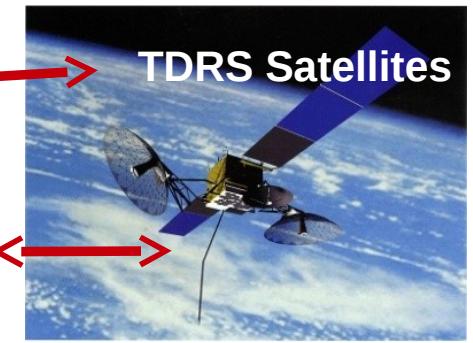
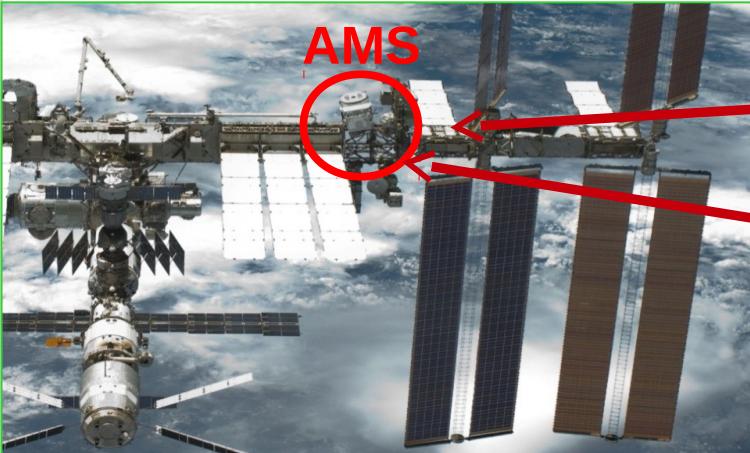
Communication with NASA

4 positions monitoring  
11 Subdetectors (24/7)

LEAD position monitoring the  
entire system



# AMS-02 ↔ GROUND

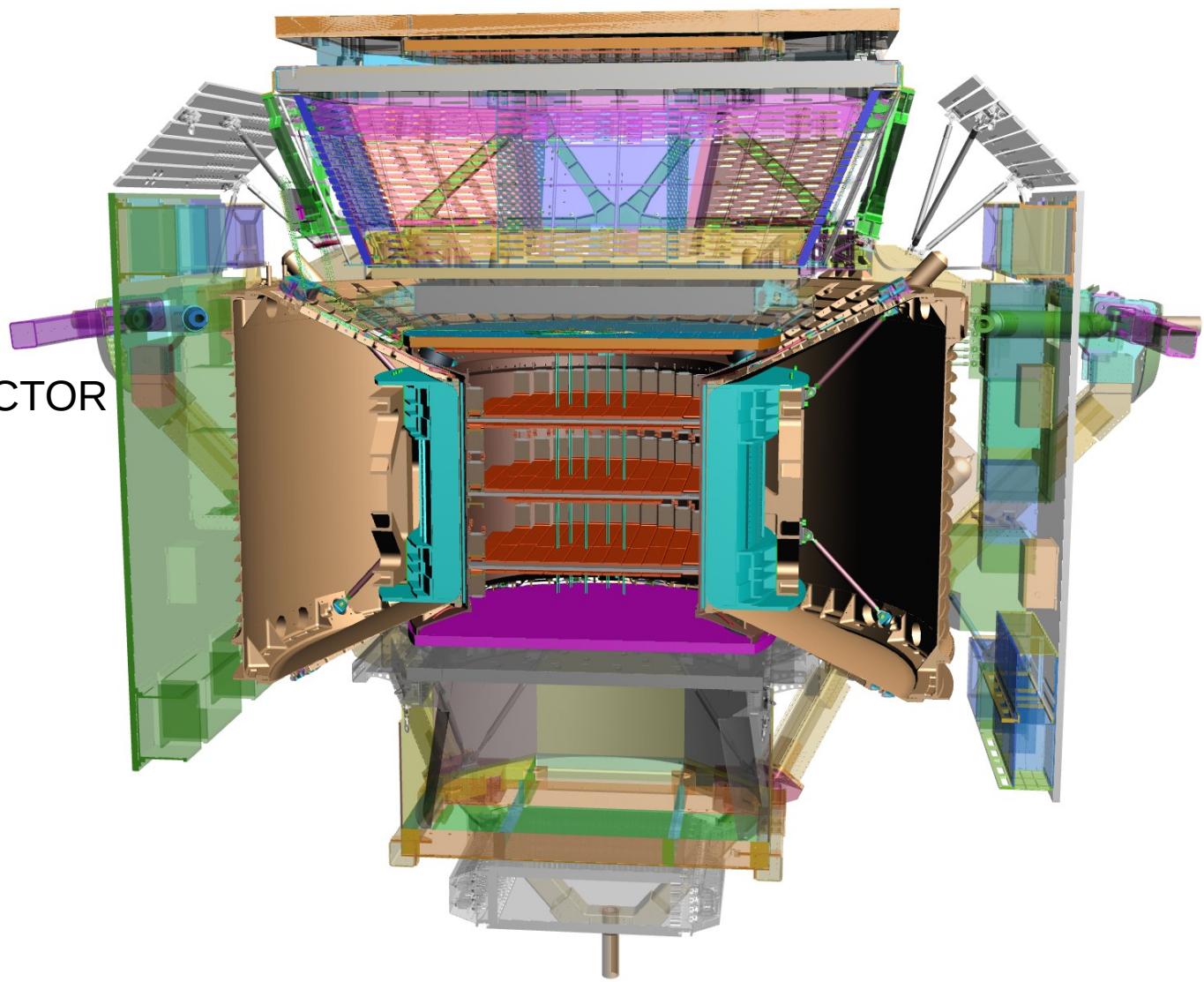


## Flight Operations Ground Operations

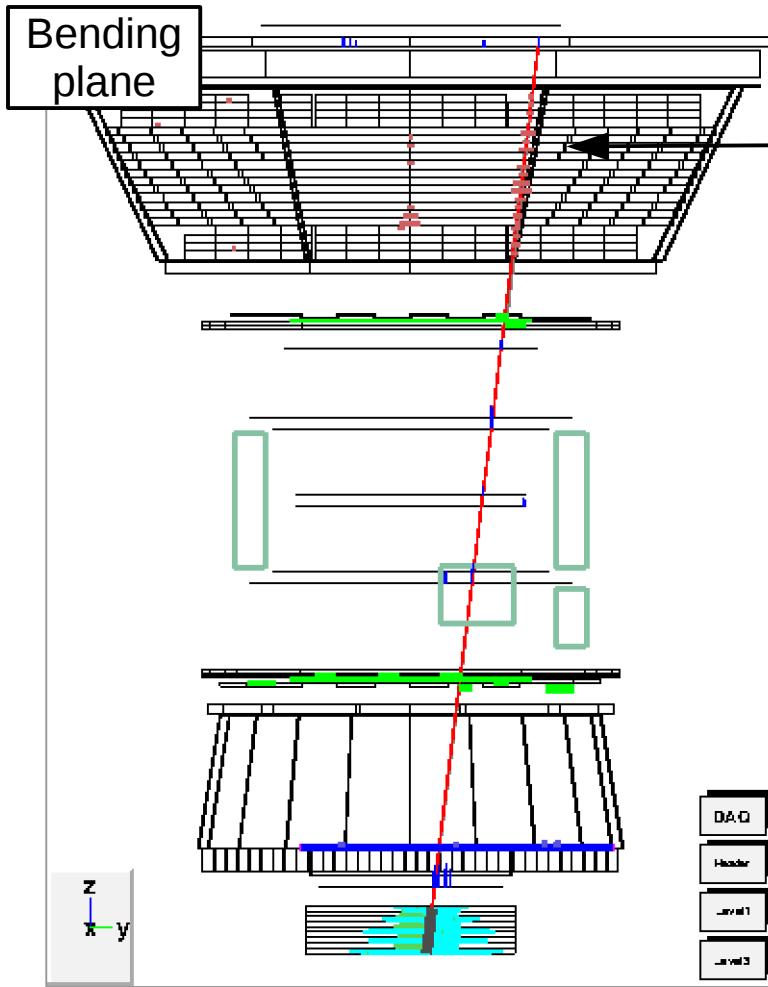


**KIT**  
Karlsruhe Institute of Technology

## THE AMS-02 DETECTOR



# TRANSITION RADIATION DETECTOR



320 GeV positron

**Transition Detector Radiation TRD**  
Identifies  $e^+/e^-$  (Xrays)

**Time Of Flight TOF**  
Trigger / Charge Q / Flight direction / Velocity  $\beta$

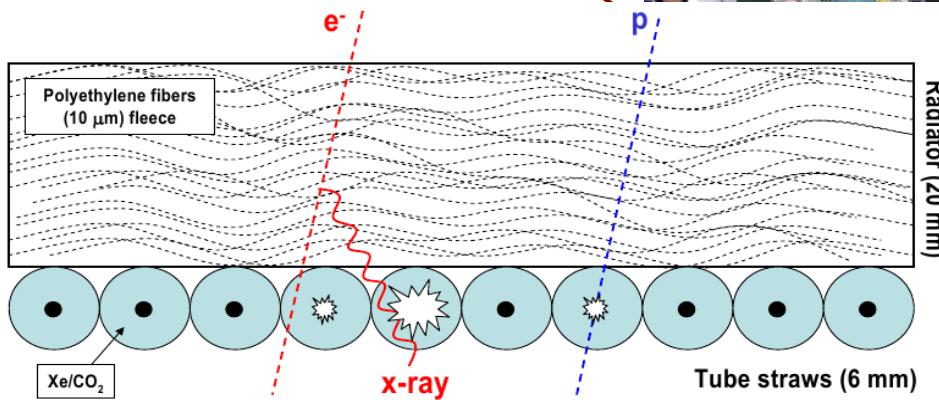
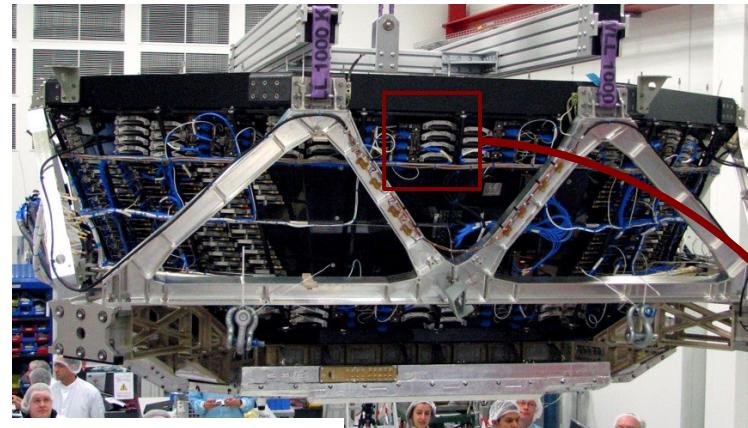
**Magnet + Silicon Tracker TRK**  
Measure momentum / sign(Q) / Charge Q

**Ring Imaging Cherenkov RICH**  
Velocity  $\beta$  / Charge Q /

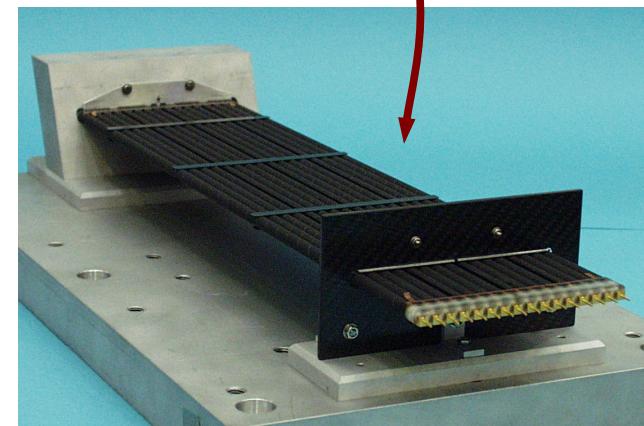
**Electromagnetic Calorimeter ECAL**  
Measure energy / Identifies  $e^+/e^-$  (shower shape)

**Most particle properties are measured redundantly**

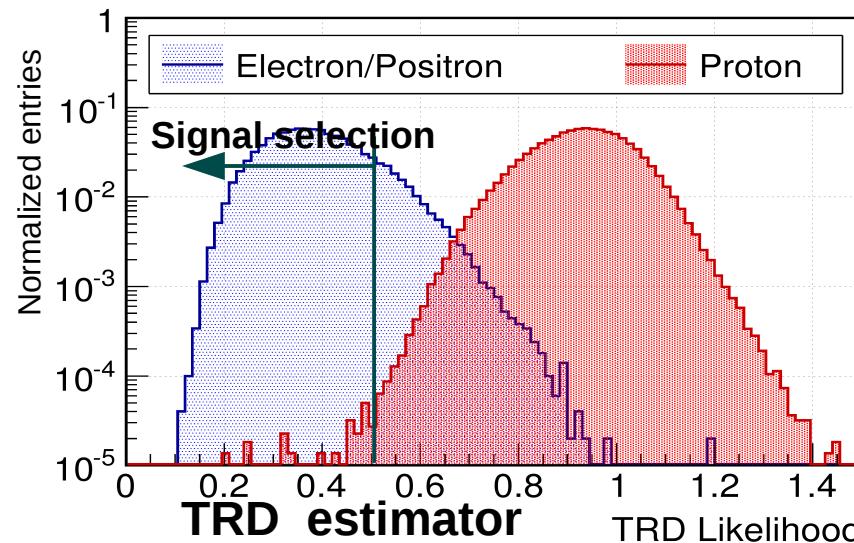
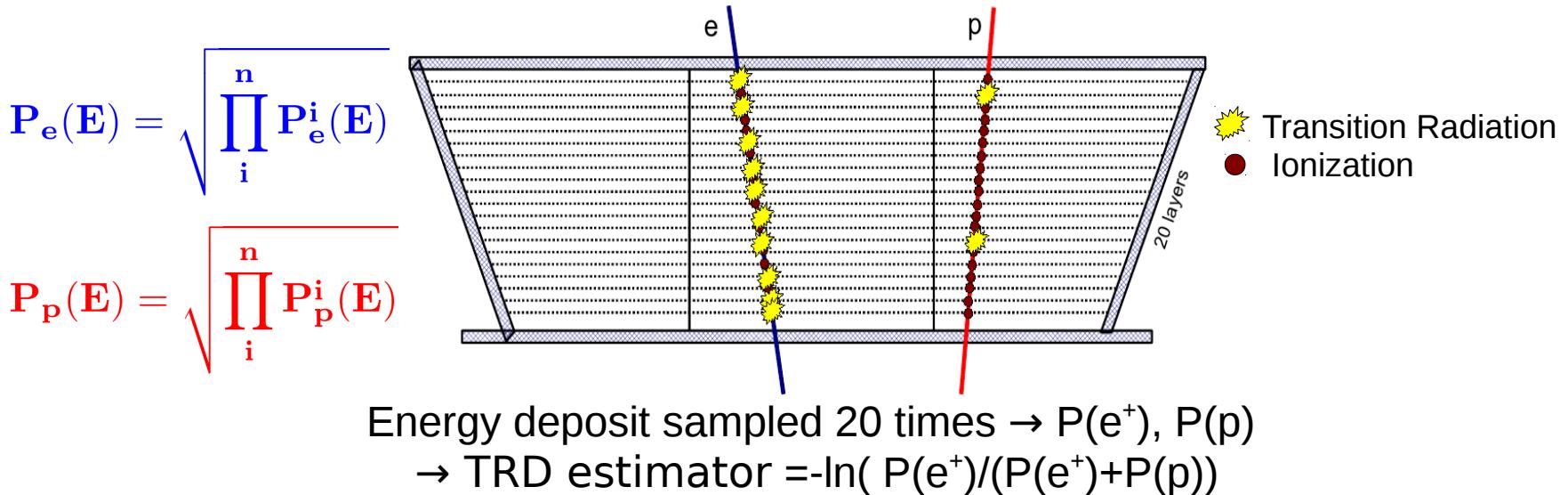
# TRANSITION RADIATION DETECTOR



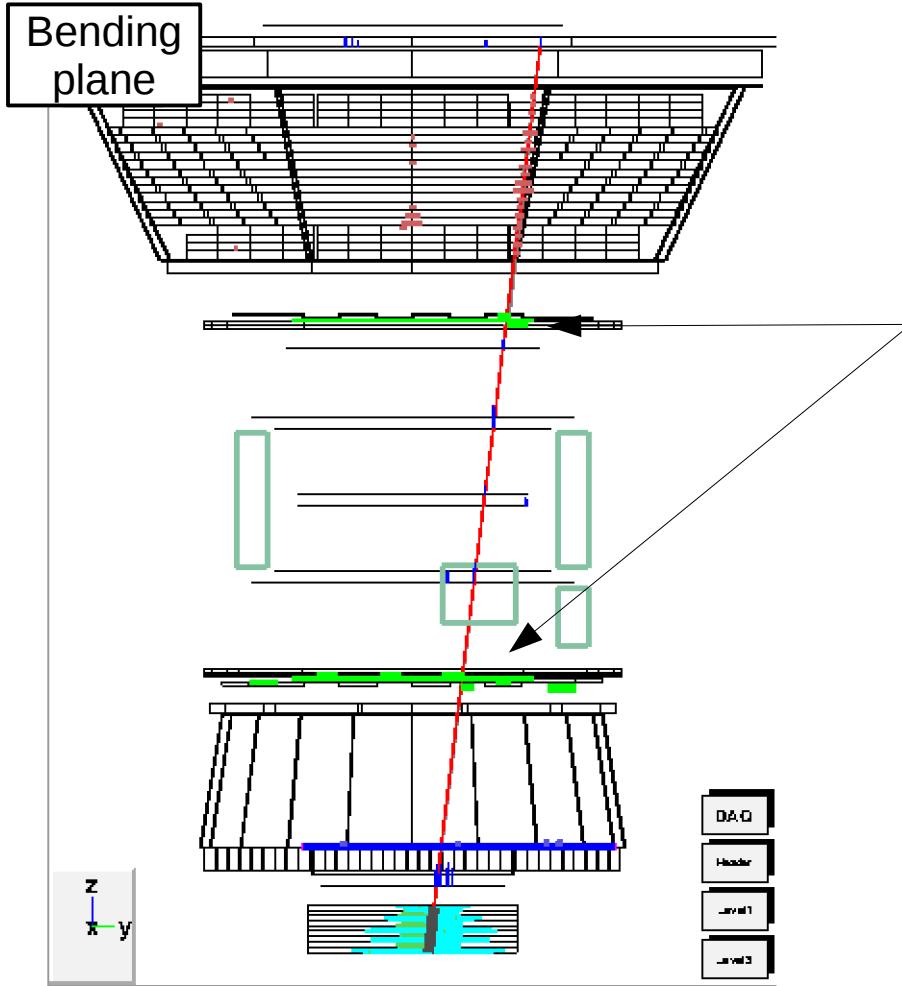
20 layer of radiator (fleece) and straw tubes for Xrays (~KeV) detection



# LEPTON/HADRON SEPARATION WITH THE TRD



# TIME OF FLIGHT



**Transition Detector Radiation TRD**  
Identifies  $e^+/e^-$  (Xrays)

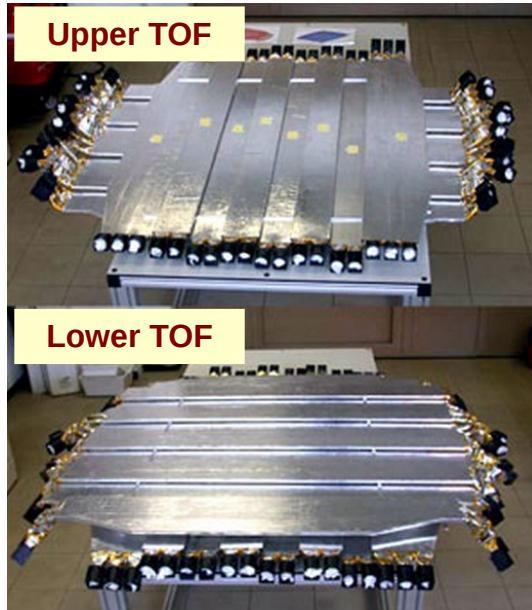
**Time Of Flight TOF**  
Trigger / Charge Q / Flight direction / Velocity  $\beta$

**Magnet + Silicon Tracker TRK**  
Measure momentum / sign(Q) / Charge Q

**Ring Imaging Cherenkov RICH**  
Velocity  $\beta$  / Charge Q /

**Electromagnetic Calorimeter ECAL**  
Measure energy / Identifies  $e^+/e^-$  (shower shape)

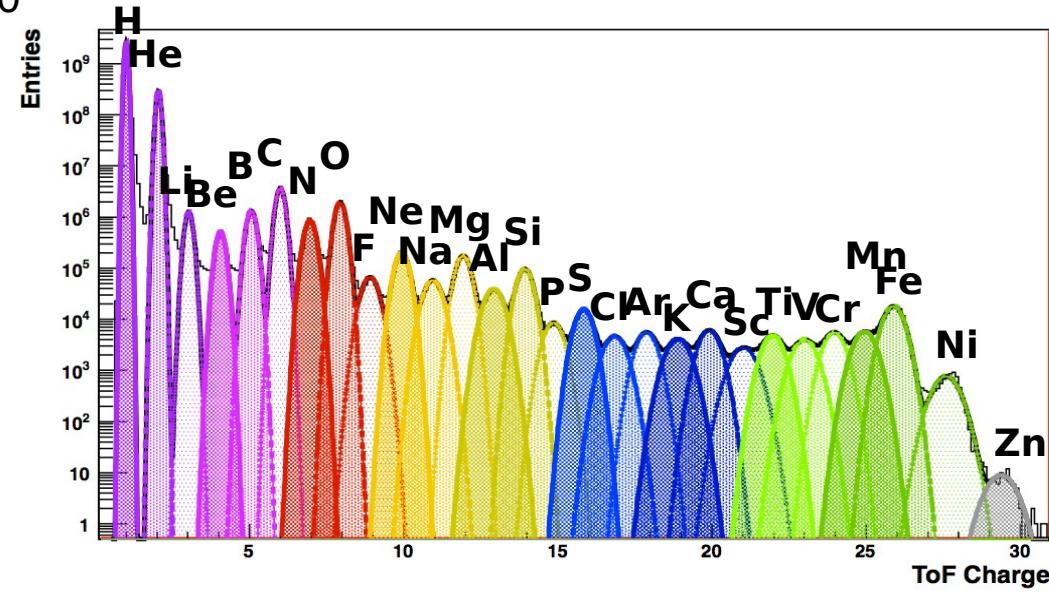
**Most particle properties are measured redundantly**



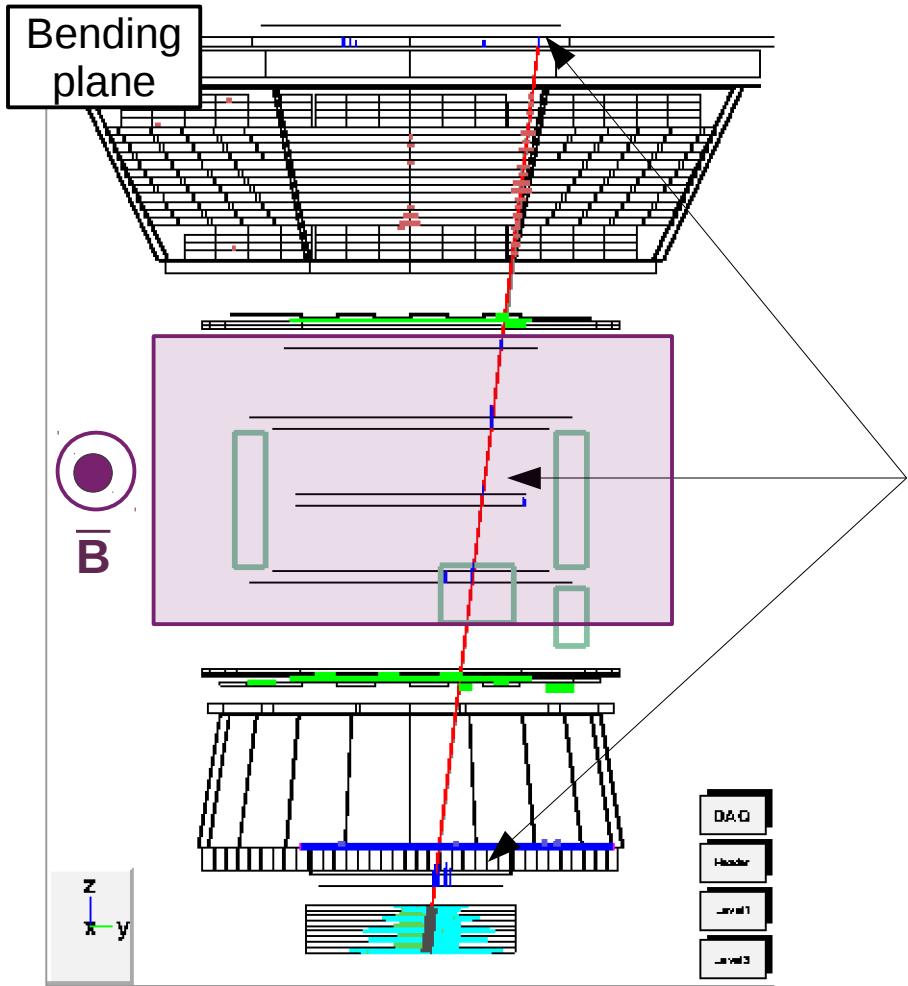
4 scintillator planes (2 above, 2 under magnet)

The TOF provides to AMS-02

- Fast trigger to charged particles through different thresholds
- Time-Of-Flight  $dT$  (res  $\sim 160\text{ps}$ ) to determine velocity with few % resolution
- Particle charge  $Z$  up to  $Z=15$
- Upgoing/downgoing discrimination rejection power  $\sim 10^{-9}$



# SILICON TRACKER AND MAGNET



320 GeV positron

**Transition Detector Radiation TRD**  
Identifies  $e^+e^-$  (Xrays)

**Time Of Flight TOF**  
Trigger / Charge Q / Flight direction / Velocity  $\beta$

**Magnet + Silicon Tracker TRK**  
Measure momentum / sign(Q) / Charge Q

**Ring Imaging Cherenkov RICH**  
Velocity  $\beta$  / Charge Q /

**Electromagnetic Calorimeter ECAL**  
Measure energy / Identifies  $e^+e^-$  (shower shape)

**Most particle properties are measured redundantly**

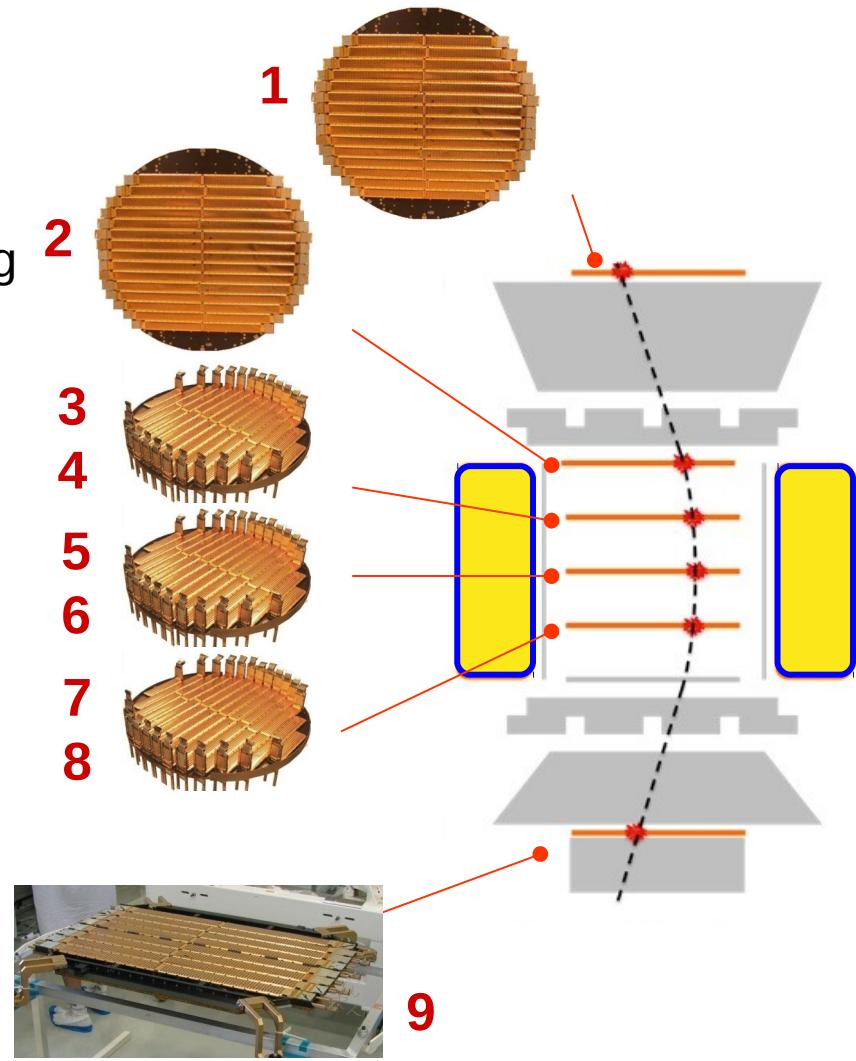
# SILICON TRACKER

## 9 silicon planes

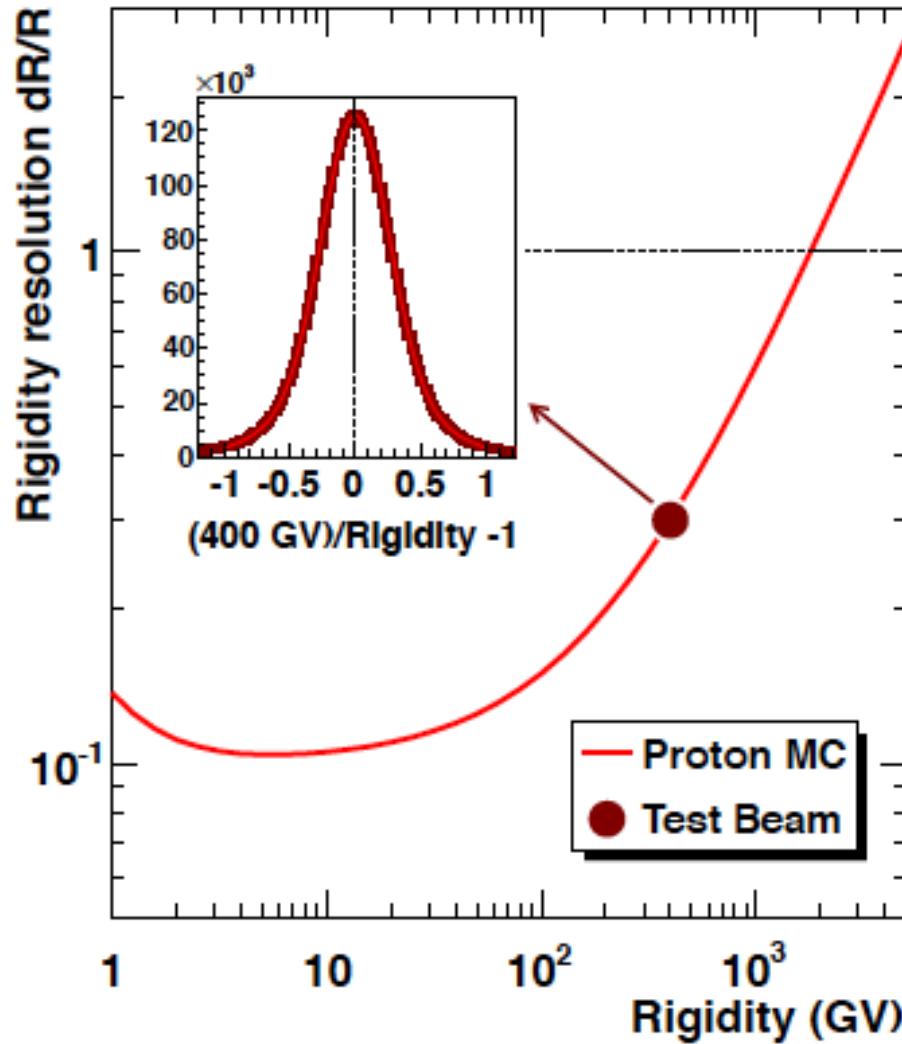
Single coordinates resolution  
10 $\mu\text{m}$  (bending plane) 30 $\mu\text{m}$  (non-bending plane)

2264 double-sided Si micro-strip sensors  
For a total of 6.4 $\text{m}^2$  active area  
200K readout channels

Channels aligned to 3 $\mu\text{m}$  using  
• 20 UV laser (inner tracker)  
• cosmic rays (outer planes)

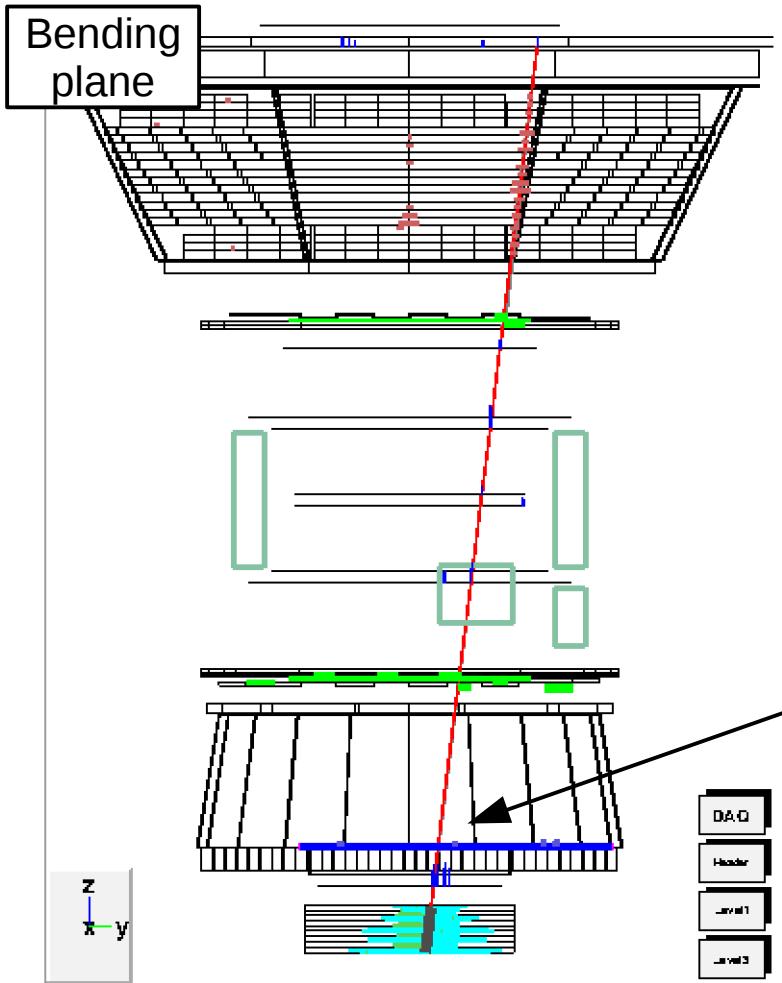


# SILICON TRACKER



Maximum detectable rigidity ~ 2TeV

# RING IMAGING CHERENKOV DETECTOR



320 GeV positron

**Transition Detector Radiation TRD**  
Identifies  $e^+/e^-$  (Xrays)

**Time Of Flight TOF**  
Trigger / Charge Q / Flight direction / Velocity  $\beta$

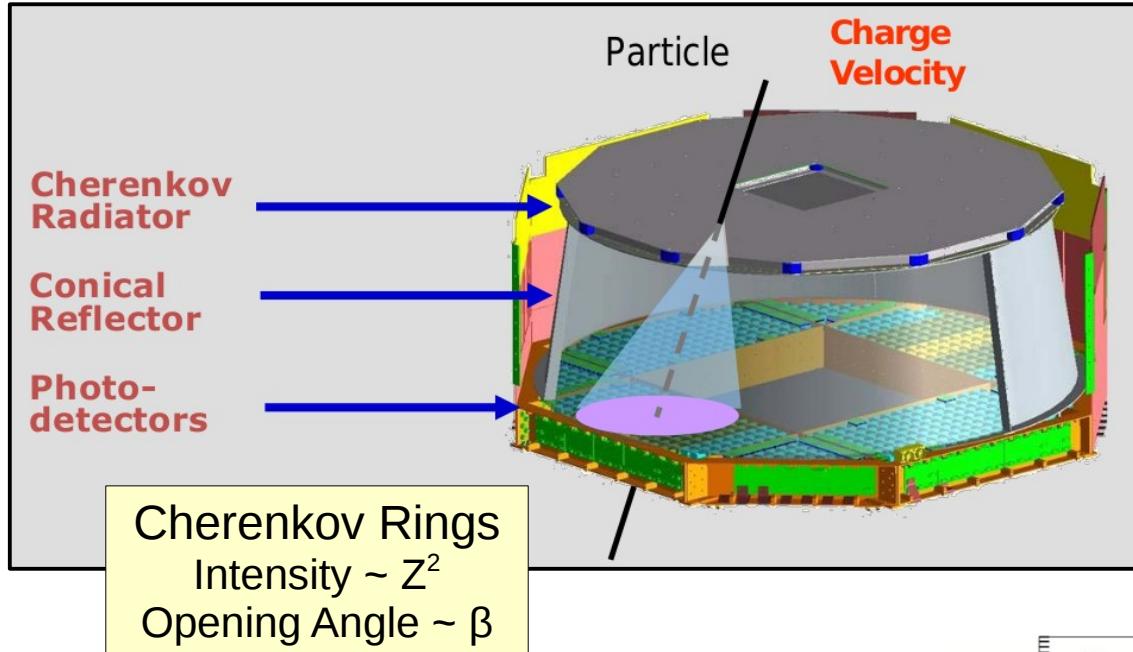
**Magnet + Silicon Tracker TRK**  
Measure momentum / sign(Q) / Charge Q

**Ring Imaging Cherenkov RICH**  
Velocity  $\beta$  / Charge Q /

**Electromagnetic Calorimeter ECAL**  
Measure energy / Identifies  $e^+/e^-$  (shower shape)

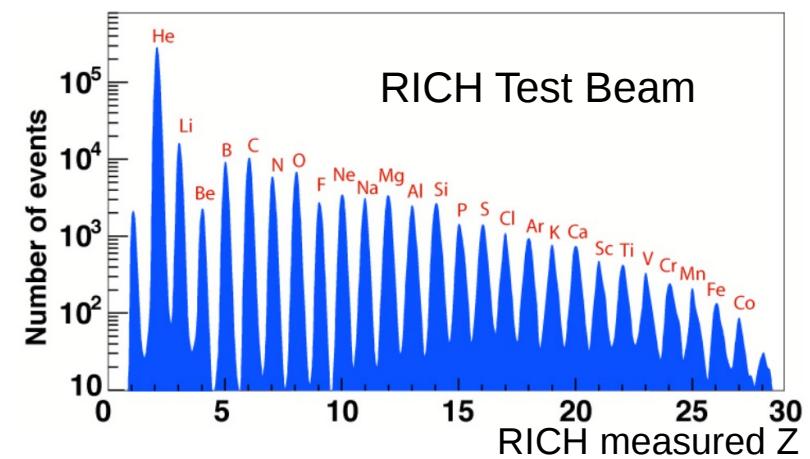
**Most particle properties are measured redundantly**

# RING IMAGING CHERENKOV DETEKTOR

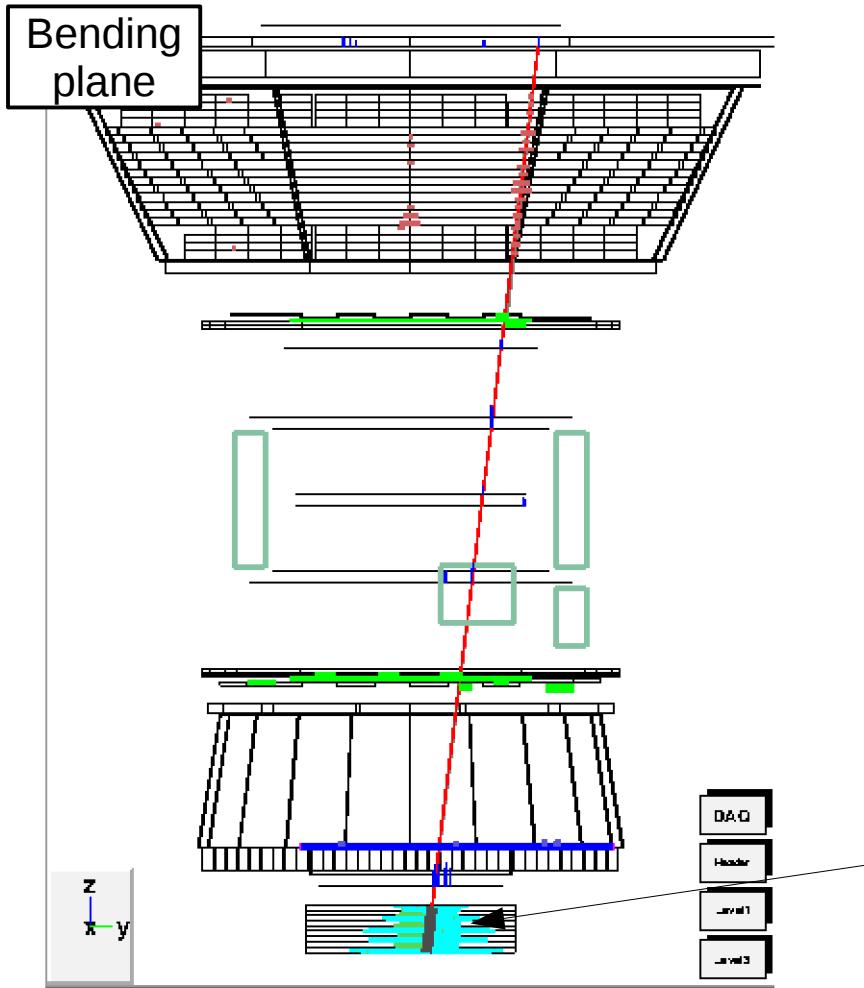


- 134 cm diameter collection surface
- 640 4X4 PMTs
- Conical reflector to increase RICH acceptance

- $\beta$  measurement with a resolution  $\sim 0.1\%$  for  $Z=1$  particles, and  $\sim 0.01\%$  for ions ( $Z>1$ ).
- Particle charge measurement with a charge confusion of the order of 10 % up to  $Z=30$



# ELECTROMAGNETIC CALORIMETER



320 GeV positron

**Transition Detector Radiation TRD**  
Identifies  $e^+/e^-$  (Xrays)

**Time Of Flight TOF**  
Trigger / Charge Q / Flight direction / Velocity  $\beta$

**Magnet + Silicon Tracker TRK**  
Measure momentum / sign(Q) / Charge Q

**Ring Imaging Cherenkov RICH**  
Velocity  $\beta$  / Charge Q /

**Electromagnetic Calorimeter ECAL**  
Measure energy / Identifies  $e^+/e^-$  (shower shape)

**Most particle properties are measured redundantly**

# LEPTON/HADRON SEPARATION WITH ECAL



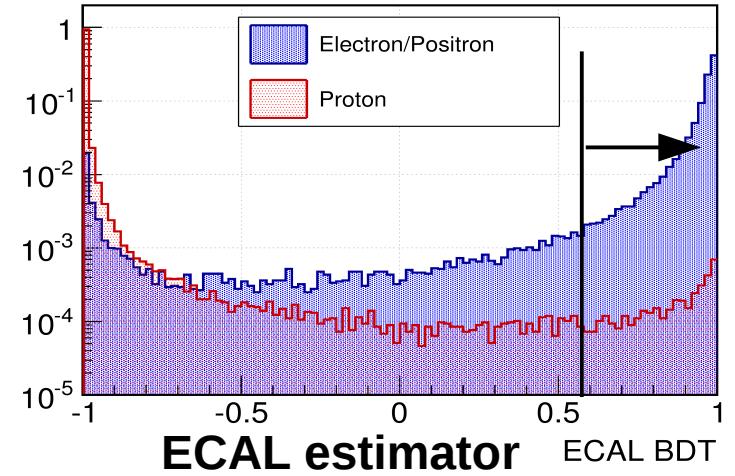
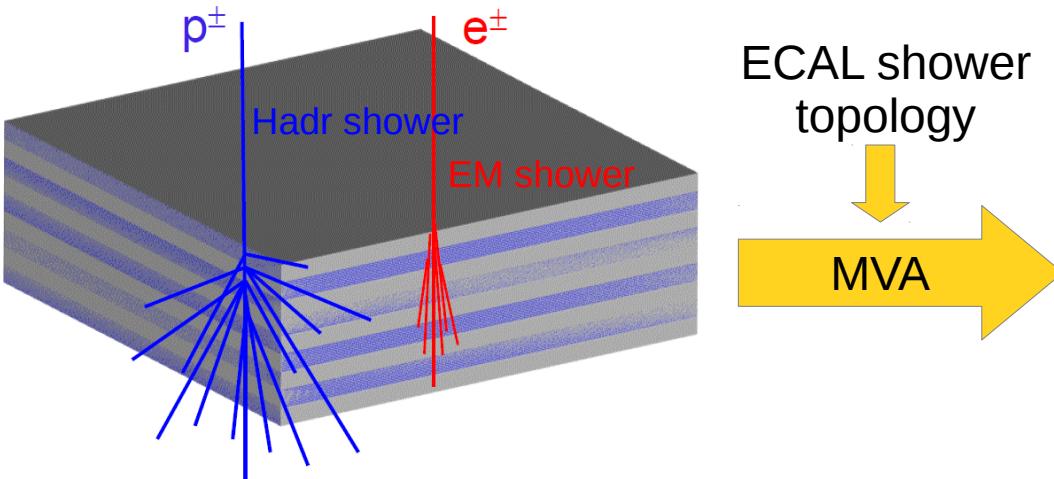
## Sampling calorimeter

Lead (58%), scintillating fibers (33%), optic glue (9%)

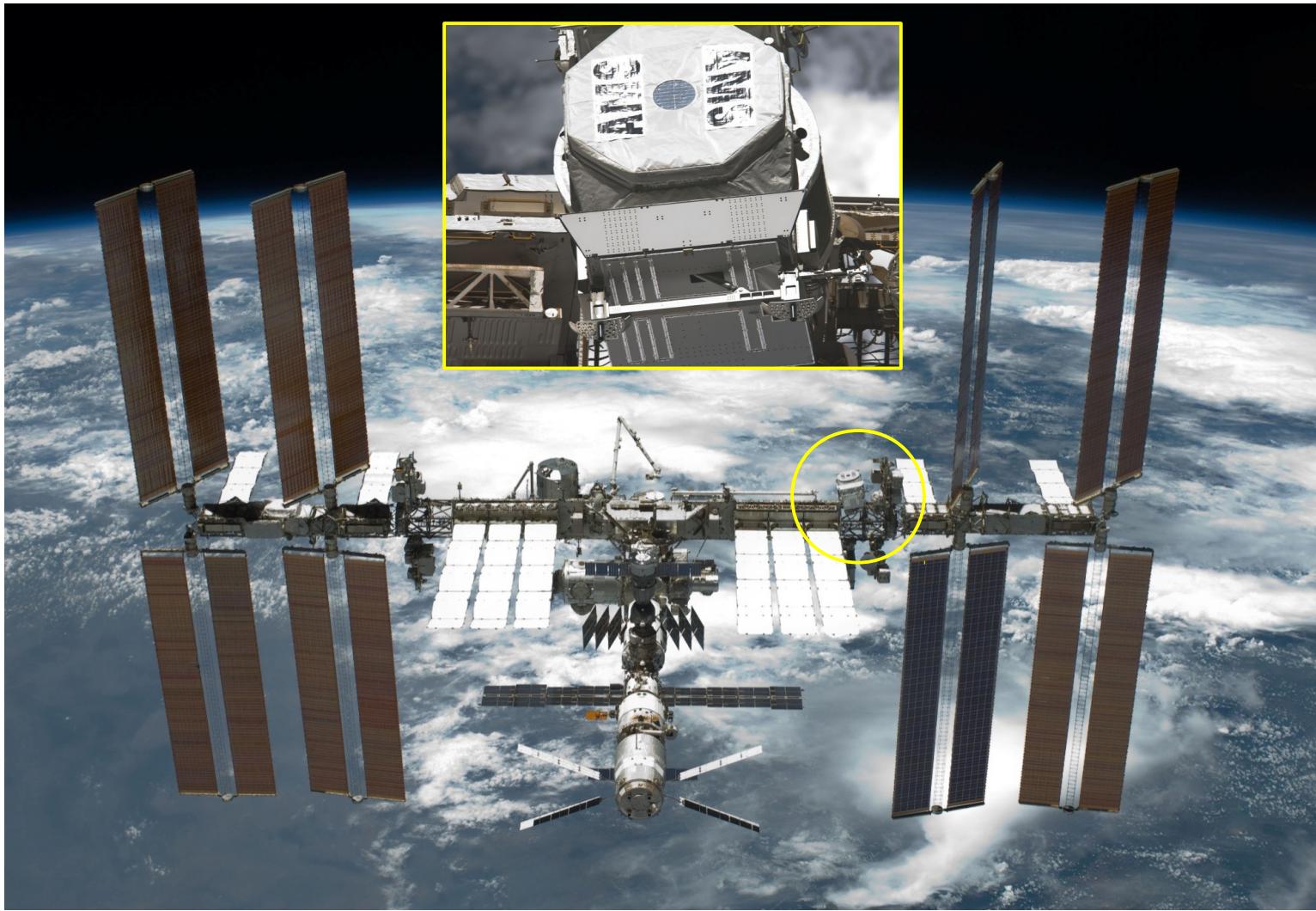
65.8x65.8x16.7 cm, 18  
Layers  
**( $17 X_0$ ,  $0.6 \lambda_{\text{nuc}}$ )**

1296 readout cells

Accurate 3D sampling of shower development  $\Rightarrow$  Maximize hadron rejection

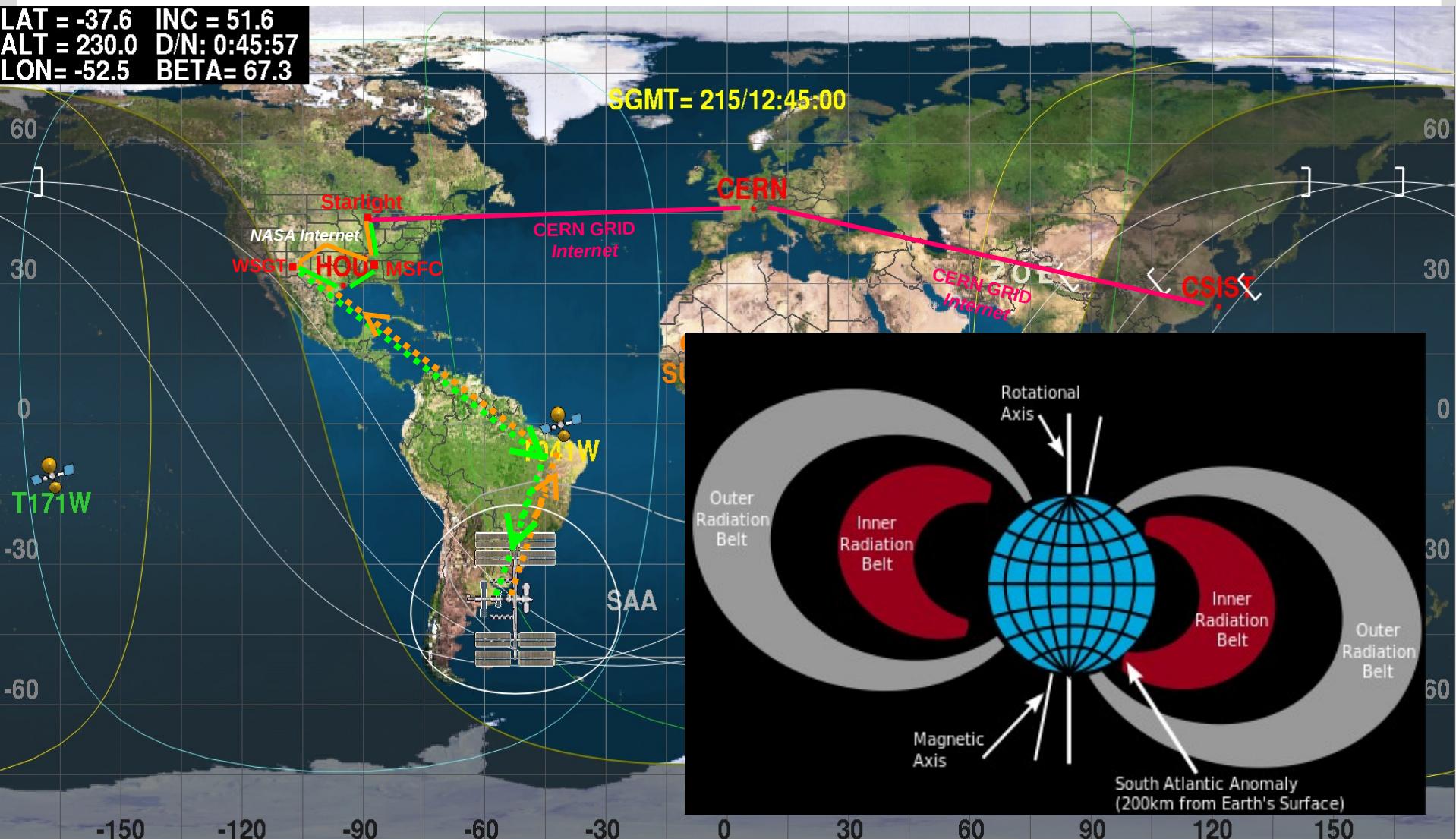


# AMS-02 IN ORBIT

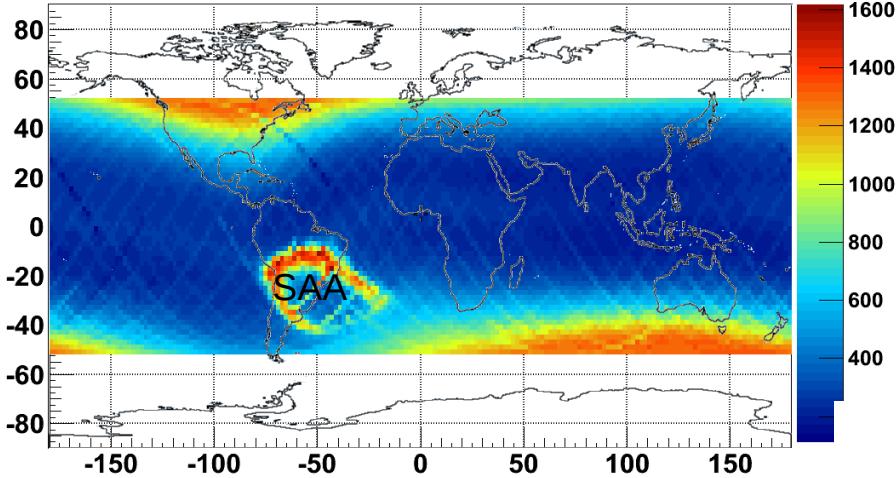


# AMS-02 IN ORBIT @ 400 km

LAT = -37.6 INC = 51.6  
 ALT = 230.0 D/N: 0:45:57  
 LON= -52.5 BETA= 67.3



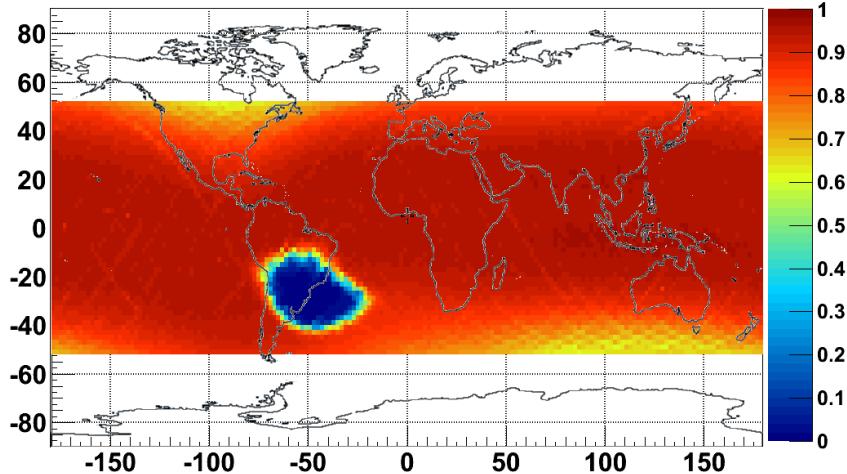
$\langle \text{Trigger rate [Hz]} \rangle = 500\text{Hz}$



Average DAQ efficiency  $\sim 88\%$   
 (inefficiency dominated by SAA)  
 Average downlink 10 Mbps

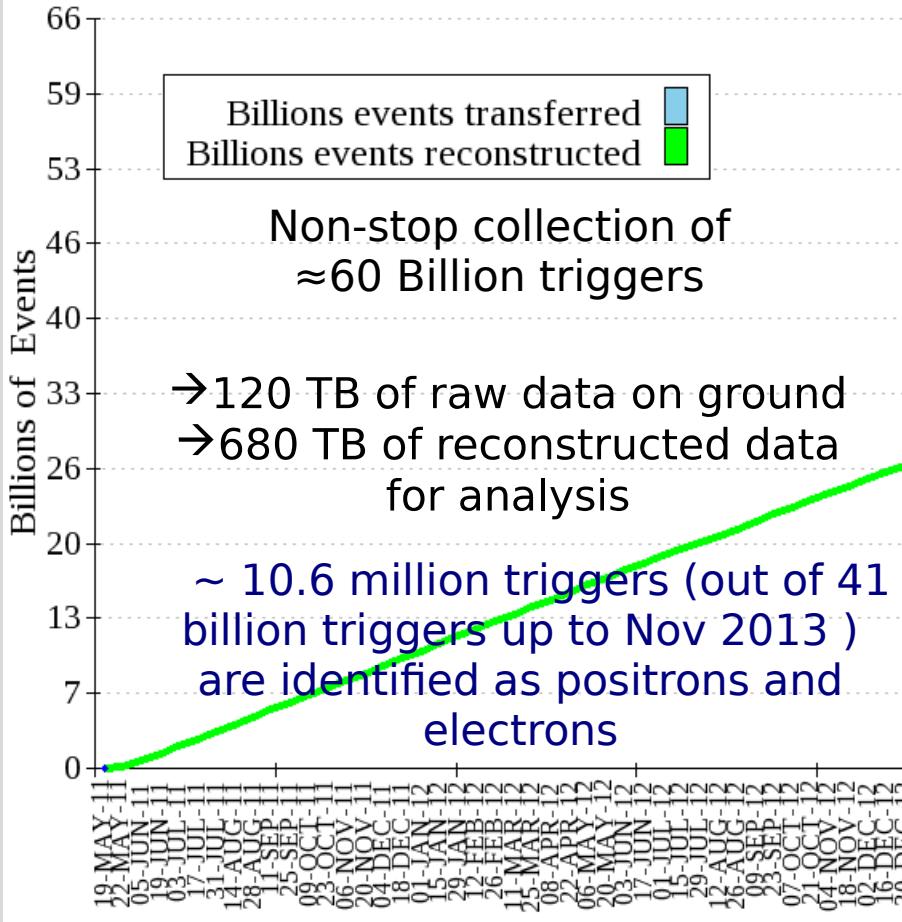
Orbit period 90 mins  
 Equator trigger rate  $\sim 200\text{ Hz}$   
 Polar trigger rate  $\sim 1500\text{ Hz}$   
 Average trigger rate  $\sim 600\text{ Hz}$

DAQ efficiency

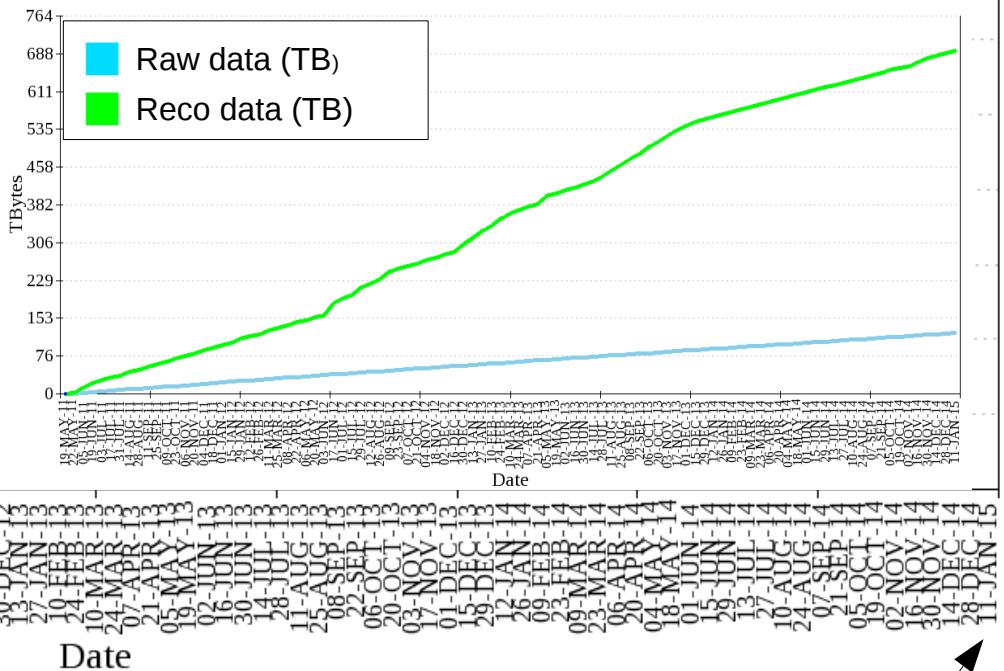


The stability of electronic response is ensured by **calibrations of all channels every half-orbit ( $\sim 46\text{ mins}$ )**

# COLLECTED DATA



Installation on ISS



# LEPTON FLUX MEASUREMENTS

# INGREDIENTS FOR A FLUX MEASUREMENT

$$\Phi(E, E + \Delta E) = \frac{N_{obs}(E + \Delta E)}{\Delta E \Delta T_{exp} A_{eff} \epsilon_{trig}}$$

Diagram illustrating the components of the flux measurement formula:

- $N_{obs}$  = number of observed events (points to the numerator)
- $\Delta E$  = energy bin width (points to the denominator)
- $\Delta T_{exp}$  = exposure Time (s) (points to the denominator)
- $A_{eff}$  = effective acceptance ( $m^2 sr^{-1}$ ) (points to the denominator)
- $\epsilon_{trig}$  = trigger efficiency (points to the denominator)

$\Phi$  = Absolute differential flux (  $m^{-2} sr^{-1} s^{-1} GeV^{-1}$  )

$E$  = Energy measured by ECAL (or tracker rigidity)

# INGREDIENTS FOR A FLUX MEASUREMENT

$$\Phi(E, E + \Delta E) = \frac{N_{obs}(E + \Delta E)}{\Delta E \Delta T_{exp} A_{eff} \epsilon_{trig}}$$

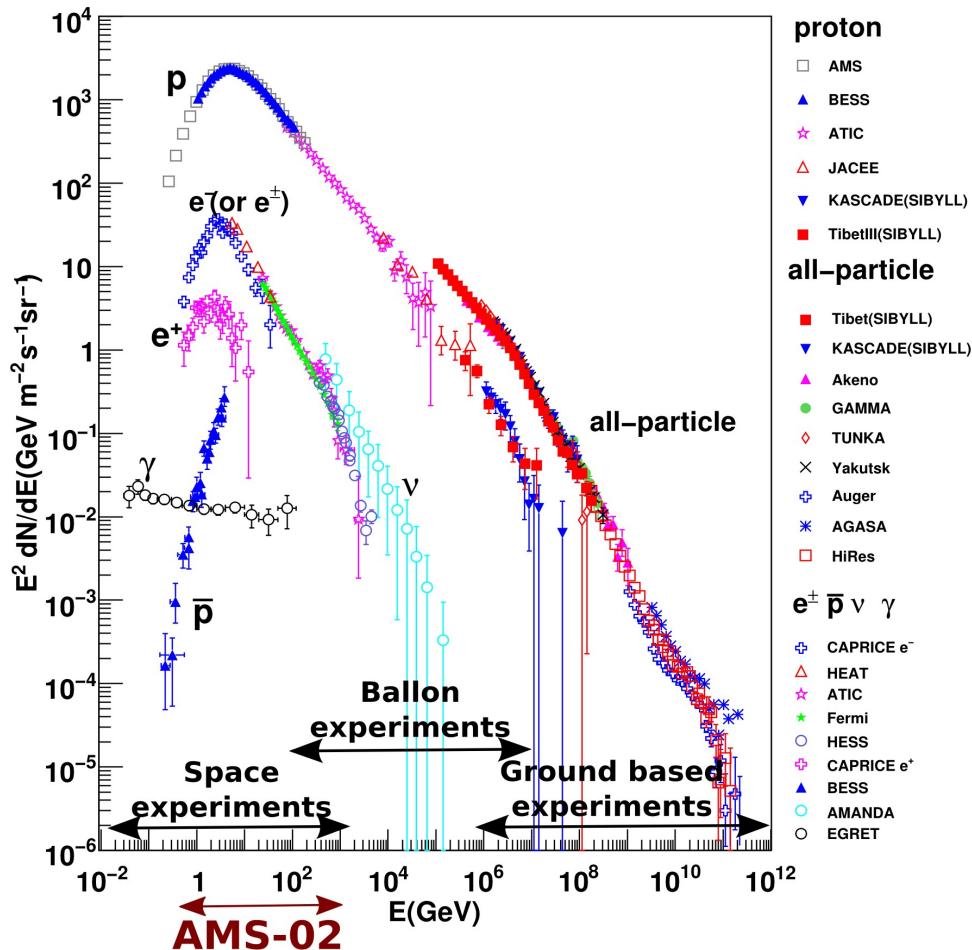
Diagram illustrating the components of the flux measurement formula:

- $N_{obs}$  = number of observed events (indicated by a red oval)
- $\Delta E$  = energy bin width
- $\Delta T_{exp}$  = exposure Time (s)
- $A_{eff}$  = effective acceptance ( $m^2 sr^{-1}$ )
- $\epsilon_{trig}$  = trigger efficiency

Arrows point from the definitions to their respective terms in the formula.

1. Count number of electrons+positrons in energy bin

# WHAT WE MEASURE



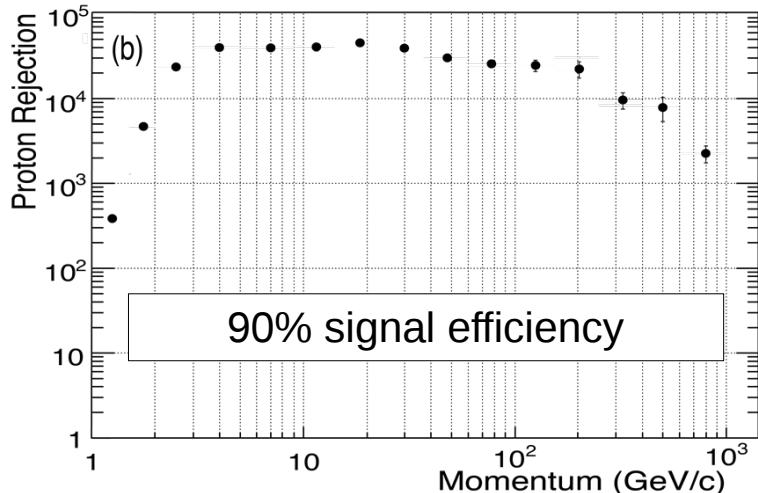
Among all triggered events, we have to find the 0.1%-0.01% signal ( $e^{+/-}$ )

## Sources of background:

- $\Phi(p) / \Phi(e^+) \sim 10^3 - 10^4$   
Misidentified protons
- $\Phi(e^-) / \Phi(e^+) \sim O(10)$   
Wrong charge sign measured

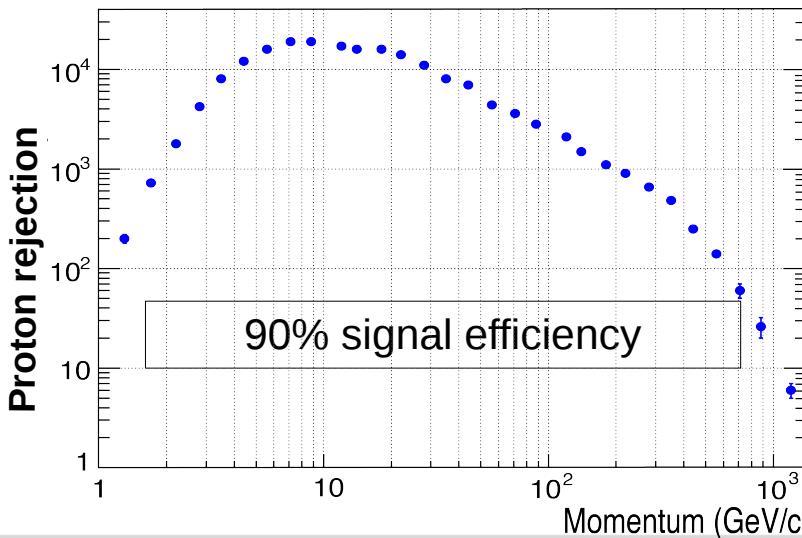
**Lepton/hadron separation and charge confusion is the most crucial issue!**

# PROTON REJECTION WITH TRD AND ECAL



**ECAL proton rejection (on ISS data)**  
based on energy deposit and 3D shower  
development per layer

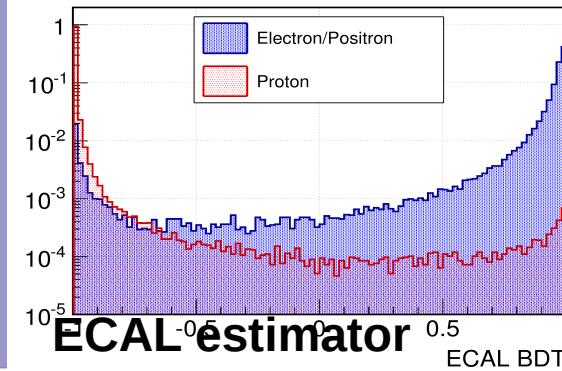
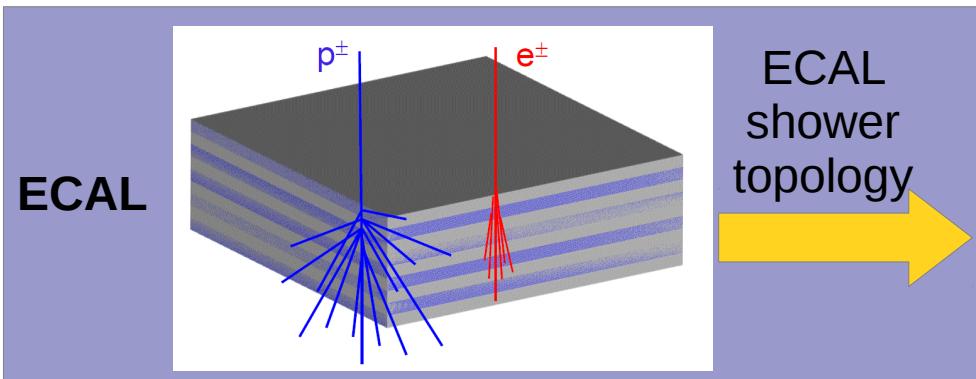
Rejection  $> 10^4$   
In the analyzed energy range



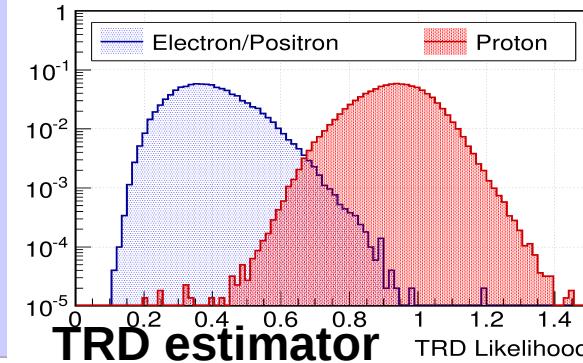
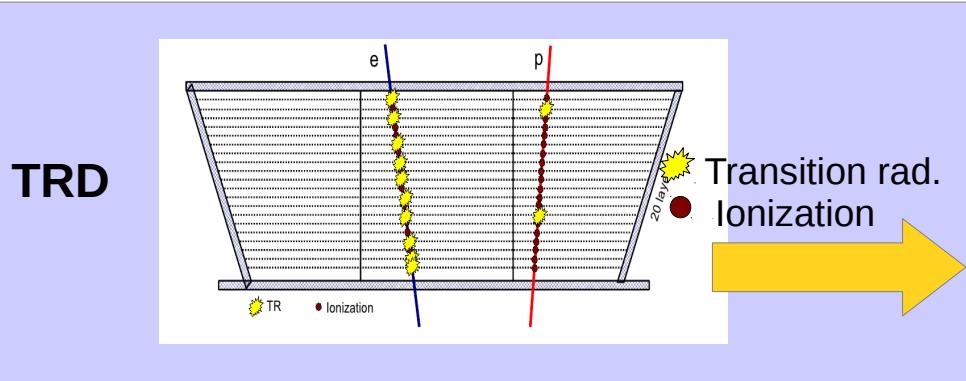
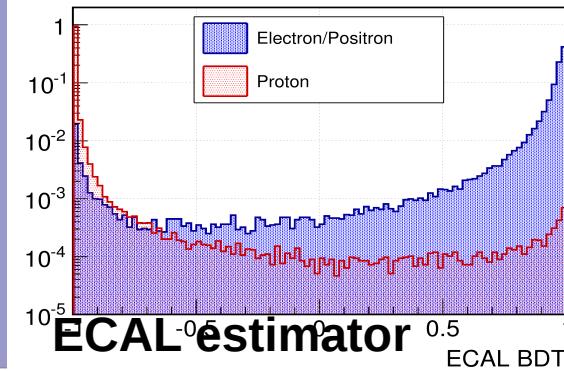
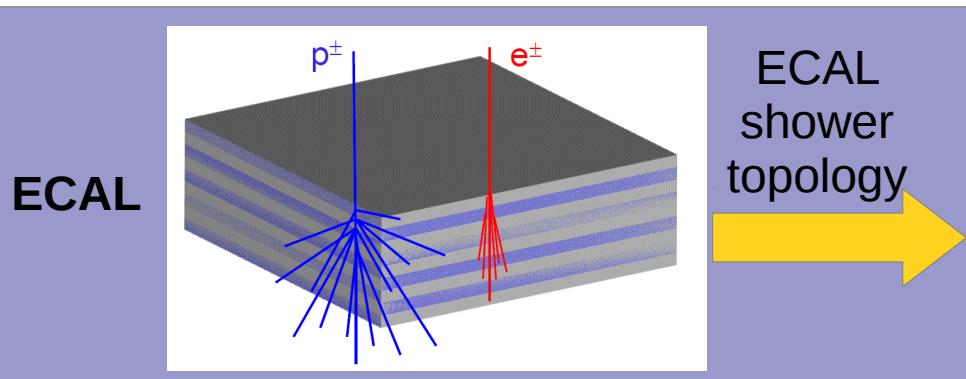
**TRD proton rejection (on ISS data)**  
based on energy deposit in 20 layers

Rejection  $> 10^3$   
In the analyzed energy range

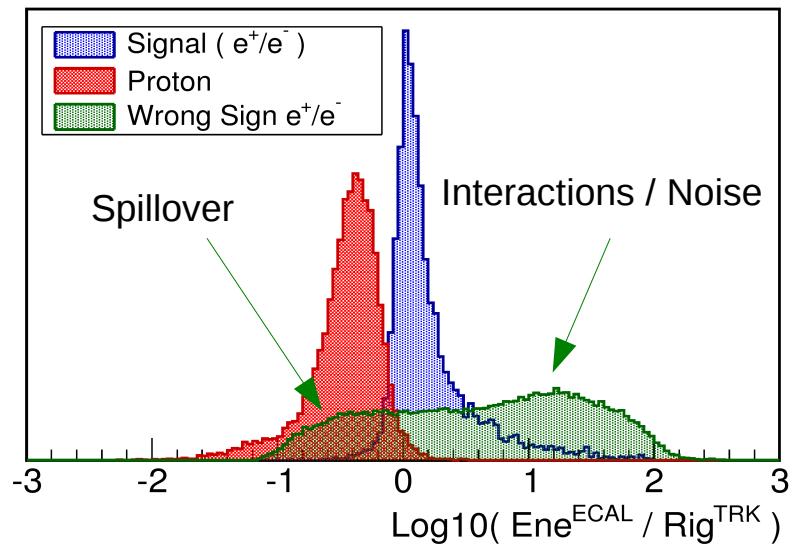
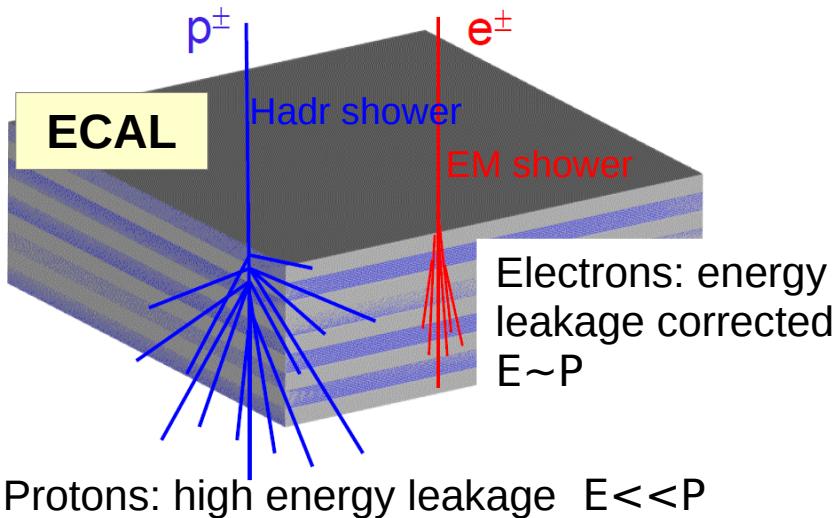
# TOOLS FOR LEPTON IDENTIFICATION



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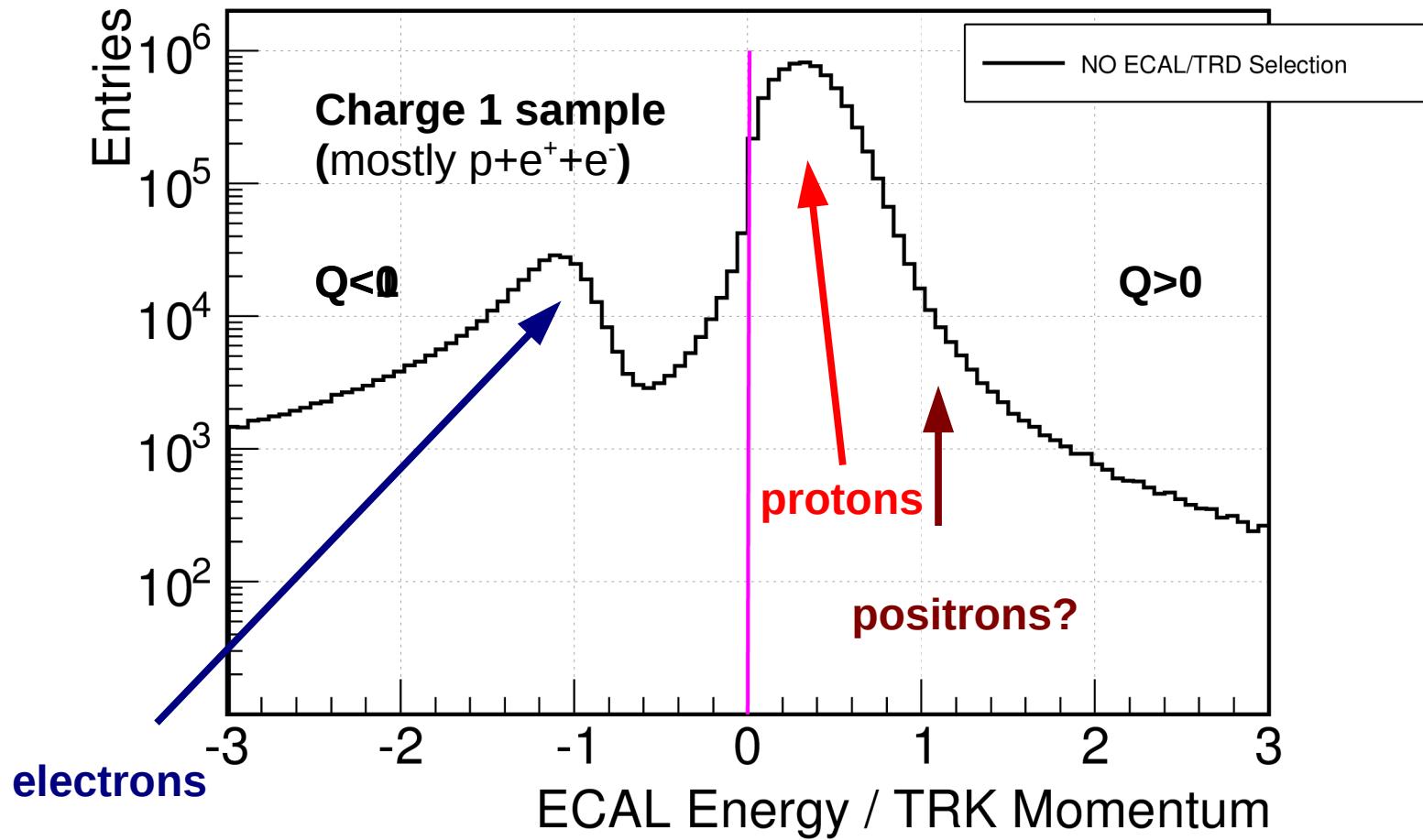
## Proton ( $E_{\text{ECAL}} \ll P_{\text{TRK}}$ )



ECAL: **17  $X_0$ , 0.6  $\lambda_{\text{nuc}}$**

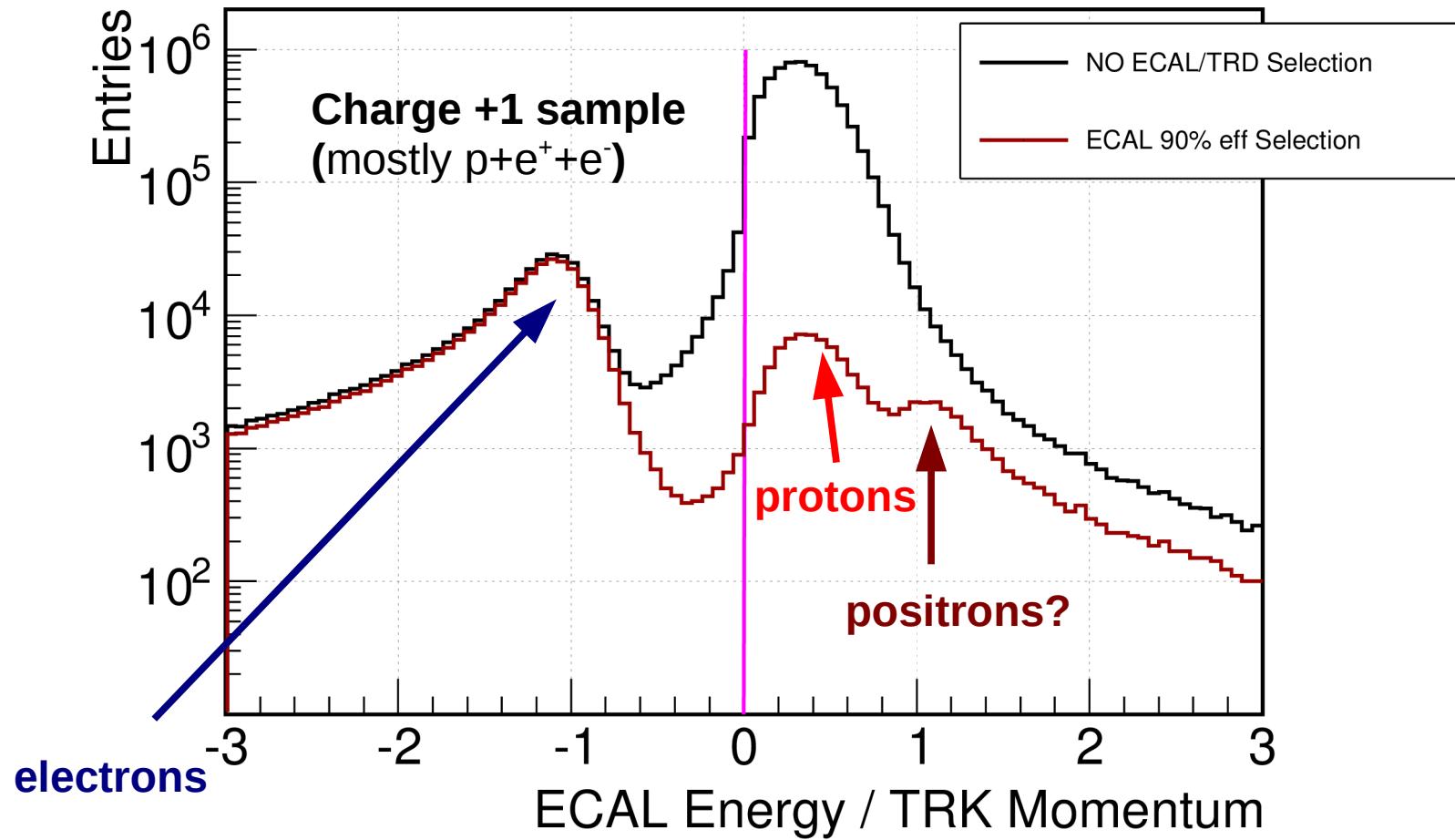
# BACKGROUND REDUCTION

ECAL Energy [20.0 - 100.0] GeV



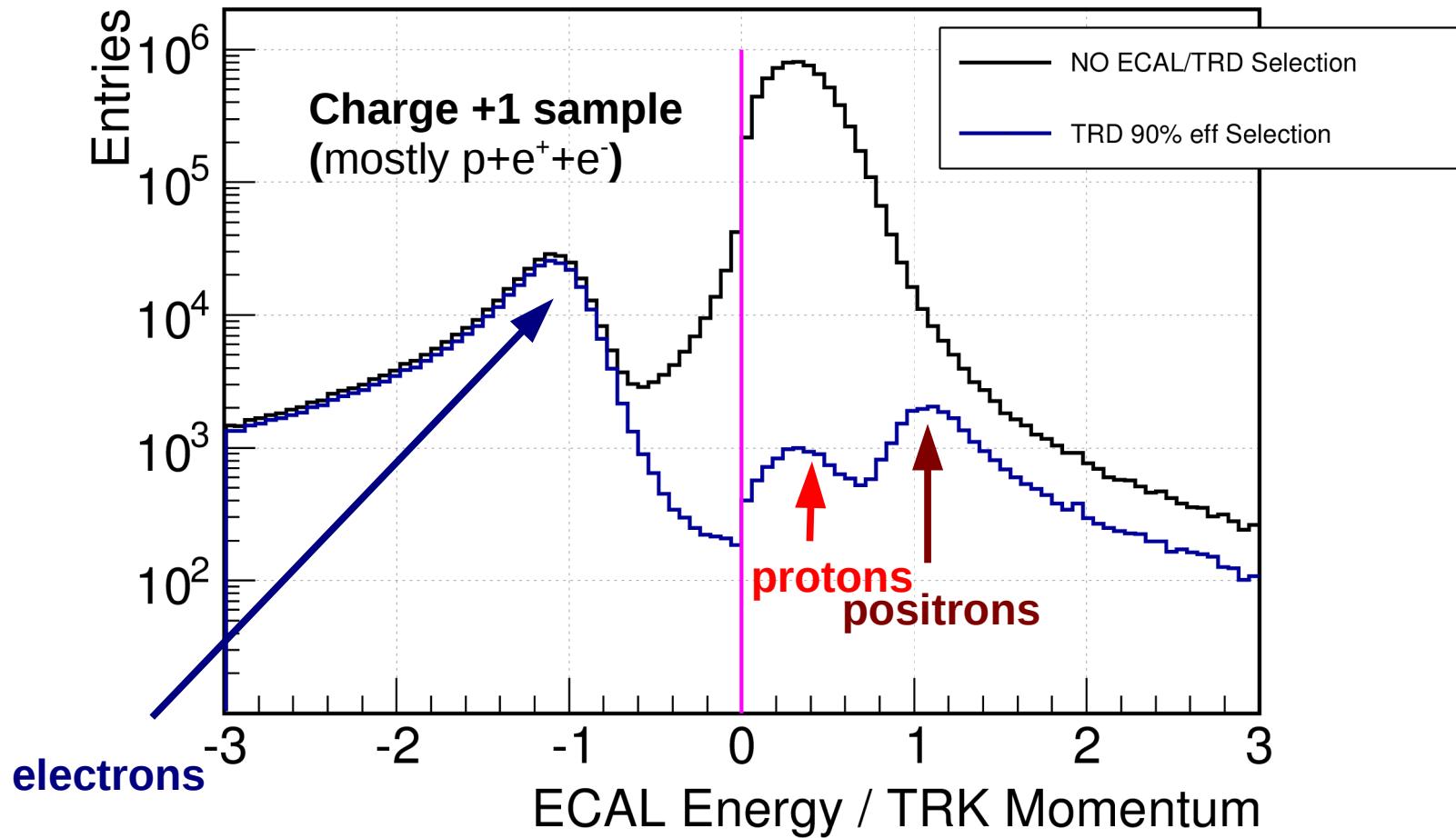
# BACKGROUND REDUCTION

ECAL Energy [20.0 - 100.0] GeV

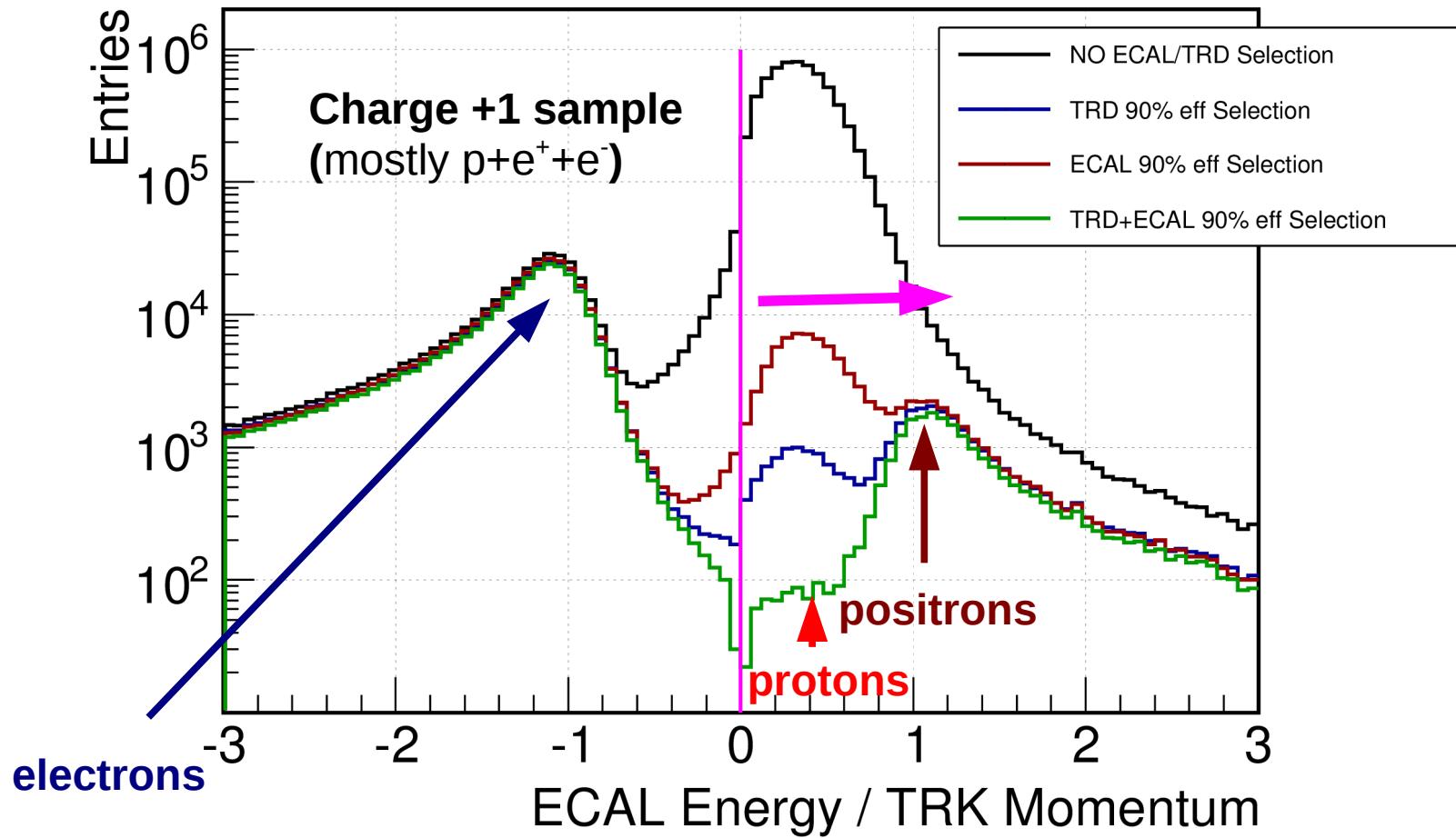


# BACKGROUND REDUCTION

ECAL Energy [20.0 - 100.0] GeV



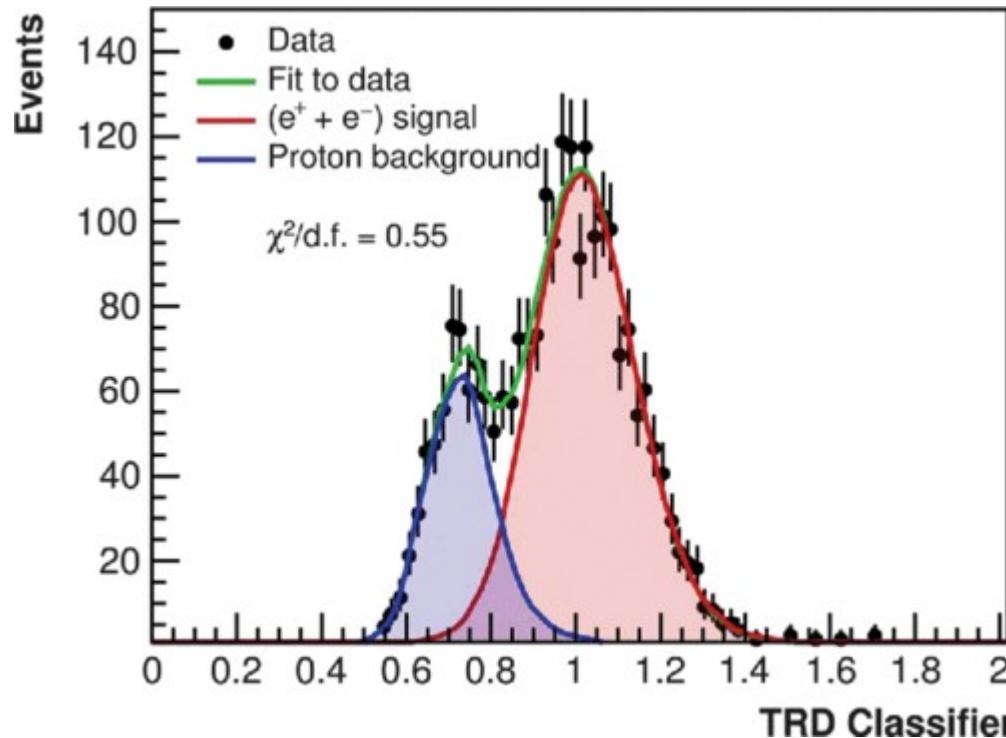
## ECAL Energy [20.0 - 100.0] GeV



What is the fraction of positrons in the remaining sample?  
What is the fraction of protons and wrong sign electrons?

# ELECTRON-POSITRON SUM FLUXES: TEMPLATE FIT

$e^\pm$  counts extracted fitting TRD classifier templates (reference shapes) to selected data

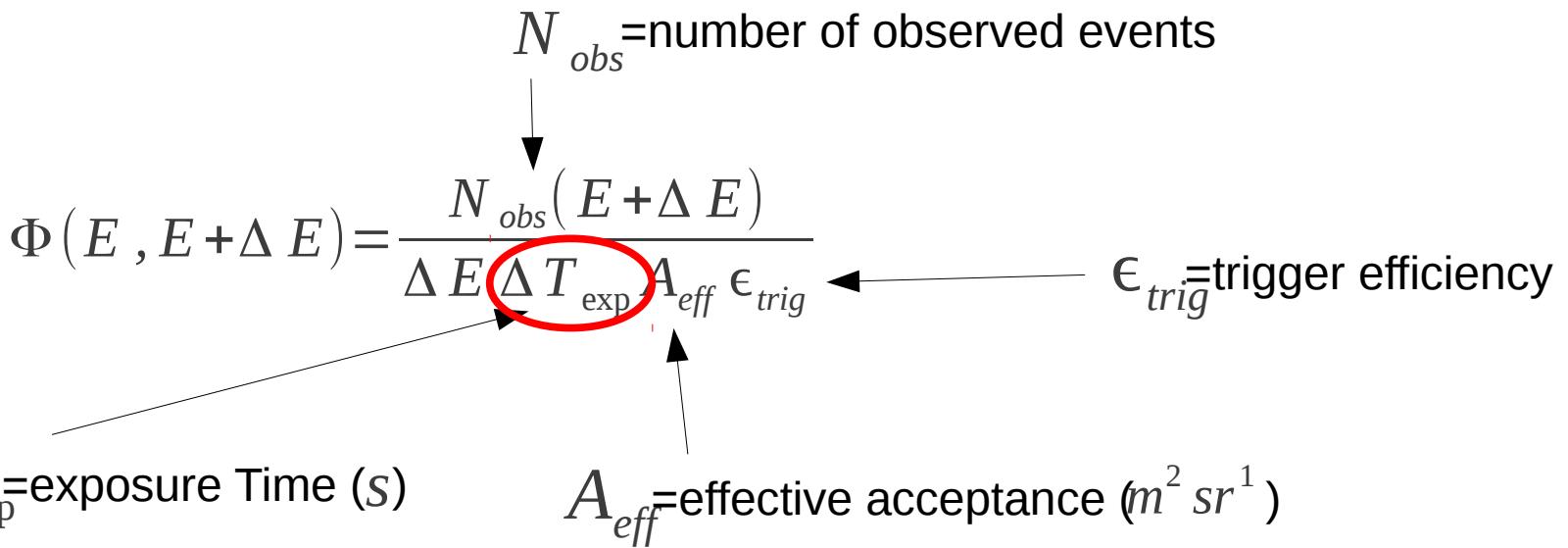


Template fits optimized for each analysis.

# INGREDIENTS FOR A FLUX MEASUREMENT

$$\Phi(E, E + \Delta E) = \frac{N_{obs}(E + \Delta E)}{\Delta E \Delta T_{exp} A_{eff} \epsilon_{trig}}$$

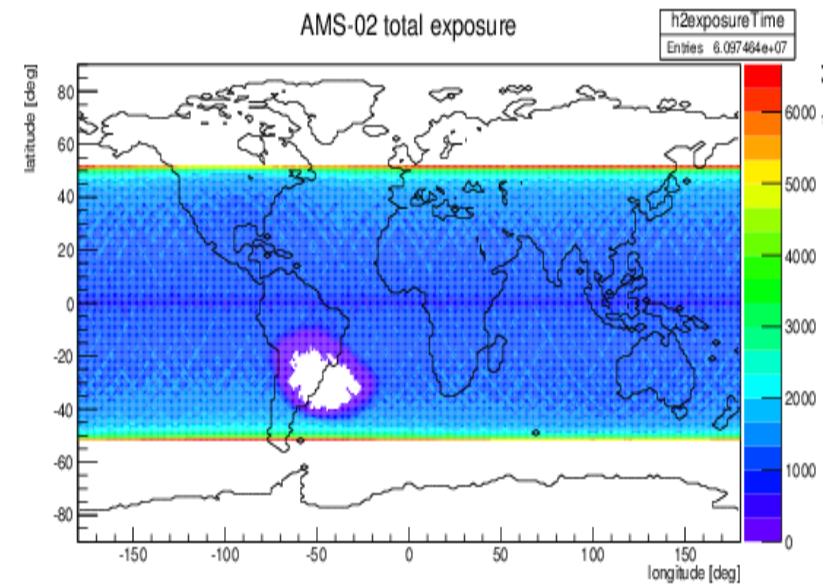
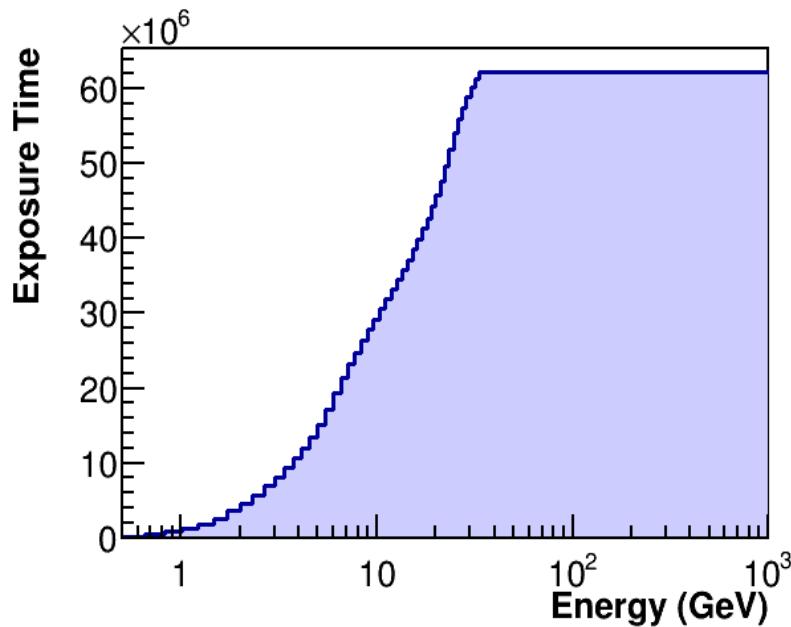
$N_{obs}$ =number of observed events  
 $\Delta T_{exp}$ =exposure Time (s)  
 $A_{eff}$ =effective acceptance ( $m^2 sr^{-1}$ )  
 $\epsilon_{trig}$ =trigger efficiency



## 2. Determine exposure time

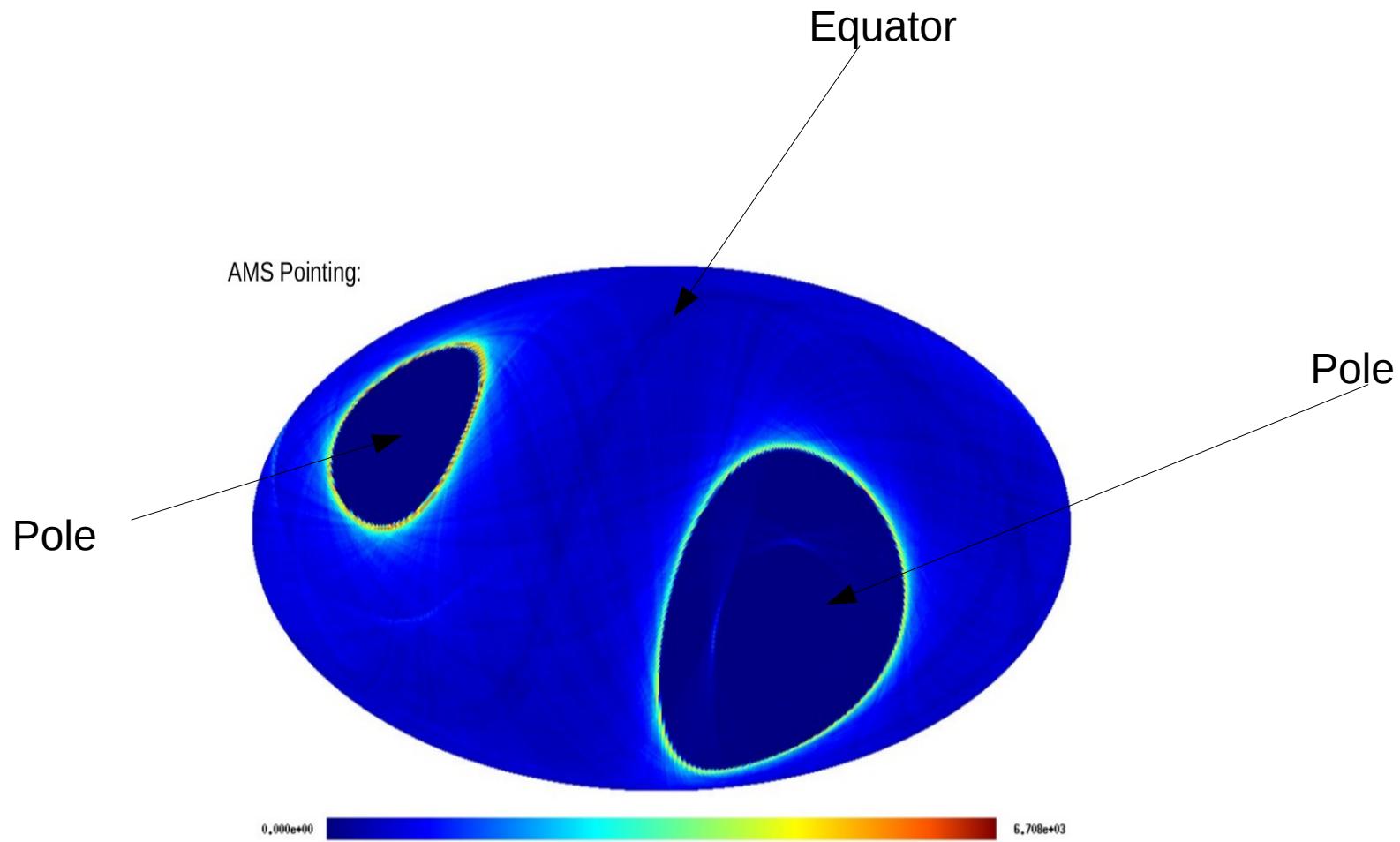
## EXPOSURE TIME

$$\Delta T_{\text{exp}} = \text{Time in which we could take data} = \Delta T_{\text{obs}} \times \tau_{\text{life}}$$



Depends on energy due to  
geomagnetic cutoff cut

## POINTING DIRECTION



$$\Phi(E, E + \Delta E) = \frac{N_{obs}(E + \Delta E)}{\Delta E \Delta T_{exp} A_{eff} \epsilon_{trig}}$$

$N_{obs}$ =number of observed events

$\epsilon_{trig}$ =trigger efficiency

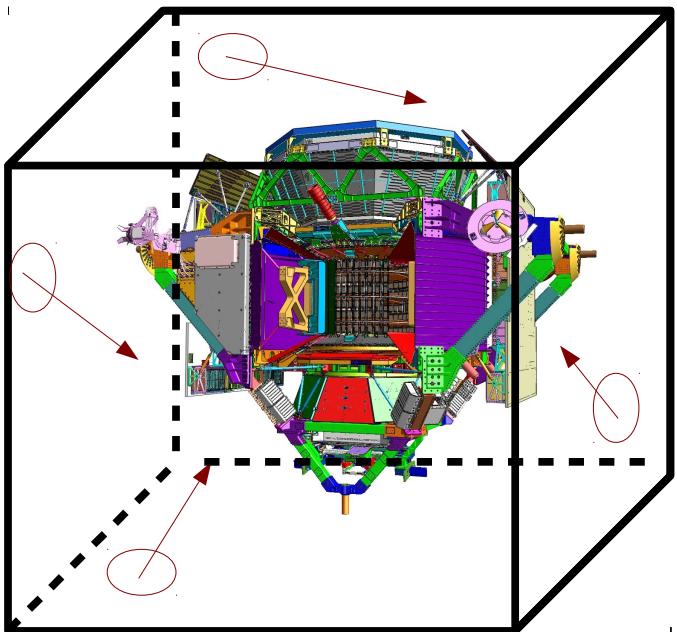
$\Delta T_{exp}$ =exposure Time (s)

$A_{eff}$ =effective acceptance ( $m^2 sr^{-1}$ )

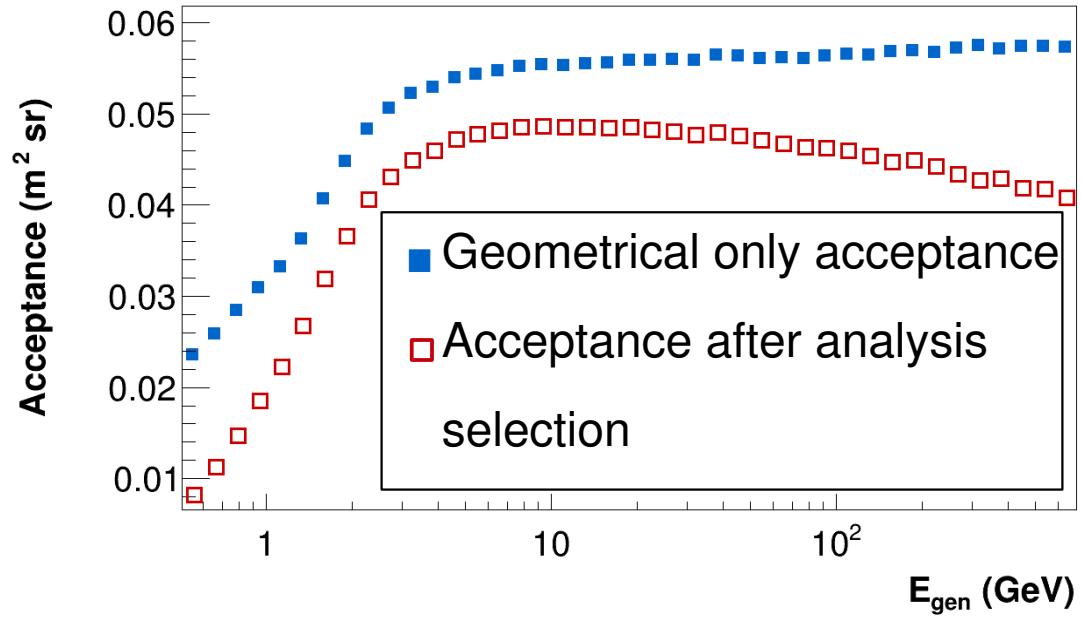
The term  $A_{eff} \epsilon_{trig}$  is highlighted with a red oval.

### 3. Determine acceptance

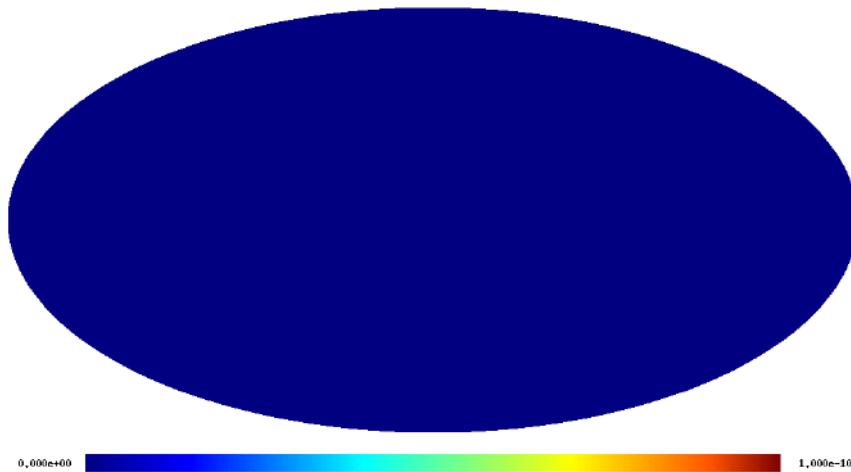
# ACCEPTANCE



$$A_{\text{eff}}(E) = A_{\text{generated}} \times \frac{N_{\text{selected}}(E)}{N_{\text{generated}}(E)}$$



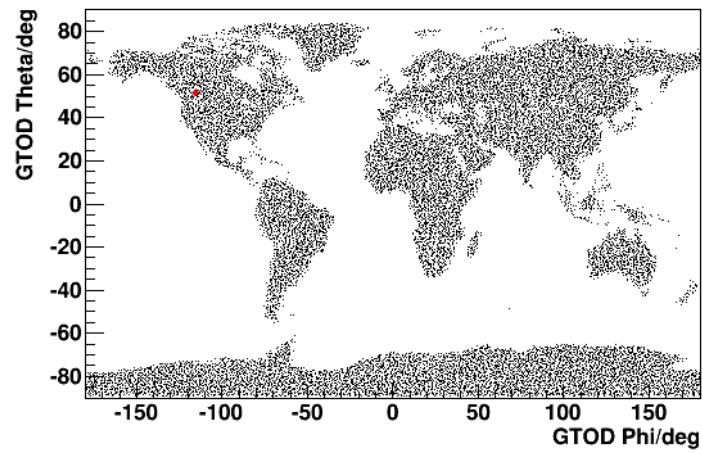
# EXPOSURE TIME x ACCEPTANCE



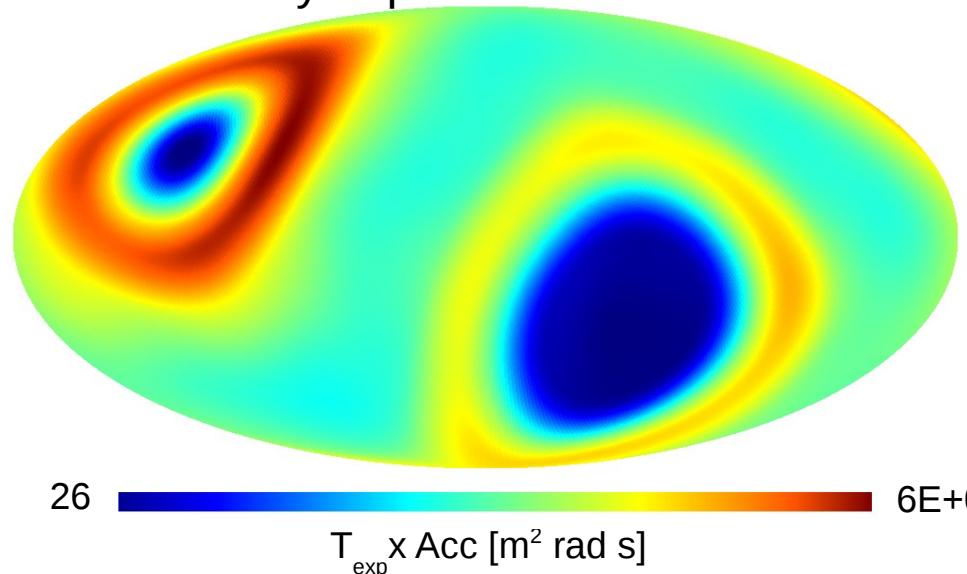
$T_{\text{exp}} \times \text{Acc} [\text{m}^2 \text{ rad s}]$



Sum over all pass4  
May 2011-Nov 2013

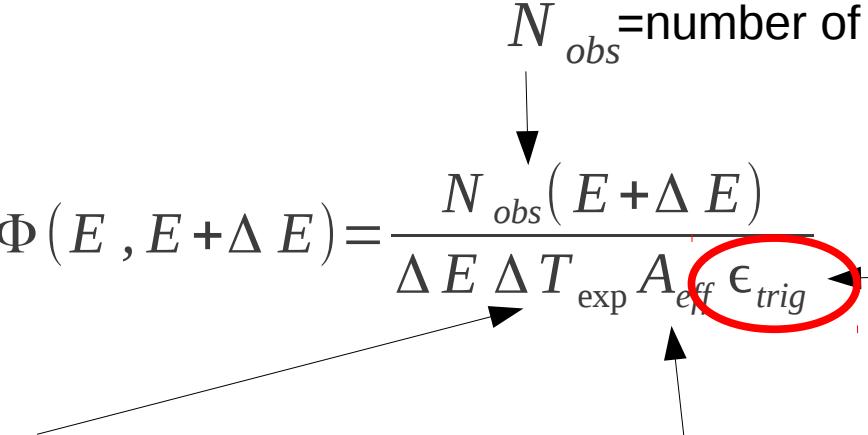


IsoSkyMap for  $e^+/e^-$  selection



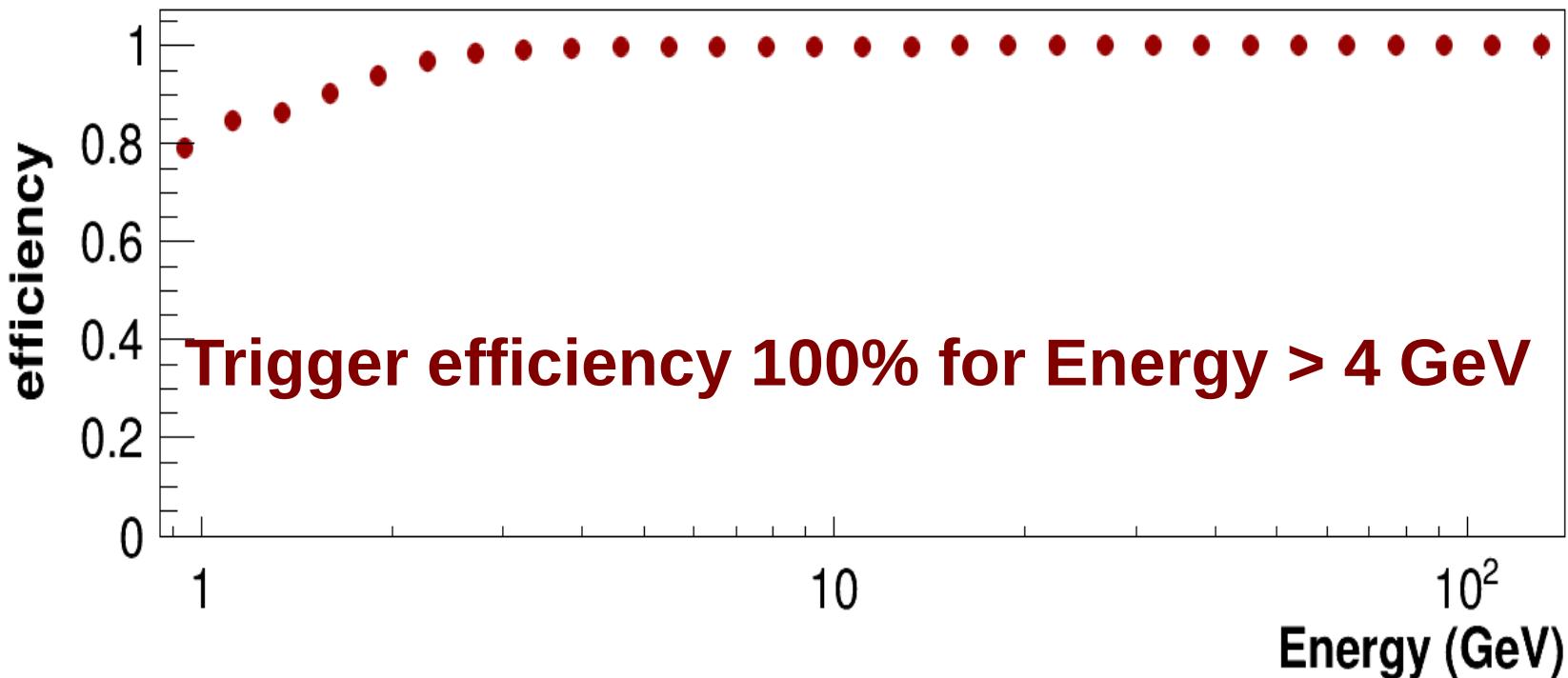
$$\Phi(E, E + \Delta E) = \frac{N_{obs}(E + \Delta E)}{\Delta E \Delta T_{exp} A_{eff} \epsilon_{trig}}$$

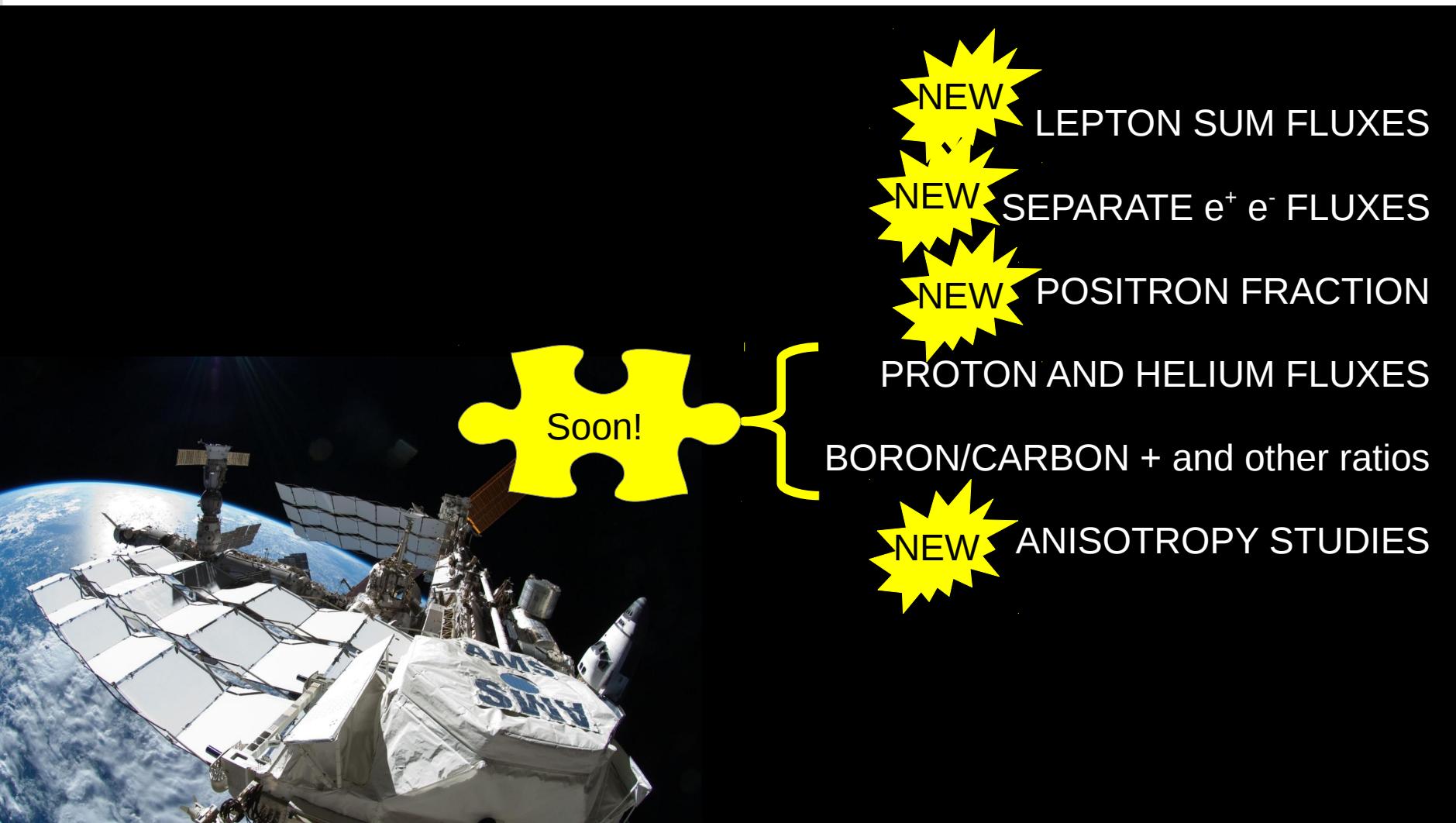
$N_{obs}$  = number of observed events  
 $\Delta T_{exp}$  = exposure Time (s)  
 $A_{eff}$  = effective acceptance ( $m^2 sr^{-1}$ )  
 $\epsilon_{trig}$  = trigger efficiency

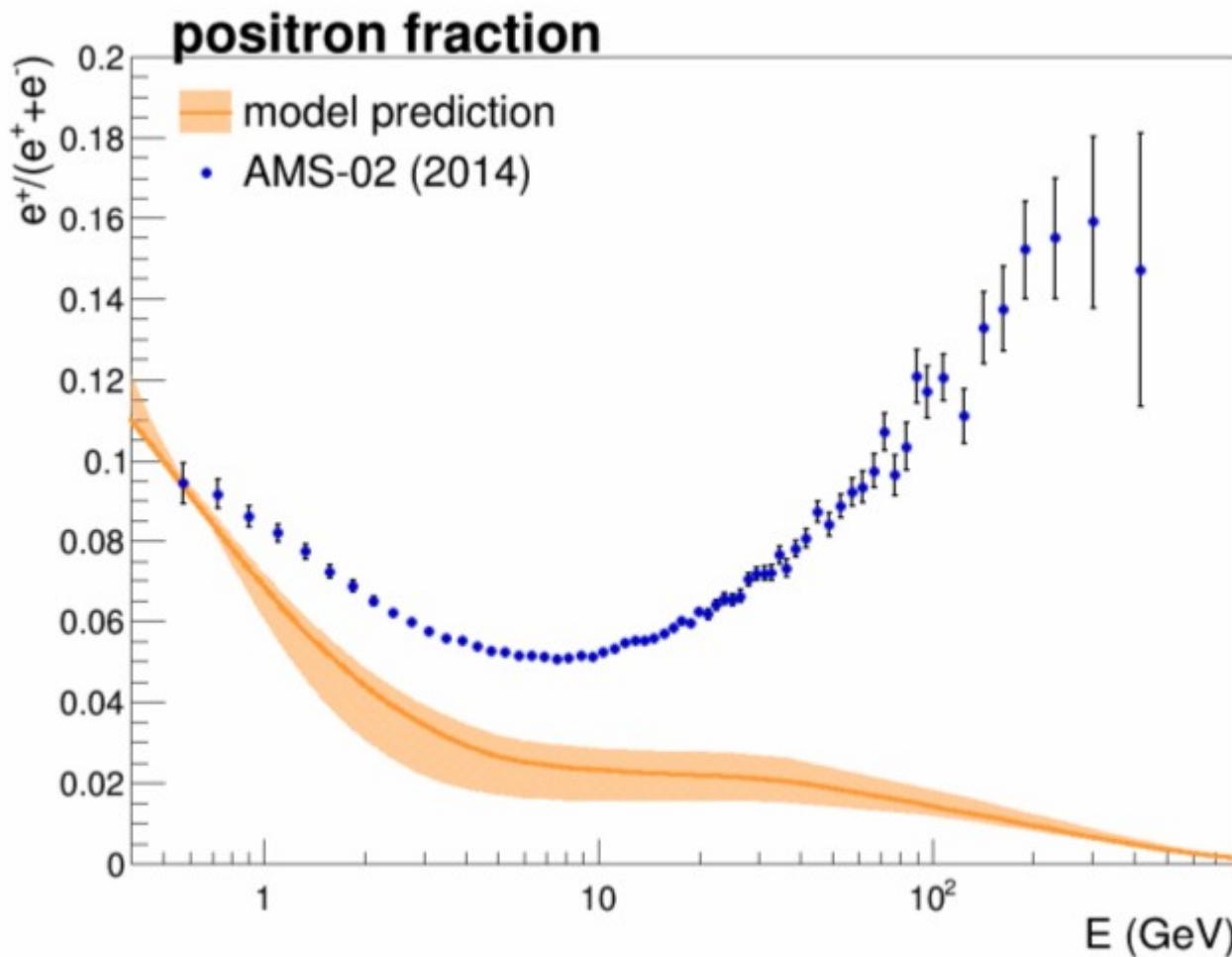


### 3. Determine trigger efficiency

Determined with ISS unbiased triggers  
(pre-scaled by 1/100)



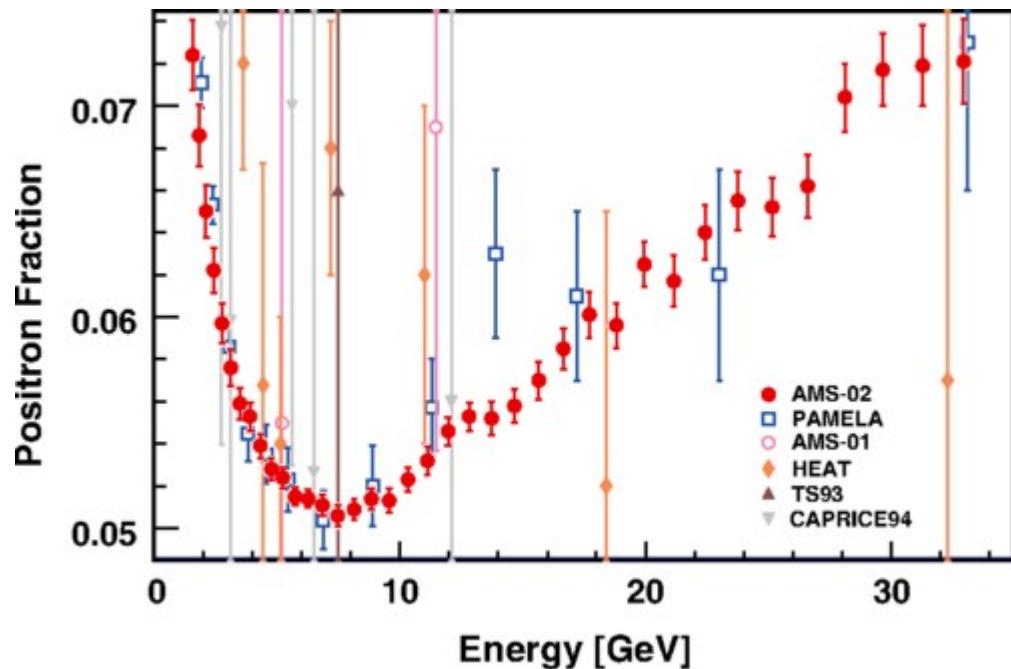




- based on 10.9 million  $e^+$  and  $e^-$  events
- Fraction measured from 0.5-500 GeV
- unexpected rise above a few GeV

Prediction for “standard cosmic ray” electrons (from SNR) + positrons (from proton-gas interactions).

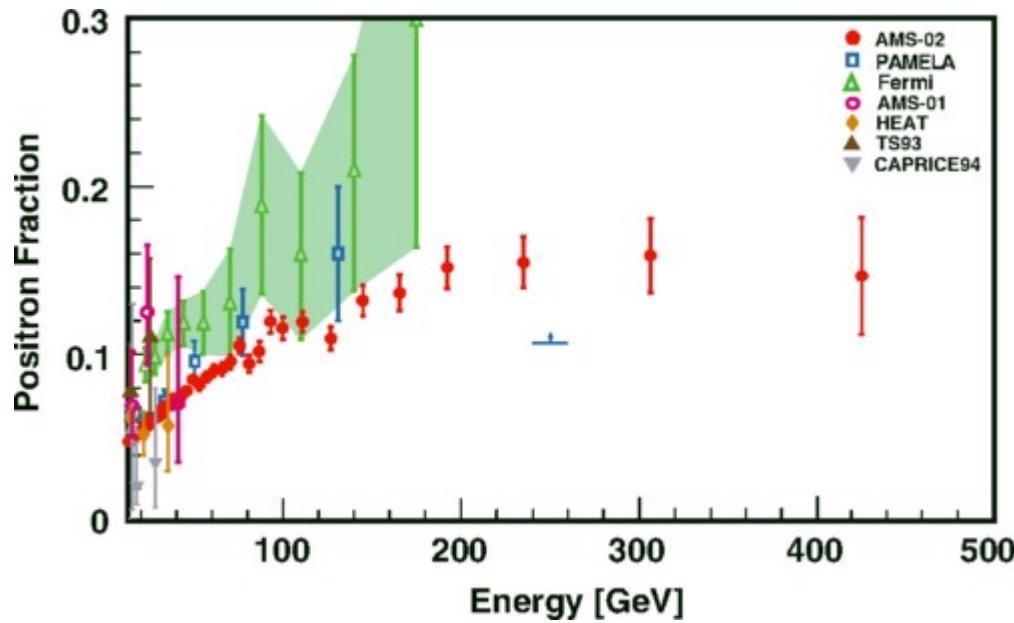
Phys. Rev. Lett. 113, 121101 (Sept. 2014)



- based on 10.9 million e+ and e- events
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- unexpected rise above a few GeV

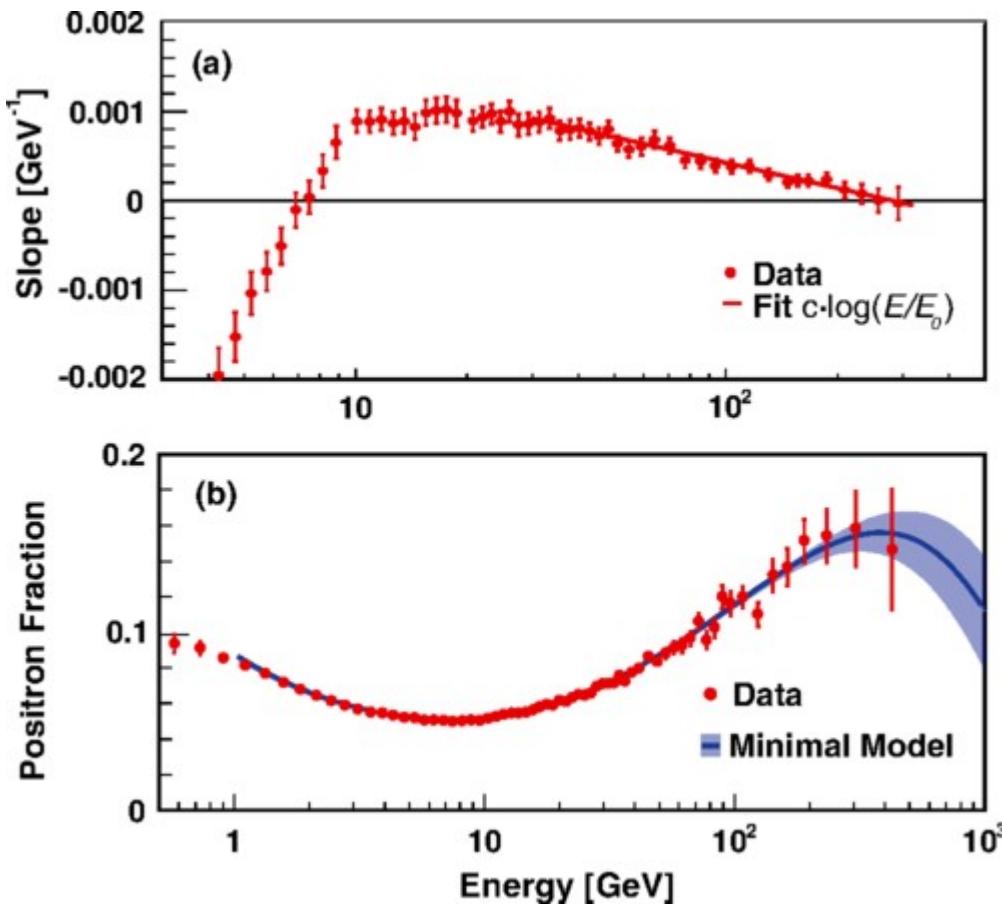
# POSITRON FRACTION UPDATE 2014

Phys. Rev. Lett. 113, 121101 (Sept. 2014)



- Flattening above 200 GeV confirmed
- Relative error on last point ~20%
- No hint at structure

Phys. Rev. Lett. 113, 121101 (Sept. 2014)



- Above 200 GeV the fraction no longer exhibits an increase with energy

- “Minimal model”:

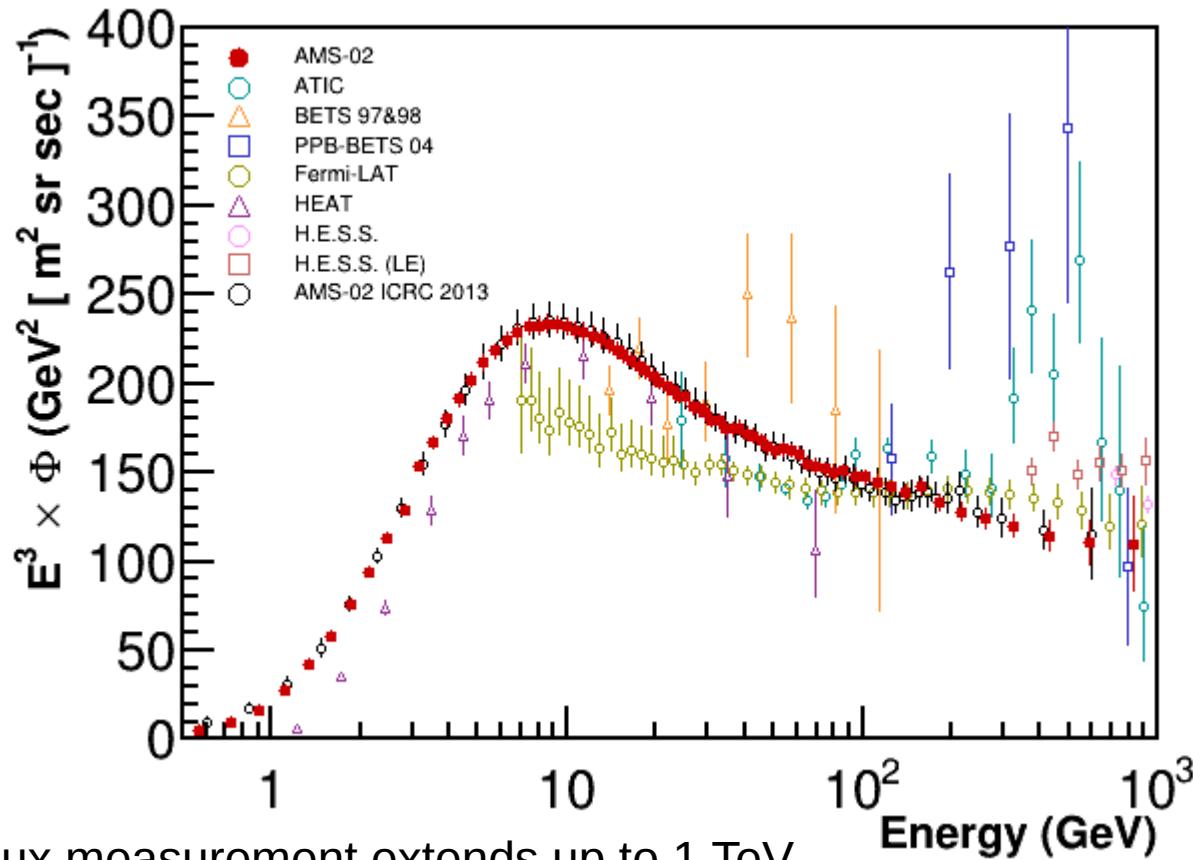
$$\Phi_{e+} = C_{e+} E^{-\gamma_{e+}} + C_c E^{-\gamma_c} e^{E \zeta_c}$$

$$\Phi_{e-} = C_{e-} E^{-\gamma_{e-}} + C_c E^{-\gamma_c} e^{E \zeta_c}$$

common source

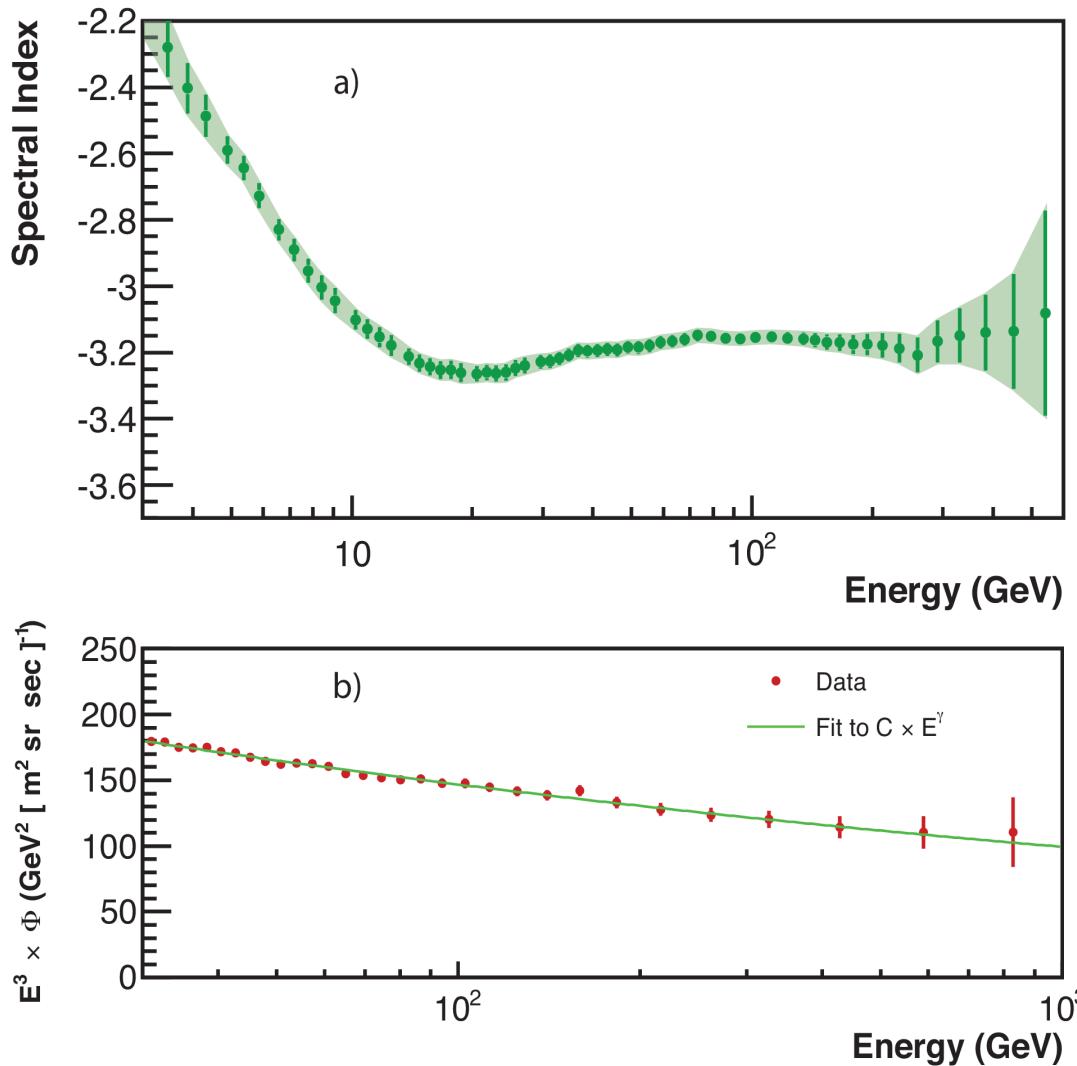
# ELECTRON+POSITRON SUM FLUX

**Phys. Rev. Lett.**  
**113, 221102**  
**(Nov 2014)**



- Sum flux measurement extends up to 1 TeV
- No feature in the sum flux

# ELECTRON+POSITRON SUM FLUX

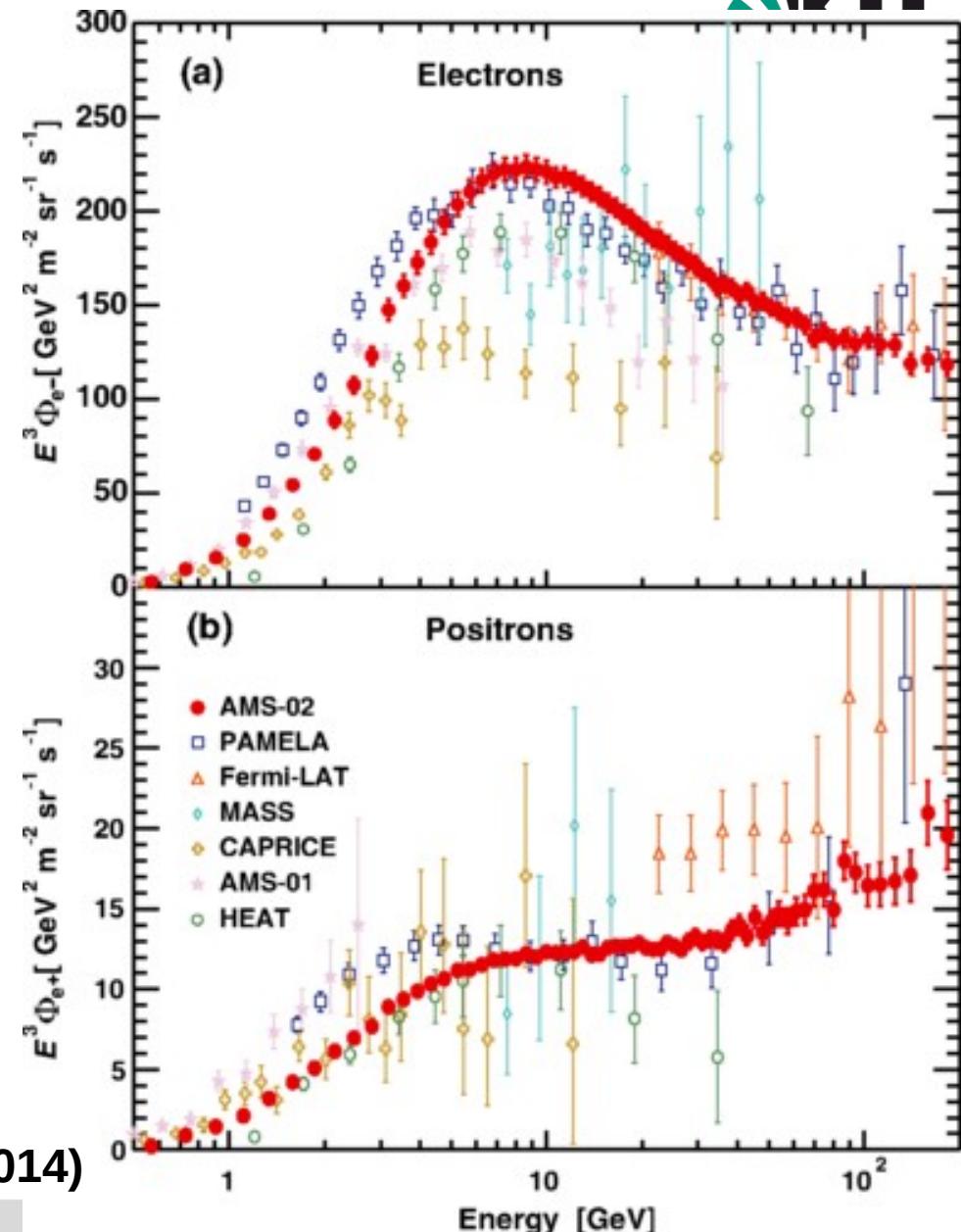


- Spectral index drops from -2.2 at 3 GeV to -3.2 above 10 GeV
- Remains constant above 30 GeV
- Single power law describes data above 30 GeV
- Below 30 GeV harder spectrum required

# ELECTRON AND POSTRON FLUX

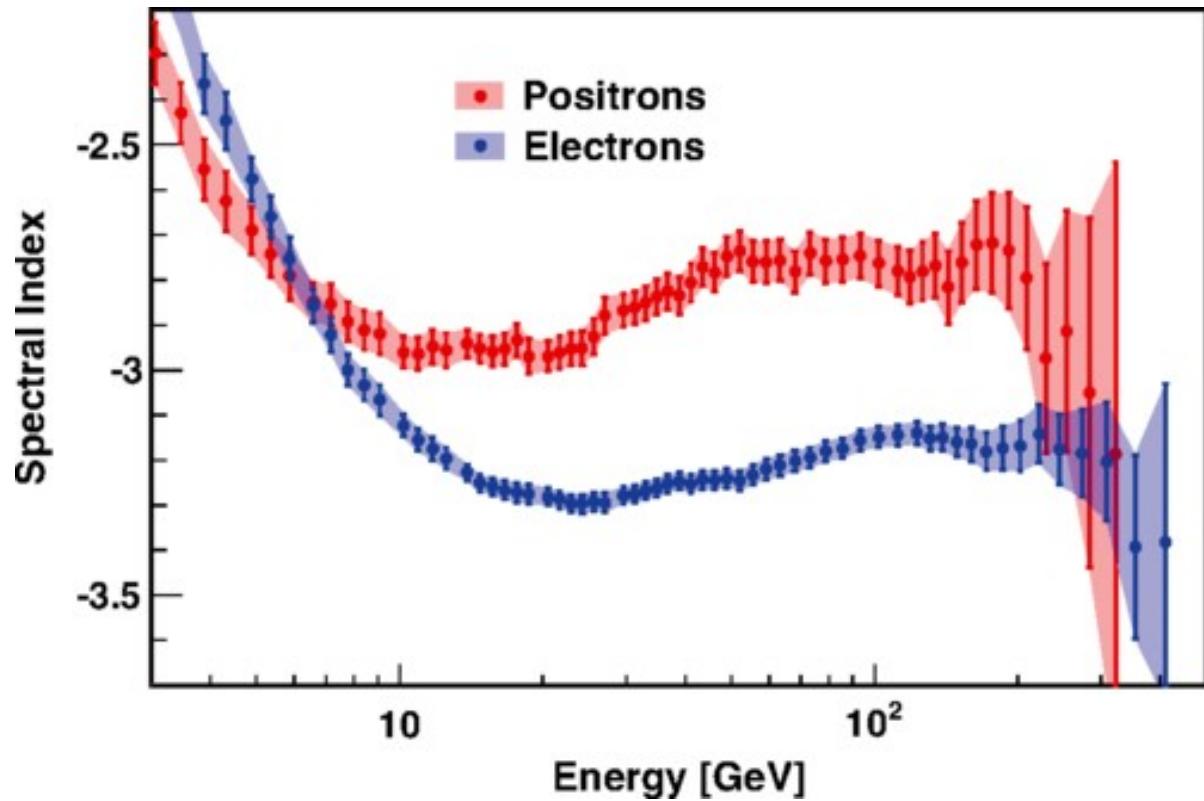
**Mainly primaries**  
(produced in SNR)

**Pure secondaries**  
(produced in ISM (p+gas))



Phys. Rev. Lett. 113, 121102 (Sept. 2014)

# ELECTRON AND POSTRON FLUX



# MODELLING CR TRANSPORT

USE:

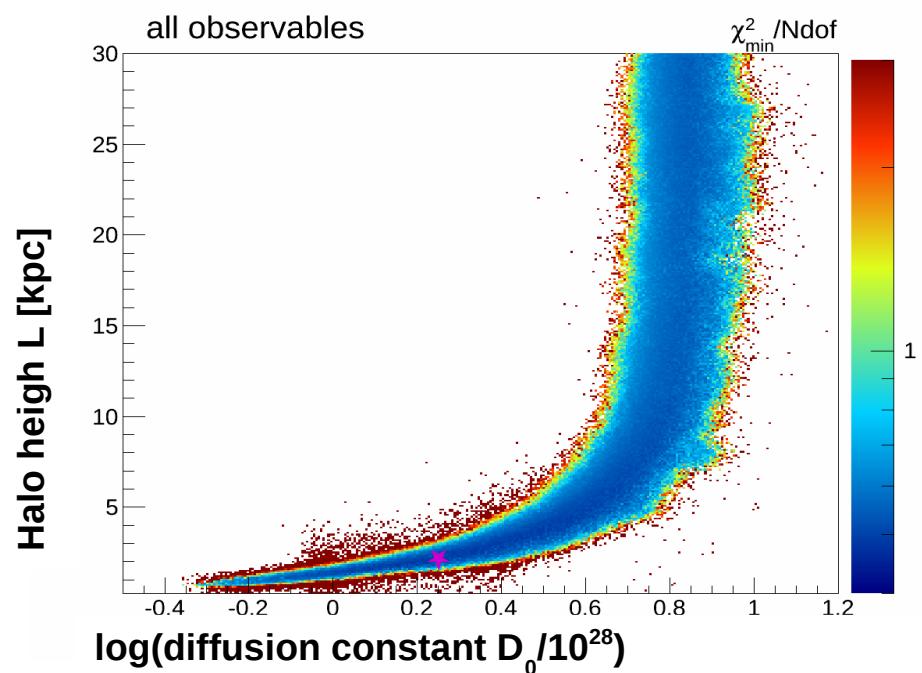
- Protons, antiprotons (PAMELA)
- B/C (**ACE, HEAO, CREAM**)
- $^{10}\text{Be}/^9\text{Be}$  (ACE, ISOMAXX)

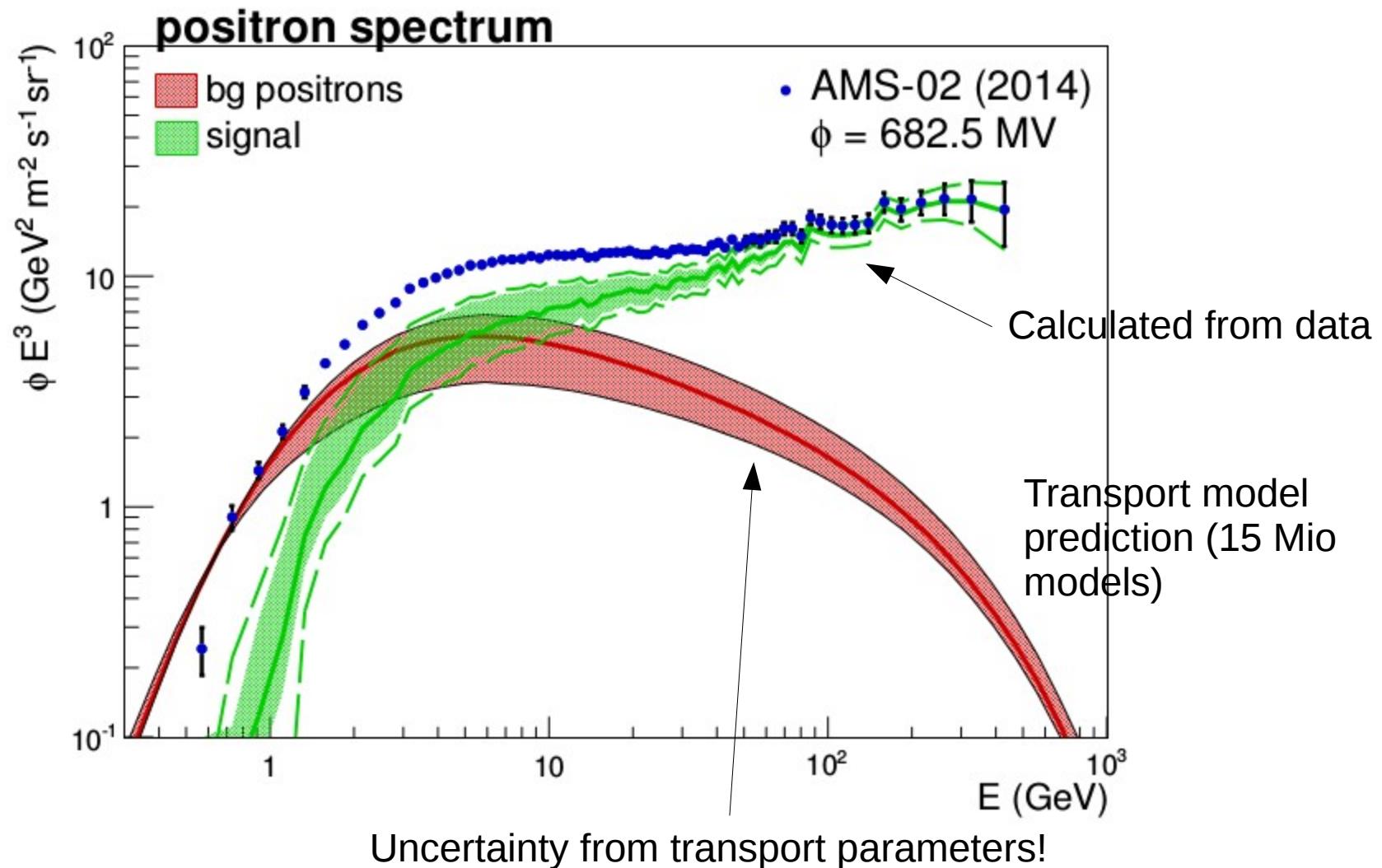


→ constrain transport parameter space using MCMC

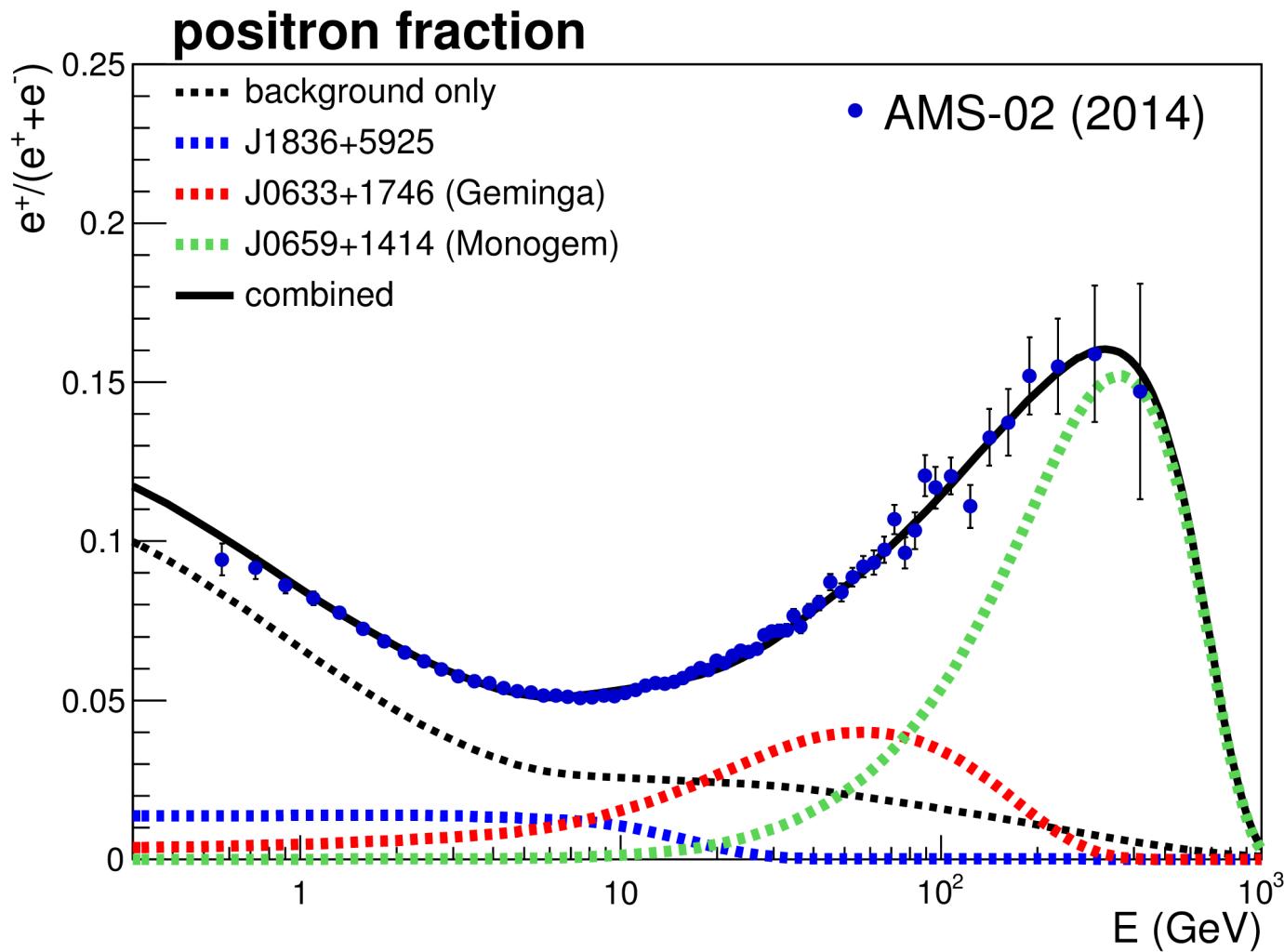
PREDICT: secondary e+ (e-), secondary p (+p),  
diffuse  $\gamma$ , synchrotron radiation.

**Analysis was performed at KIT  
using 15 Mio. evaluated models  
and public data → will serve as a  
starting point for similar studies  
using exclusively AMS-02 data.**



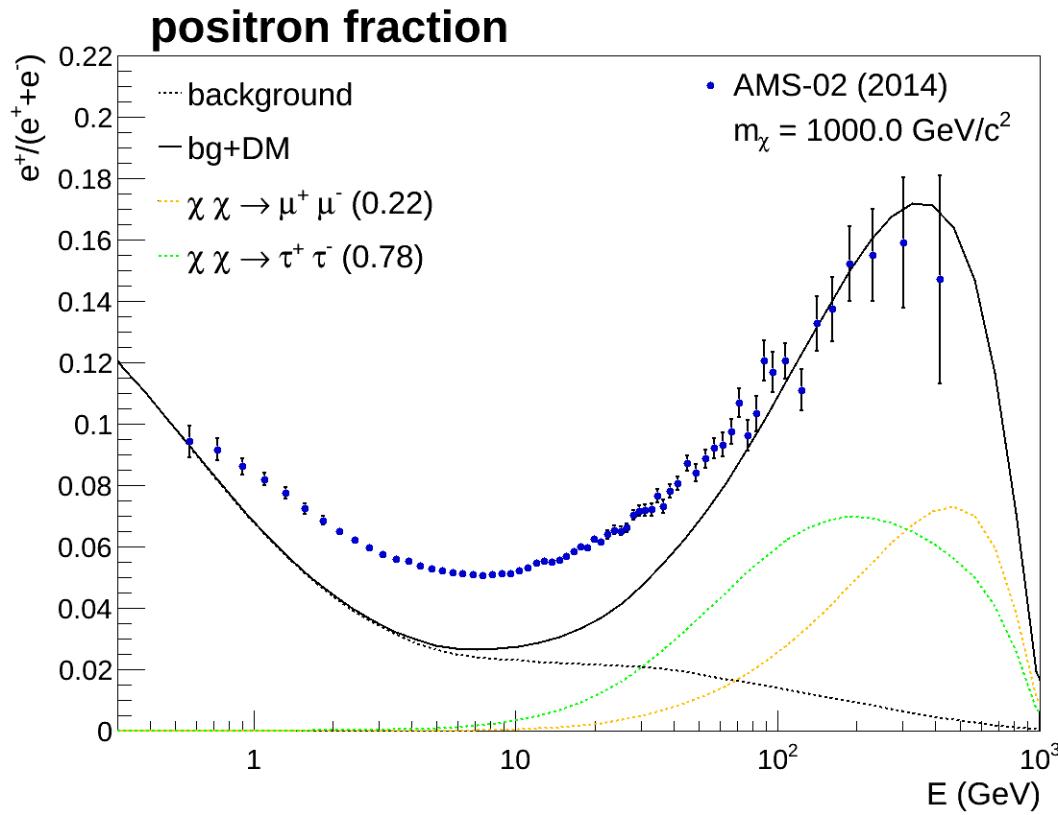


# PULSARS AS A POSSIBLE SOURCE



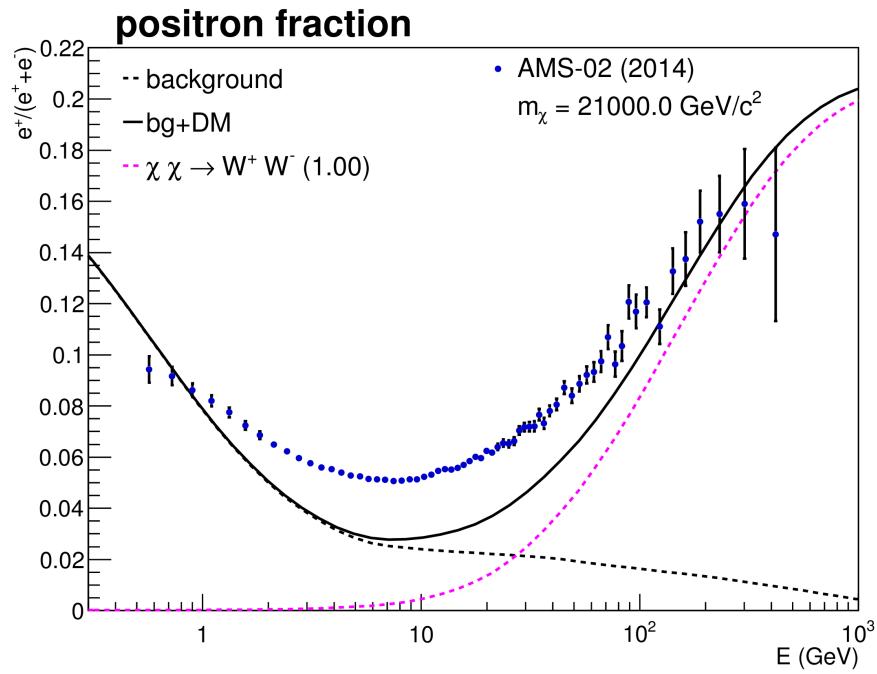
Pulsars tuned  
to AMS data.

# DARK MATTER ANNIHILATION AS A POSSIBLE SOURCE

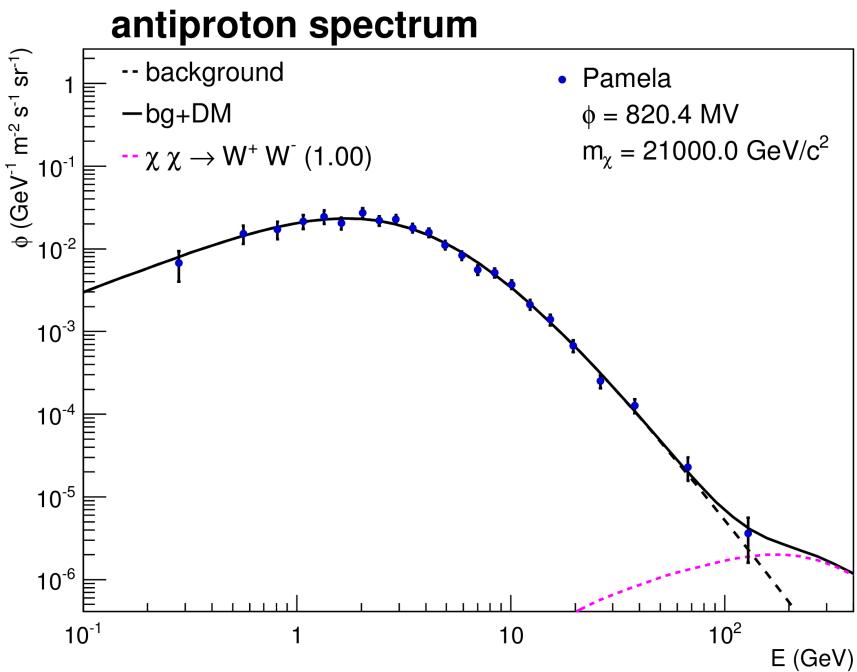


“Leptophilic” DM candidate tuned to high energy data.

# DARK MATTER ANNIHILATION AS A POSSIBLE SOURCE



Hadronic contributions require very high DM masses to not violate antiproton constraints.

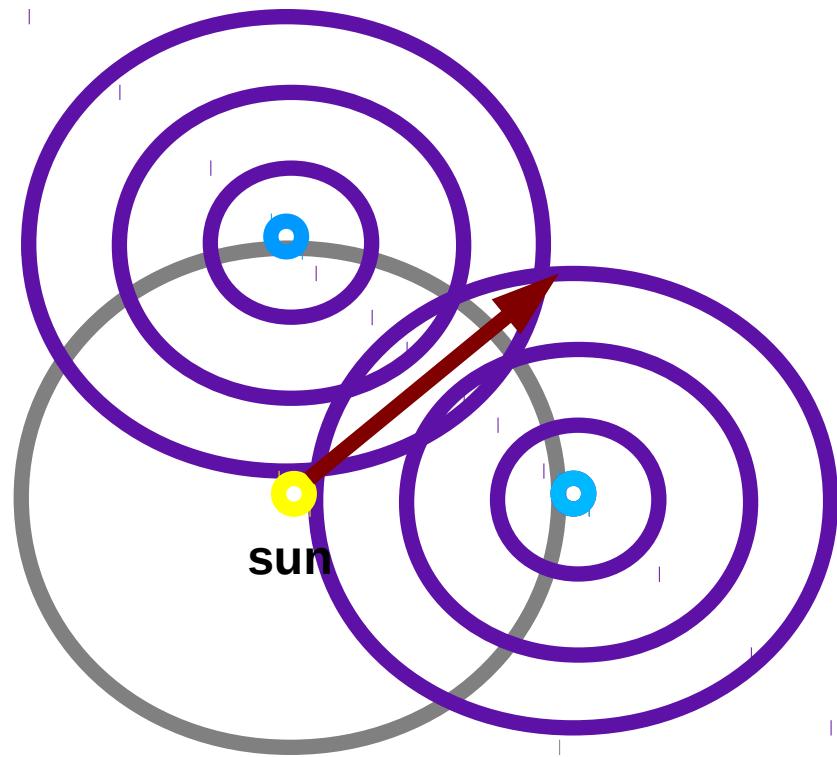
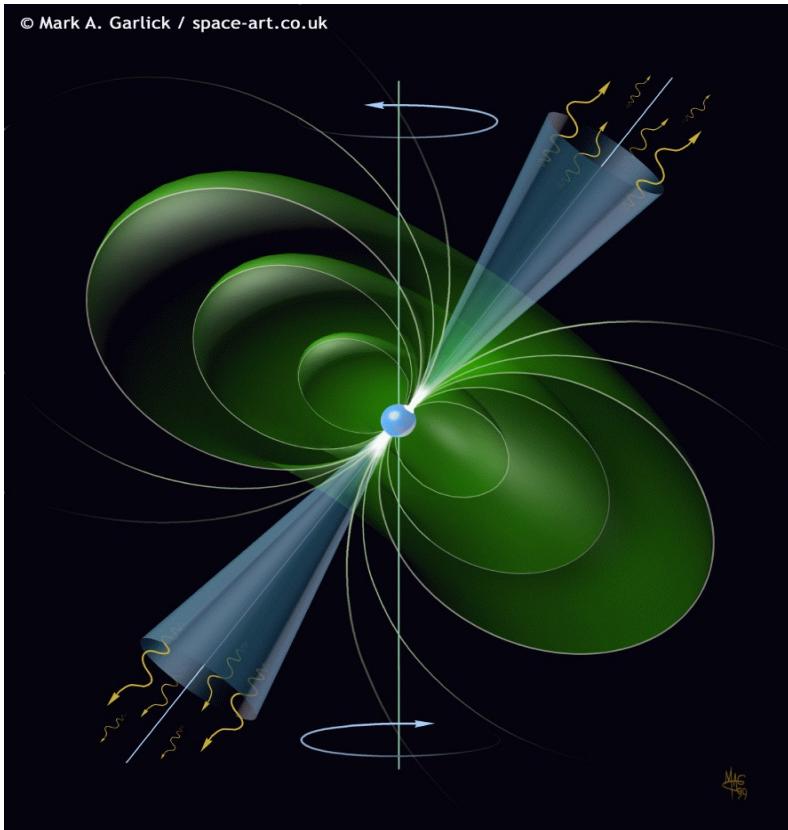


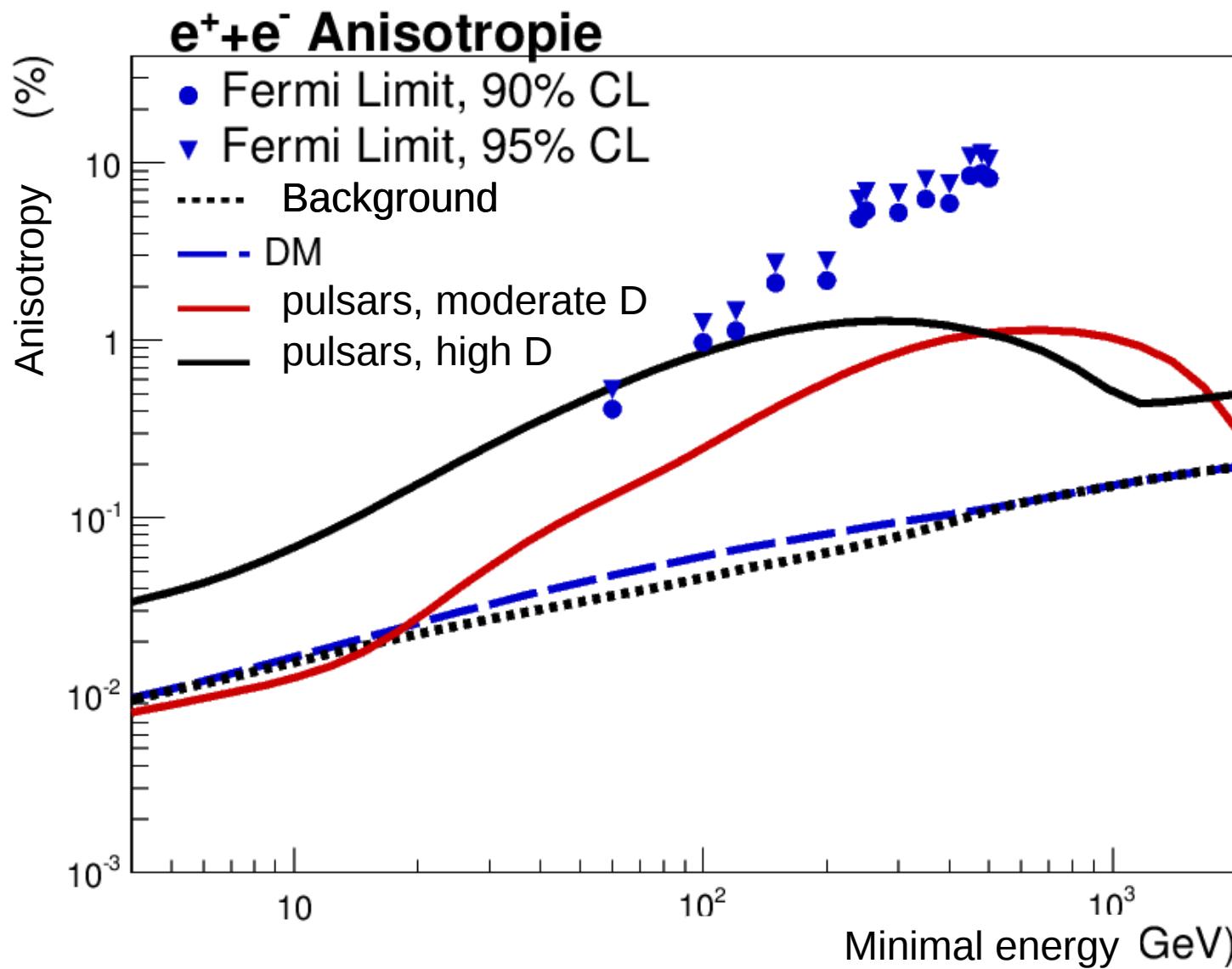
# HOW TO TELL PULSARS FROM DMA: ANISOTROPIES

Pulsar contribution?

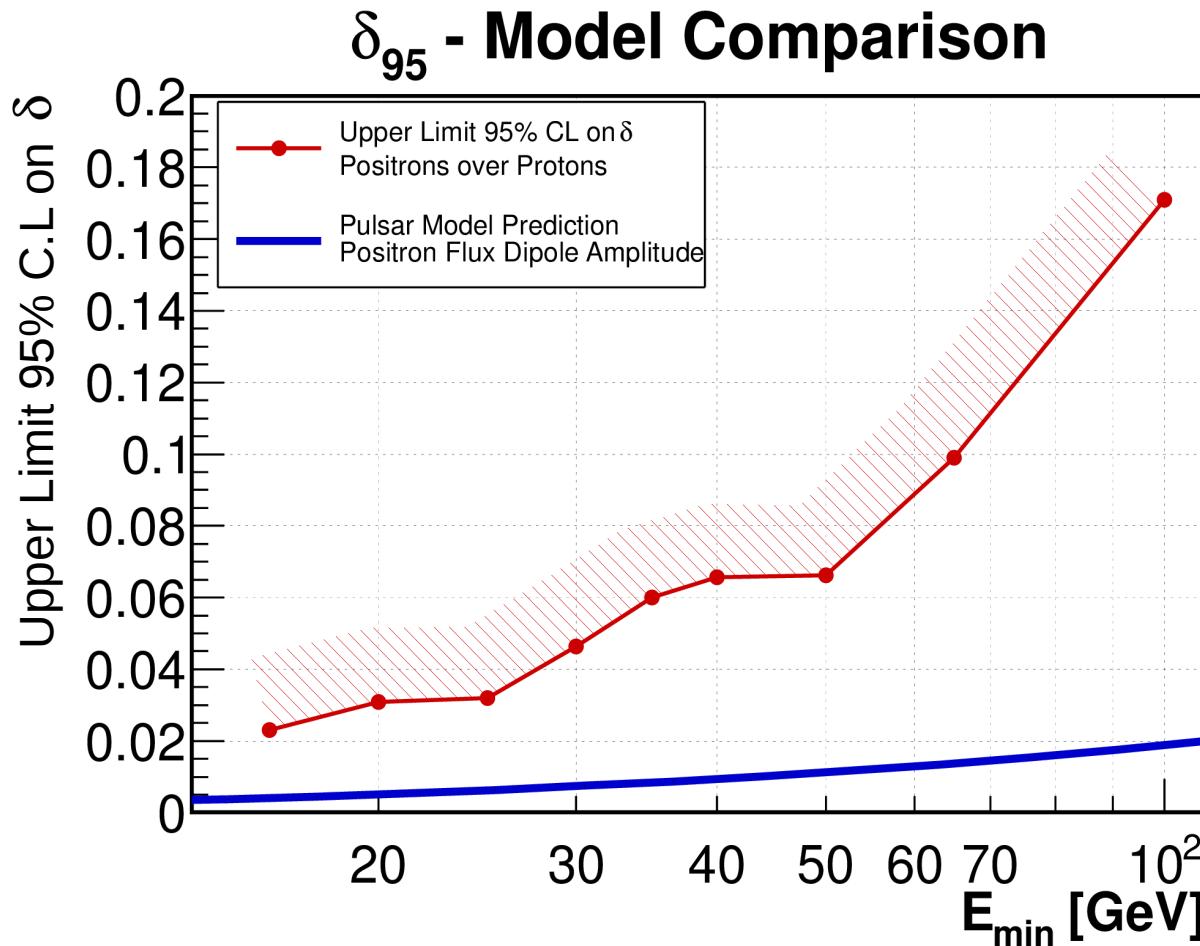


Expect anisotropies!  
Not the fluxes, but the arrival  
directions are the key to the  
lepton puzzle



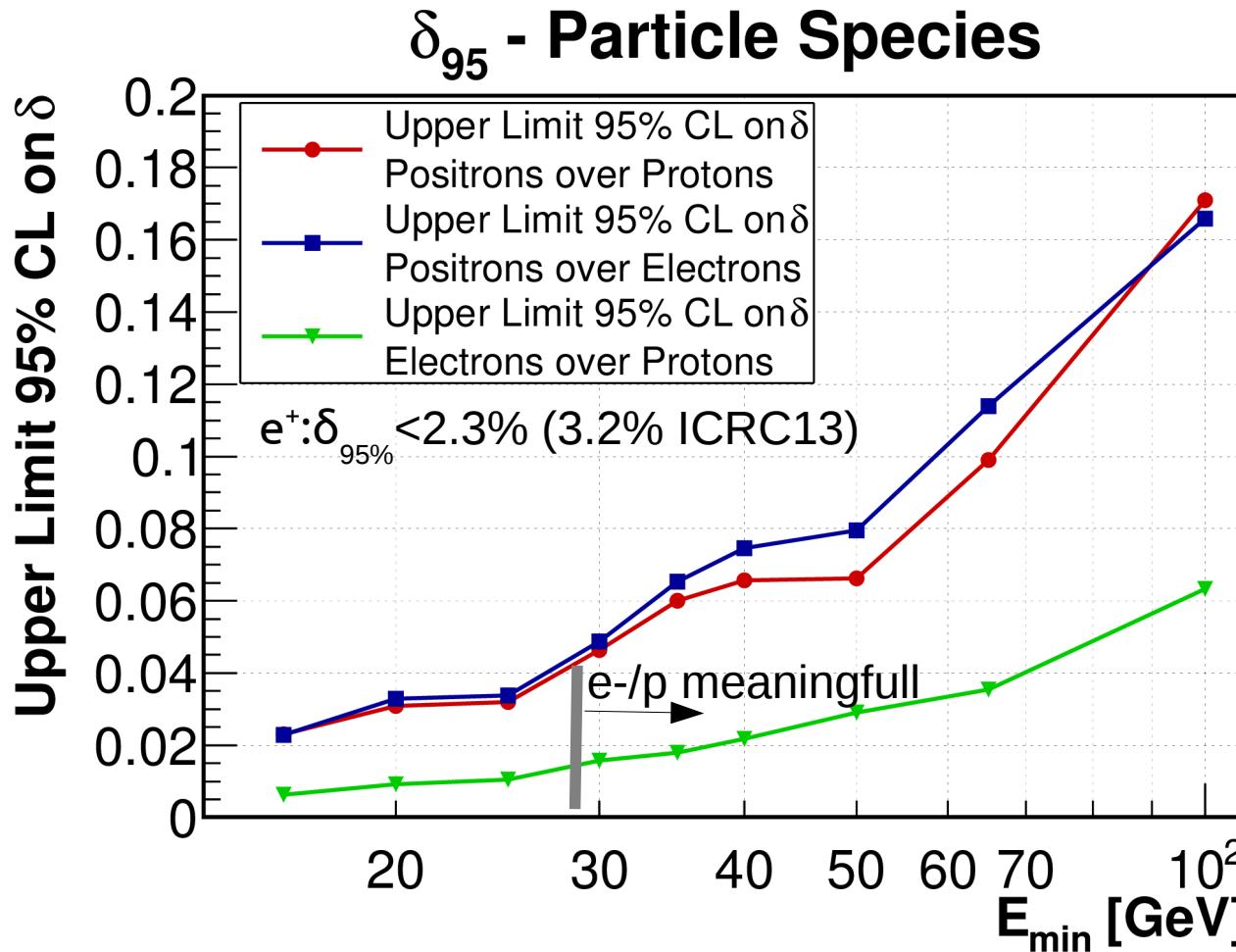


Expansion into spherical harmonics



- requires assumption on signal shape

Expansion into spherical harmonics



- Can electrons help?  
No, different charge signs require **only** over cutoff events
- limit calculation for  
 $\delta = \sqrt{\rho_1^2 + \rho_2^2 + \rho_3^2}$   
(profile likelihood)

AMS-02 is operating stable since May 2011. We collected 60 **Billion** events, out of which **10.6 Million** were identified as leptons so far.

We measured the flux of positrons up to **500 GeV**, electrons up to **750 GeV**, electrons+positrons up to **1 TeV** and the positron fraction up to **500 GeV**.

The positron fraction is compatible with a turnover beyond **200 GeV**.

The electron spectrum hardens beyond **30 GeV**.

All measurements are compatible with a common contribution to the positron and electron flux, which starts to dominate over the positron flux in the range 1 GeV to 10 GeV.

**Proton and helium fluxes  
will be published in the  
near future!**

