

# Forward Top Physics at LHCb

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University of Birmingham HEP Seminar

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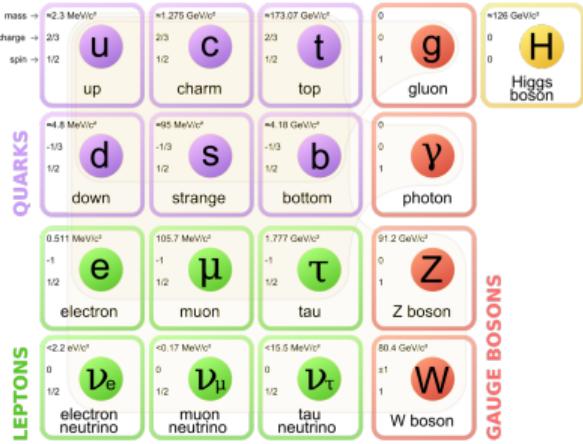


## outline

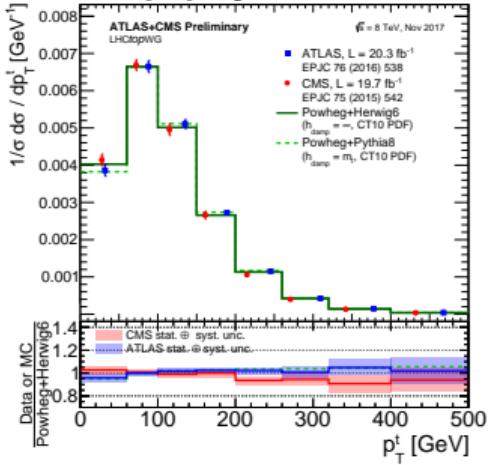
- 1 introduction**
- 2 heavy flavour tagging**
- 3 Run 1 measurements**
- 4 Run 2 measurements**
- 5 conclusion**

# introduction

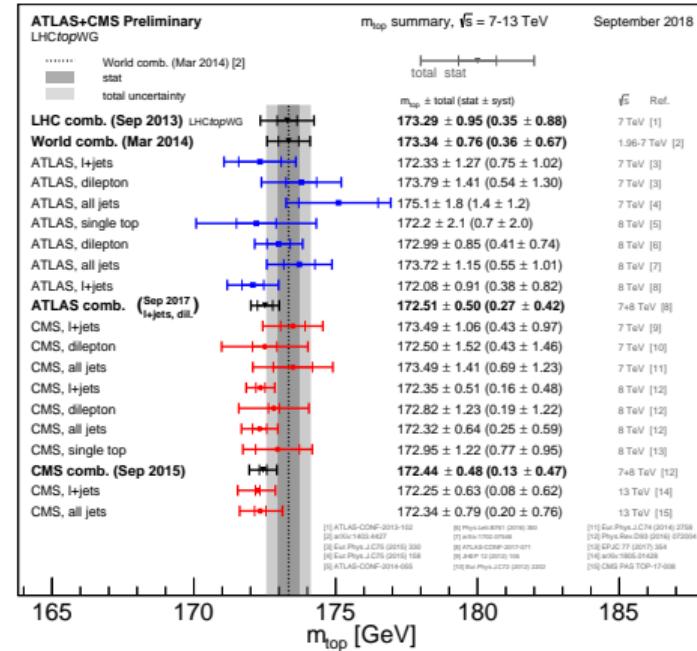
# the top quark

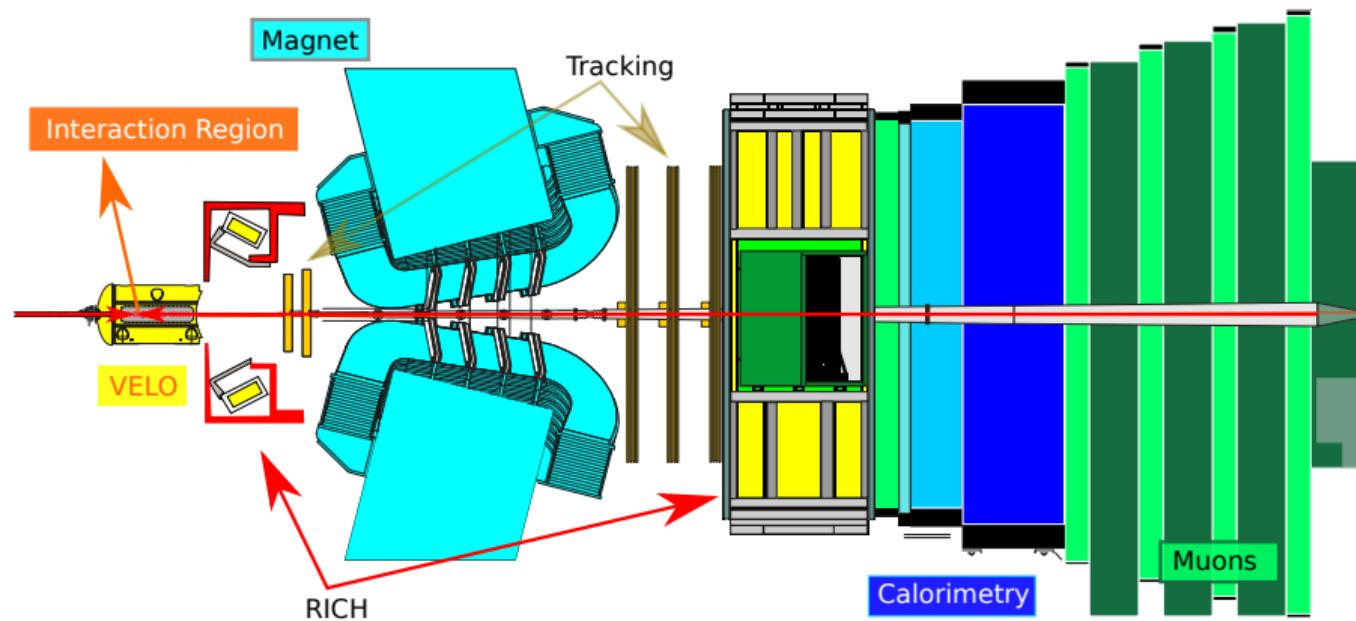


# LHC top physics

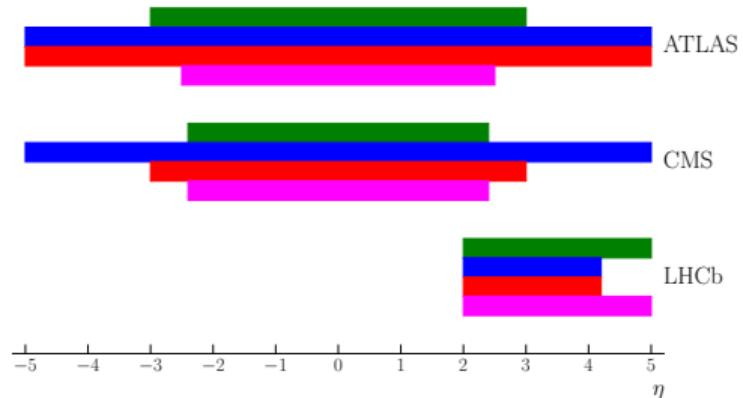
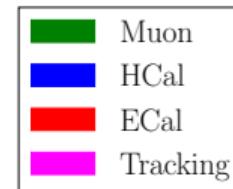
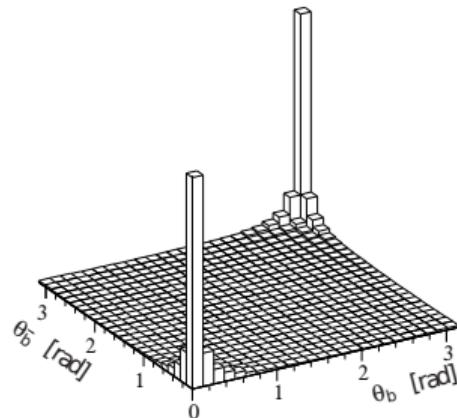


- the LHC is a top factory
- extensive programs at ATLAS and CMS to measure its properties
- theoretical predictions available at NNLO+NLL
- we've entered the era of precision top physics
- what can LHCb add to the picture?



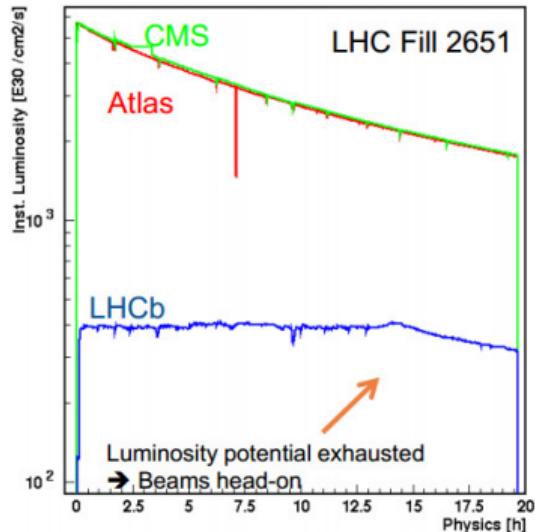


# LHCb - running conditions

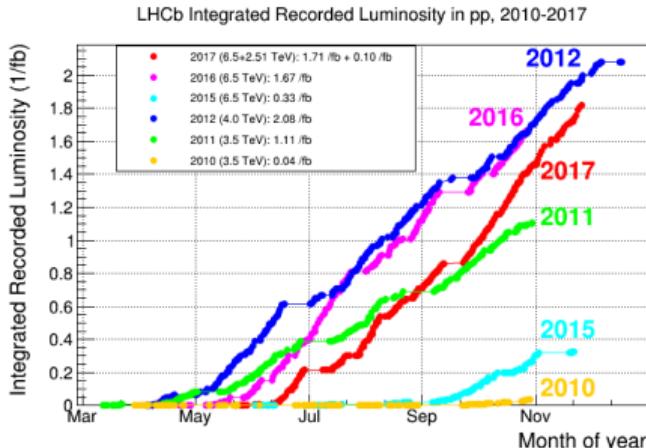


- optimised to study beauty and charm hadrons
- fully instrumented in the forward region
  - $2 < \eta < 5$
  - ideal acceptance for  $b\bar{b}$  events
- precise vertex detector
  - separate primary and secondary vertices

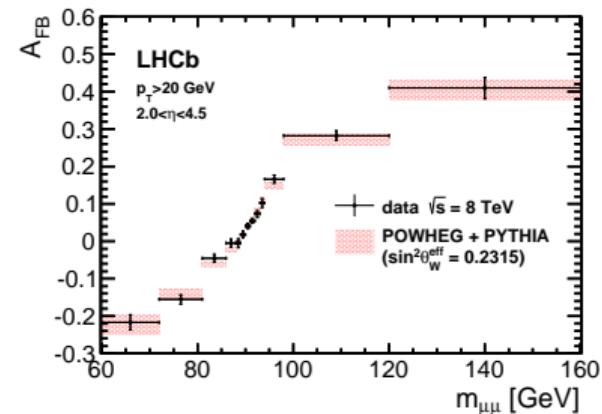
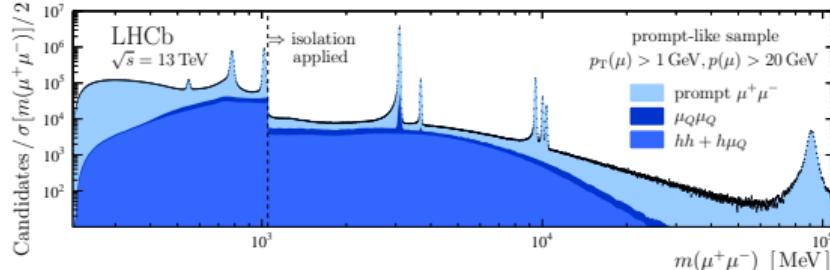
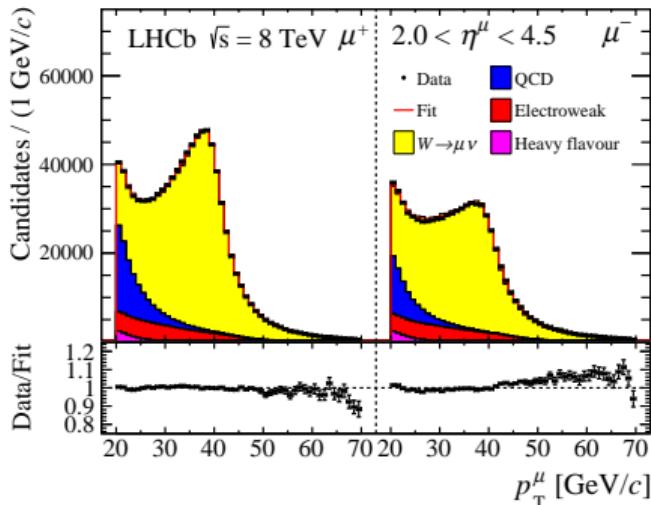
# data-taking at LHCb



- stable data-taking with luminosity levelling
- average pile-up  $\sim 2$  (twice design)
- 1 and  $2 \text{ fb}^{-1}$  collected in Run 1 at 7 and 8 TeV
  - ATLAS/CMS collected approximately  $25 \text{ fb}^{-1}$
- LHCb is expecting  $\sim 6 \text{ fb}^{-1}$  at 13 TeV by end of Run 2



# LHCb - a general purpose detector in the forward region



- LHCb explores from very low to very high  $p_T$
- strange physics, e.g.  $K_s^0 \rightarrow \mu\mu$
- measurements of  $W$  and  $Z$  production and decay
  - PDF constraints in a unique kinematic region
- direct searches for new physics, e.g. Dark Photons, LLPs

# LHCb as a top detector

- the top quark is over 30 times heavier than a  $B$  hadron
- how does LHCb fare as a top detector?

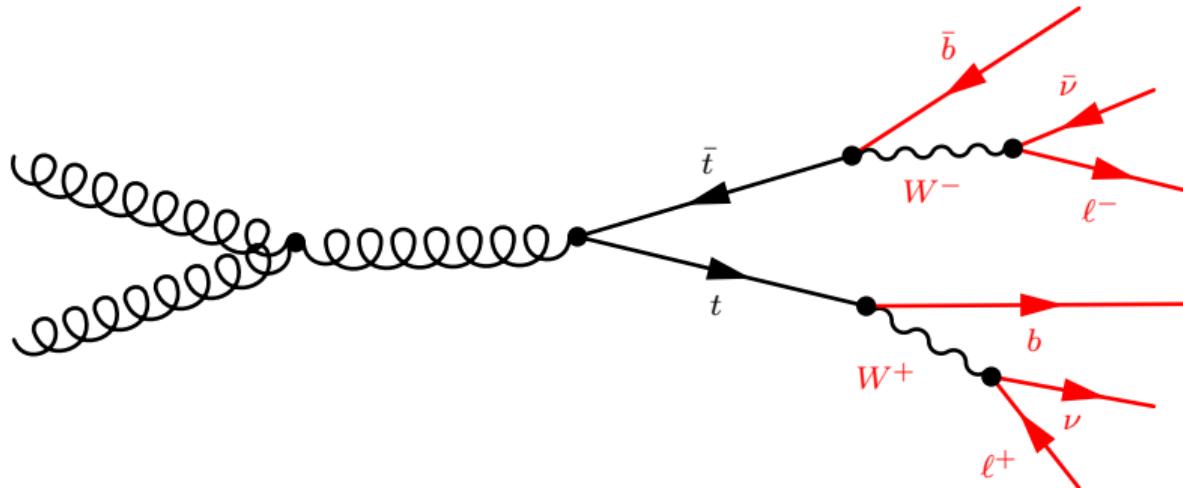
## Advantages

- unique forward rapidity coverage
- low pile-up environment
- excellent vertex resolution for jet tagging

## Disadvantages

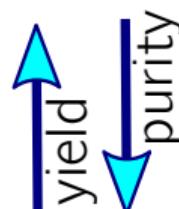
- low acceptance
- low luminosity compared to ATLAS/CMS
- no  $E_T^{\text{miss}}$  for selection or full top reconstruction

## reconstructing top physics channels



- $Br(t \rightarrow bW) \sim 100\%$
- can study a number of  $t\bar{t}$  final states depending on the decay of the  $W$  bosons
  - up to 2 leptons, up to 6 jets
- each final state presents different statistics/backgrounds/purity
- limited acceptance at LHCb makes a partial reconstruction attractive

- expected number of  $t\bar{t}$  events in LHCb fiducial region by final state
  - $2 < \eta(\ell, j) < 4.5$
  - $p_T(\ell, j) > 20$  GeV

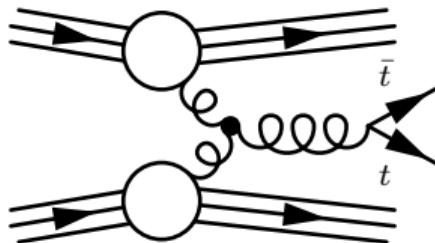


$d\sigma(\text{fb})$	7 TeV		8 TeV		14 TeV	
$lb$	285	$\pm$	52	504	$\pm$	94
$lbj$	97	$\pm$	21	198	$\pm$	35
$lbb$	32	$\pm$	6	65	$\pm$	12
$lbbj$	10	$\pm$	2	26	$\pm$	4
$l^+l^-$	44	$\pm$	9	79	$\pm$	15
$l^+l^-b$	19	$\pm$	4	39	$\pm$	8
	4366	$\pm$	663	2335	$\pm$	323
	870	$\pm$	116	487	$\pm$	76
	635	$\pm$	109	417	$\pm$	79

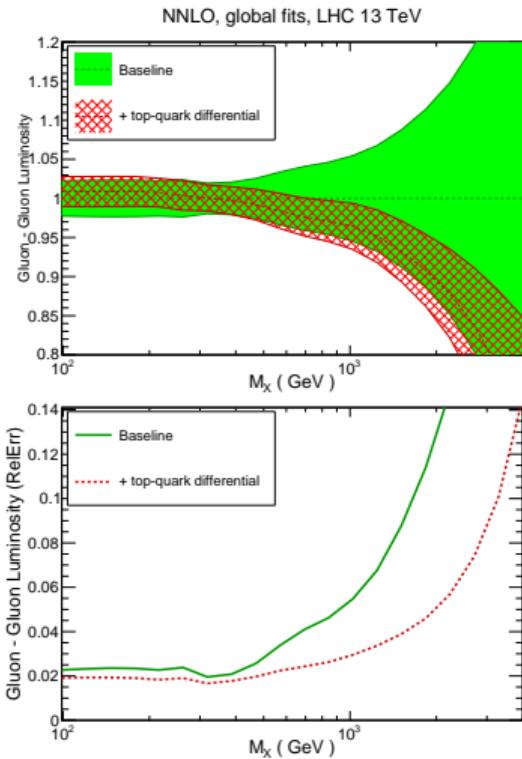
- $lb$  final state is most statistically accessible at LHCb in Run 1
  - will contain largest background component
  - does not differentiate between single top and top pair**
- a number of final states inaccessible in Run 1

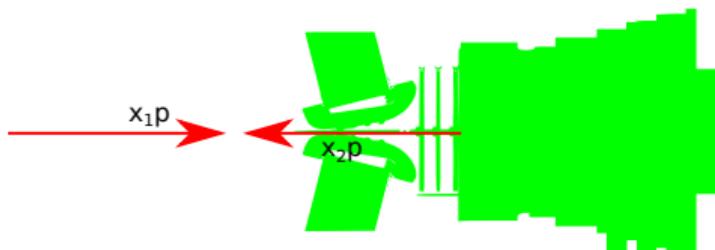
## top physics as PDF constraints

[JHEP (2017) 04:p. 044]

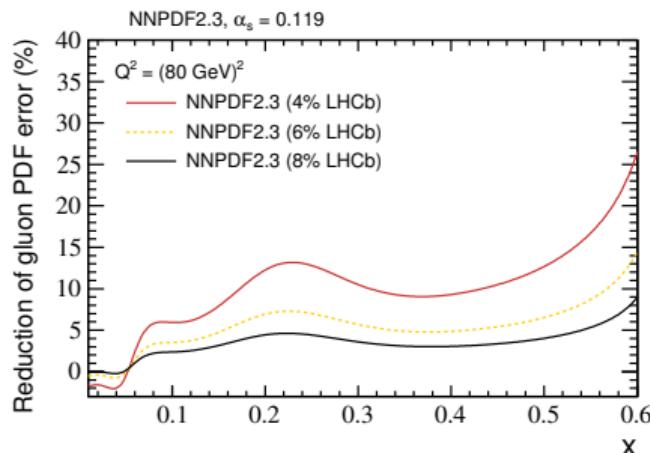


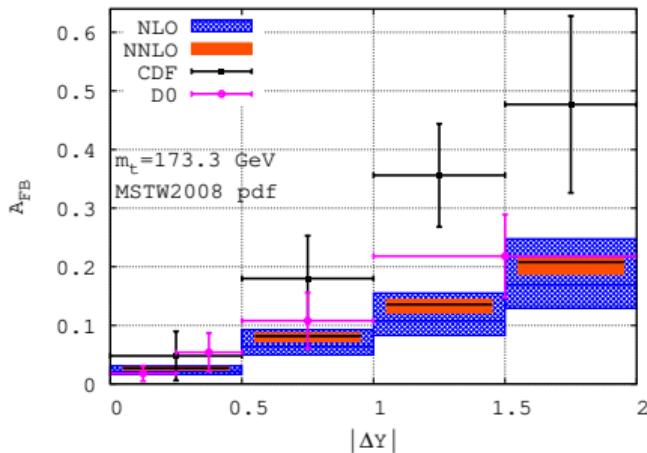
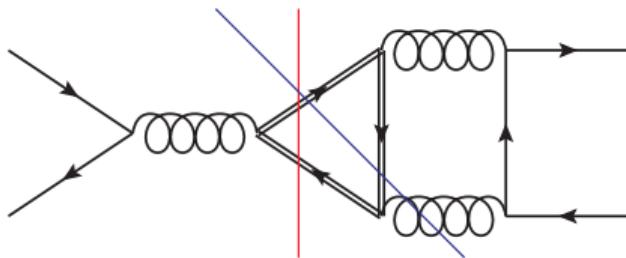
- top production at the LHC is dominated by gluon-gluon fusion
  - top quark production cross-sections provides significant constraints on the gluon PDF at high- $x$ 
    - both normalised differential top rapidity and inclusive cross-sections contribute
  - complementary to those from inclusive jet data





- forward top quark production provides reach to even higher  $x$  than central region
- reductions of greater than 20% on the gluon PDF possible for measurement precision of 4%
  - ATLAS/CMS precision in  $e\mu$  channel  $\sim 3.5\%$

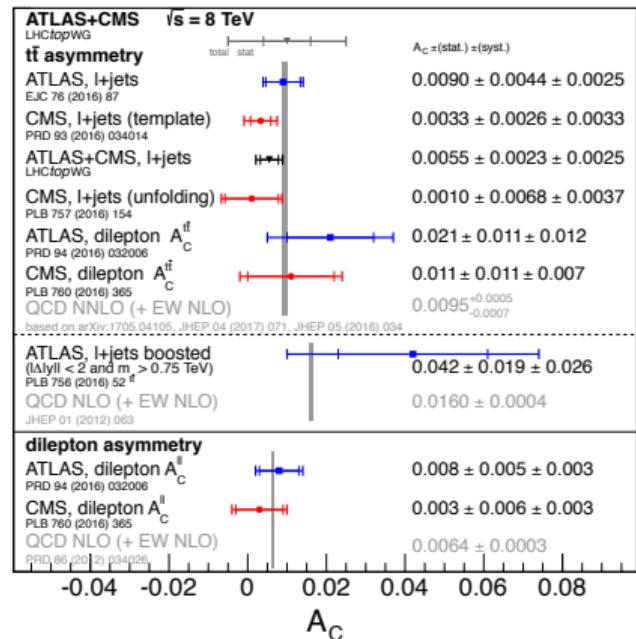




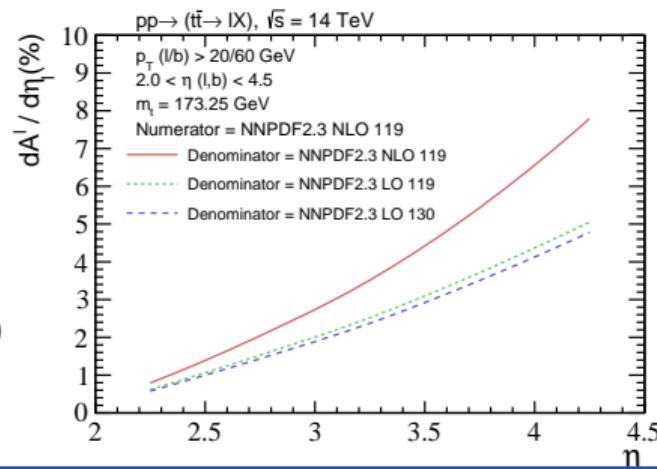
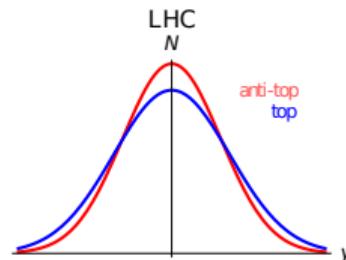
- charge asymmetry exists in **quark-initiated  $t\bar{t}$**  events at NLO due to interference effects
- forward-backward asymmetry,  $A_{FB}$  measured wrt proton direction at the Tevatron
- deviations seen in the past, largely alleviated by updated predictions
- LHC offers new energy regime to probe the asymmetry

# $t\bar{t}$ charge asymmetry at the LHC

- lower expected asymmetry at the LHC
  - symmetric  $pp$  initial state
  - production dominated by gluon fusion ( $\sim 80\%$ )
- measure forward-central asymmetry,  $A_C$
- expected asymmetry of  $\sim 1\%$
- measurements consistent with the SM predictions, and with no asymmetry
- can access larger asymmetries in certain kinematic regions
  - e.g. boosted regime
- can also study energy or inclined asymmetry in  $t\bar{t}+jet$  events [1307 6225 [hep-ph]]
- or... go forward



- LHCb, by virtue of its forward acceptance, is in a unique position to measure the charge asymmetry
- higher rate of quark-initiated production gives less dilution
- quark direction better aligned with  $t\bar{t}$  system due to valence quarks
- can access asymmetry by measuring relative differences in rate of top/anti-top production in the forward region
  - tops identified through  $\ell^\pm b$  final state
  - rises to as high as 8% in the very forward region
  - requires good control of backgrounds and their asymmetries
- can also measure  $A_c^{\ell\ell}$  using dilepton final state
  - only measure lepton asymmetry, no top reconstruction
- LHCb has already made measurement of  $A_C^{b\bar{b}}$  [Phys. Rev. Lett. (2014) 113:p. 082003]



**heavy flavour tagging**

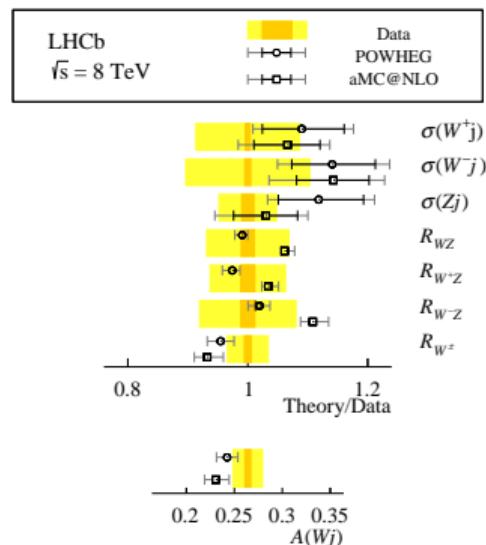
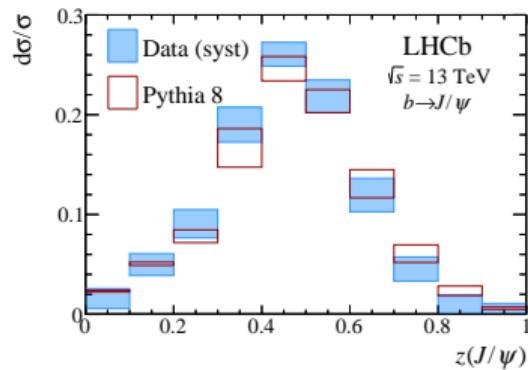
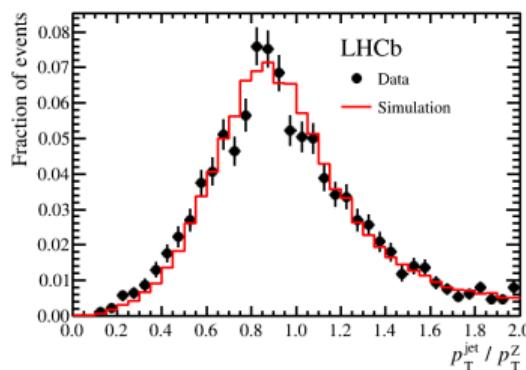
# jet reconstruction at LHCb

[JHEP (2014) 01:p. 033]

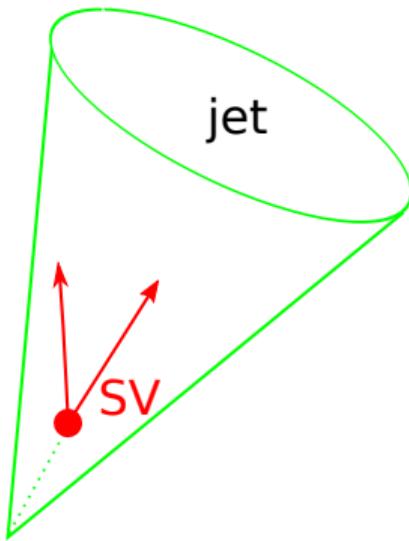
[JHEP (2016) 05:p. 131]

[Phys. Rev. Lett. (2017) 118:p. 192001]

- jet inputs prepared using particle flow algorithm
- clustered using anti- $k_T$  algorithm with  $R=0.5$
- jet energy resolution  $\sim 10 - 15\%$
- performed measurements of  $W$  and  $Z$  production in association with jets at 7 and 8 TeV
- also searches for long-lived particles decaying to jets,  $J/\psi$  production in jets, etc..

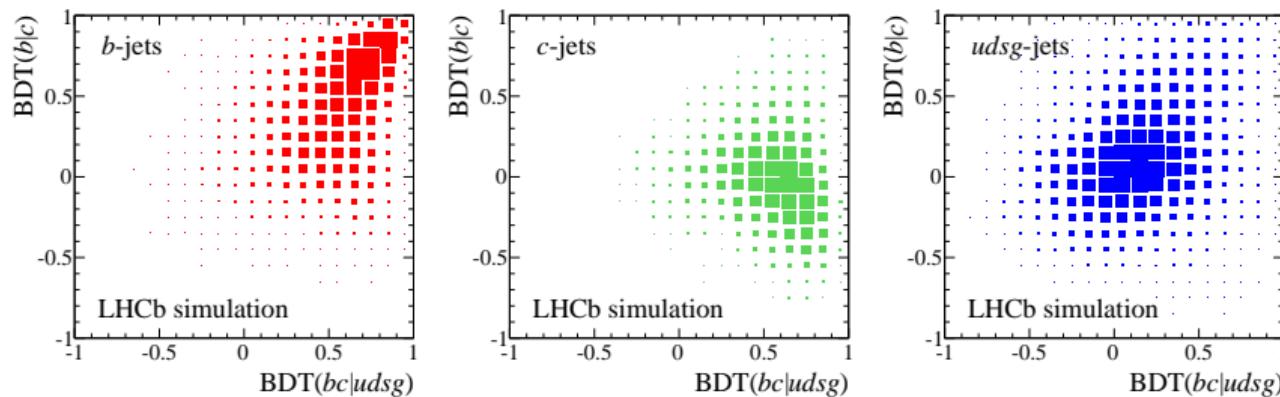


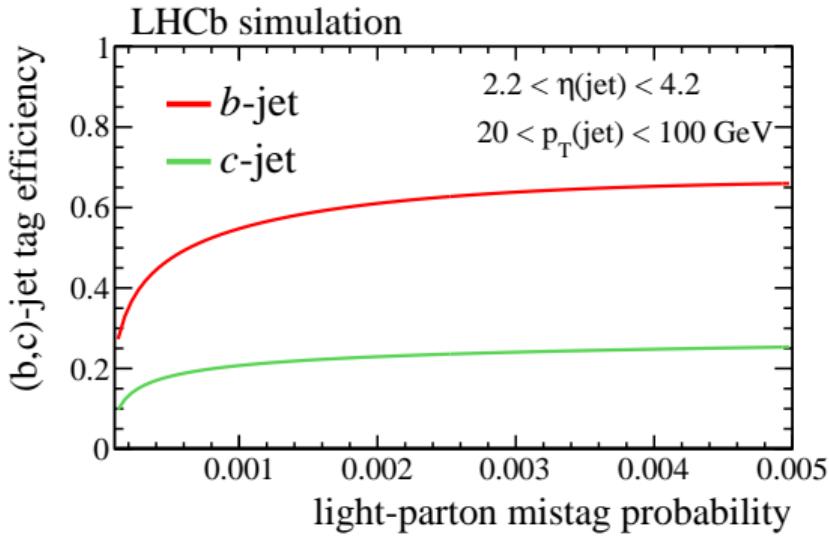
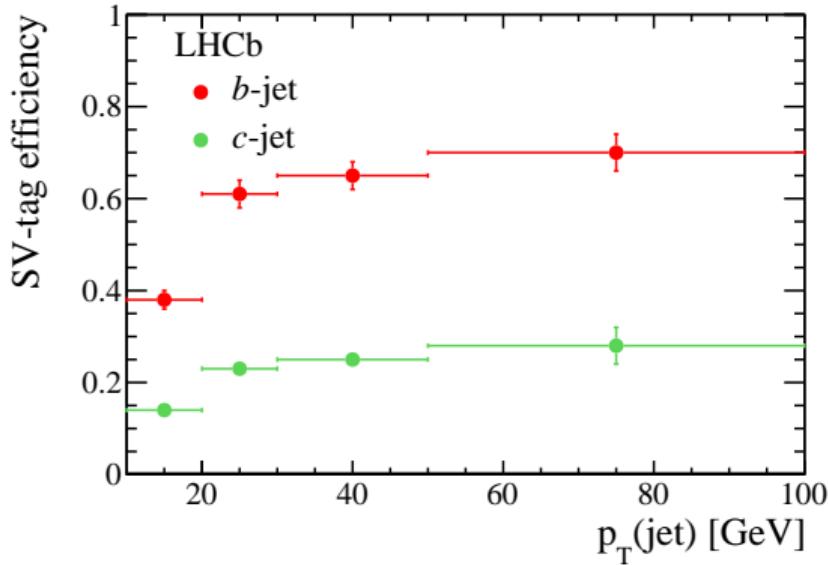
- developed inclusive  $b$  and  $c$ -jet tagger at LHCb
- exploit tracking and vertexing capabilities of detector
- procedure:
  - reconstruct 2-body vertices from displaced tracks in event
  - merge into  $n$ -body vertices (SV) by linking vertices with shared tracks
  - number of kinematic and quality requirements on track and vertices
- jet is **SV-tagged** if the event contains an SV within  $\Delta R < 0.5$  of the jet axis



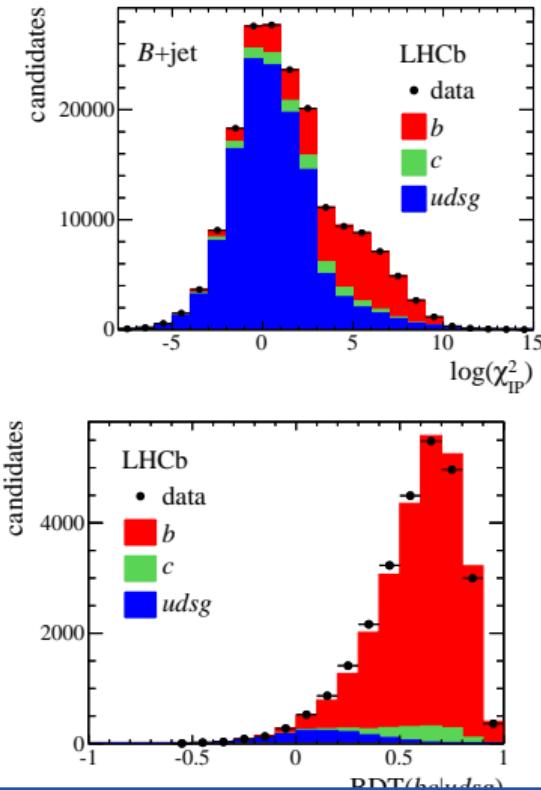
- two separate BDTs trained to separate light from heavy flavour jets, and  $b$  from  $c$  jets, using
  - SV displacement from PV
  - SV kinematics
  - SV charge and multiplicity
  - corrected mass of SV
  - jet properties

$$M_{\text{cor}} = \sqrt{M^2 + p^2 \sin^2 \theta} + p \sin \theta$$

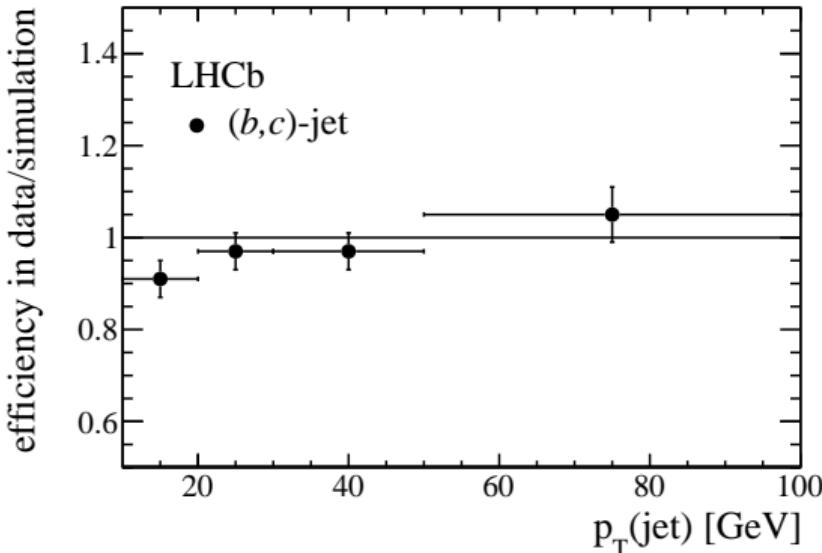




- light-jet mistag rate < 1% for b-tag efficiency of 65% and c-tag efficiency of 25%



- tagging efficiencies validated in data using number of control samples
  - $B+\text{jet}$ ,  $D+\text{jet}$ , displaced  $\mu + \text{jet}$ , prompt and isolated  $\mu+\text{jet}$
- flavour composition of samples determined before ("total") and after tagging ("pass") using fits
  - all jets, and subsample containing muons
- "total" determined by fits to impact parameter of highest  $p_T$  track in jet
- "pass" determined by fits to two-dimensional BDT outputs
  - systematic determined by performing fits to  $M_{\text{cor}}$  and SV multiplicity



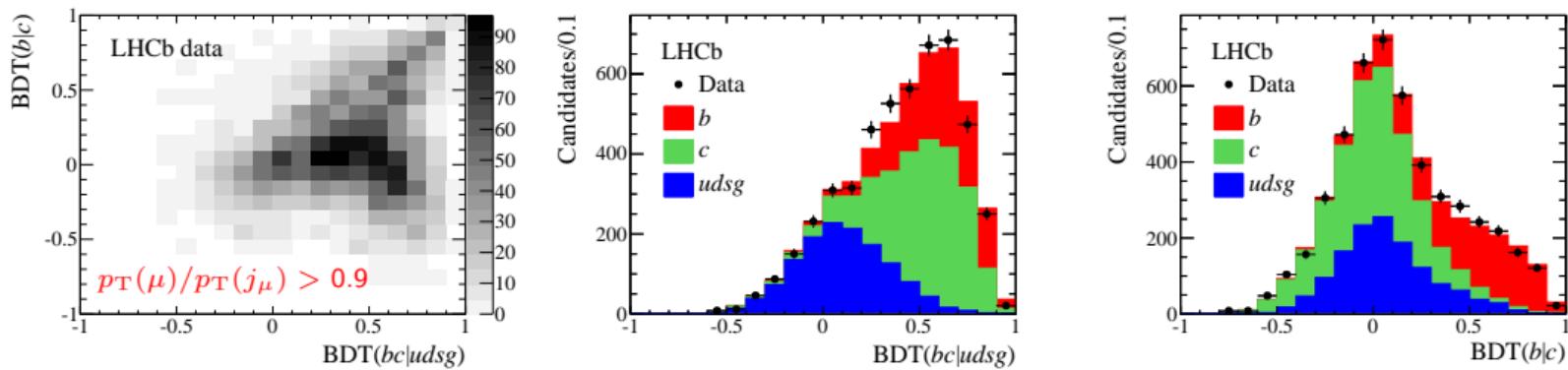
- $b$  and  $c$  jet tagging efficiencies accurate in simulation to 10% (above  $p_T$  of 20 GeV)
- mistag rate also determined using sample with “backward” or “too-long-lived” secondary vertices
  - consistent between data and simulation at the level of 30%

**Run 1 measurements**

- reconstruct top through the presence of a high  $p_T$  muon and a  $b$ -jet
- $3 \text{ fb}^{-1}$  of data collected at 7 and 8 TeV
- **first step is to measure  $W + (b, c, l)$  cross-sections**

## selection

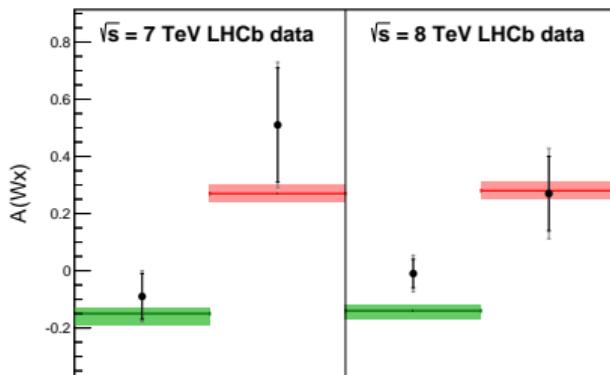
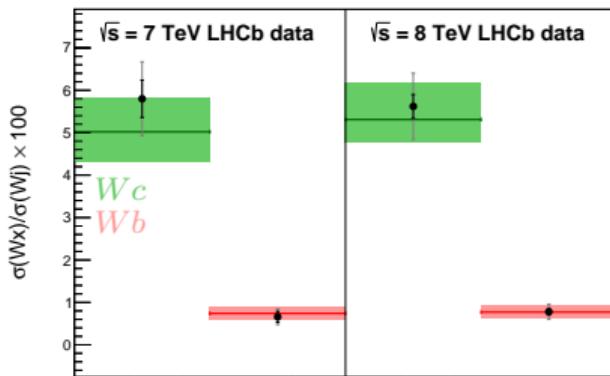
- single high  $p_T$  muon,  $p_T > 20 \text{ GeV}$ ,  $2.0 < \eta < 4.5$
- high  $p_T$  jet,  $p_T > 20 \text{ GeV}$ ,  $2.2 < \eta < 4.2$
- $\Delta R(\mu, j) > 0.5$
- require  $p_T(j_\mu + j) > 20 \text{ GeV}$ 
  - $j_\mu$  - reconstructed jet containing muon
  - proxy for missing energy in the system
- $j_\mu$  also allows for construction of isolation variable,  $\frac{p_T(\mu)}{p_T(j_\mu)}$



- jets SV tagged and  $b$ - and  $c$ -jet content extracted from fits to 2D BDT distributions in each bin of  $p_T(\mu)/p_T(j_\mu)$
- purity determined using fit to muon isolation spectrum
- measurements performed of
  - ratios ( $W^\pm j/Zj$ ,  $W(b, c)/Wj$ )
  - asymmetries ( $Wb$ ,  $Wc$ )

# $W + (b, c, l)$ results

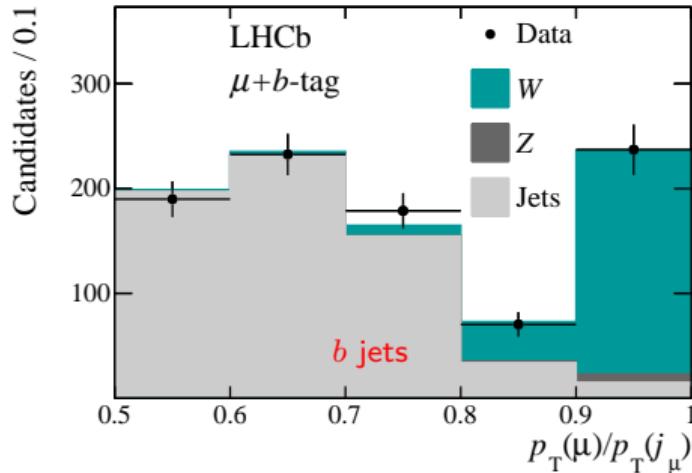
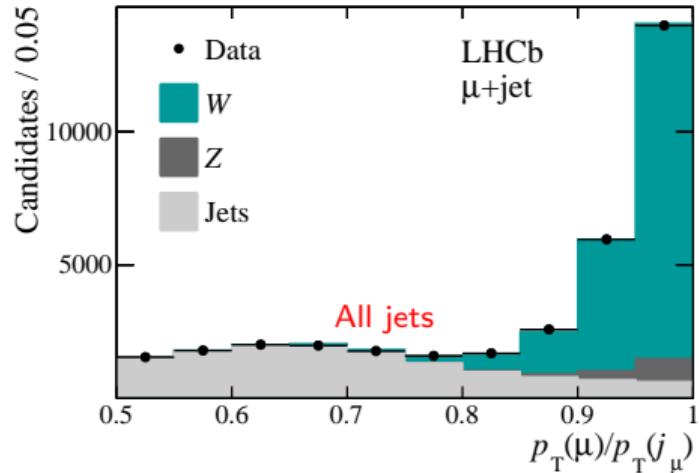
[Phys. Rev. (2015) D92:p. 052001]



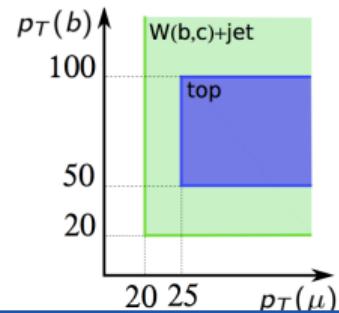
- good level of data/theory agreement observed
- experimental measurements dominated by statistical uncertainties
- measured  $Wc$  asymmetries  $\approx 2\sigma$  smaller than SM expectations

# top production in the $\mu b$ channel

[Phys. Rev. Lett. (2015) 115:p. 112001]

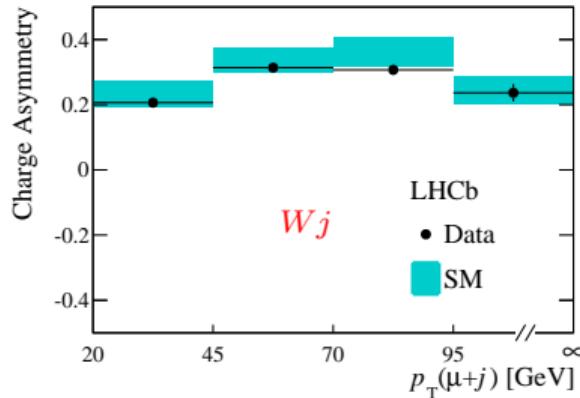
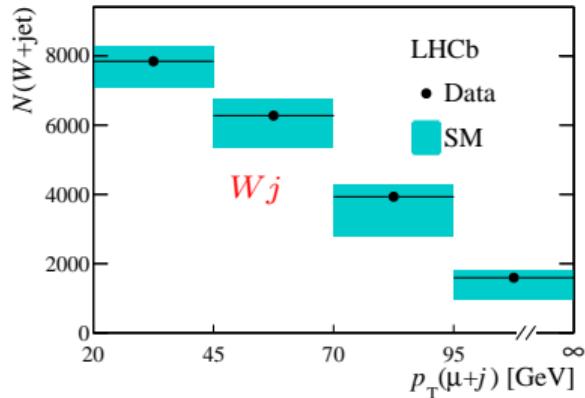


- tightened fiducial region to measure top contribution
  - reduce di-jet background by requiring larger muon  $p_T$  threshold (25 GeV)
  - reduce  $Wb$  by requiring large jet  $p_T$  (50 GeV)

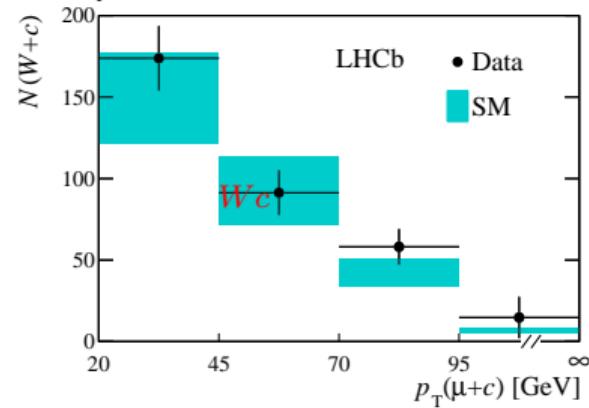


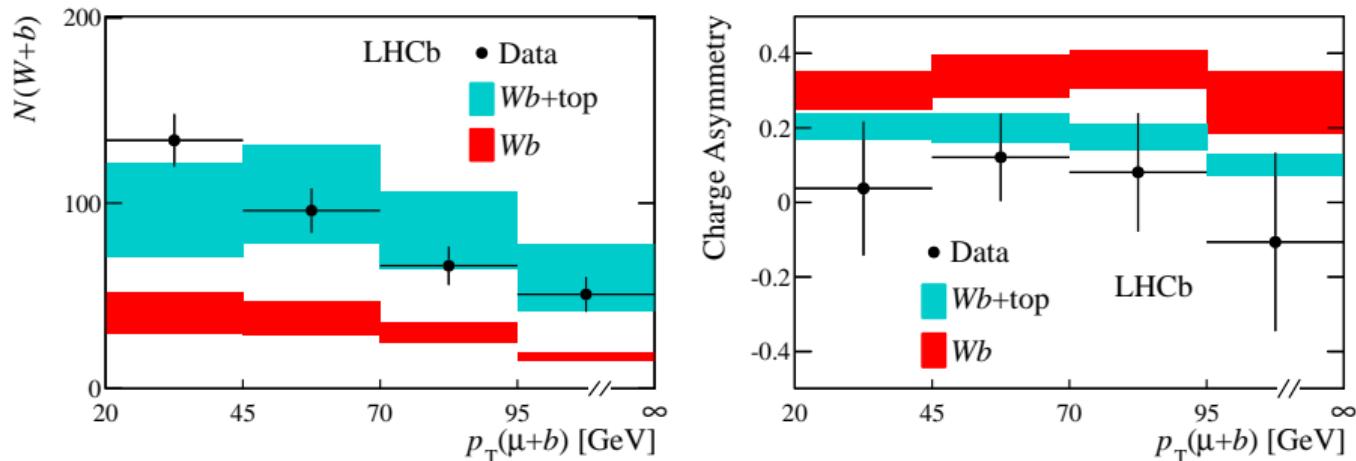
## $\mu b$ - background subtraction

[Phys. Rev. Lett. (2015) 115:p. 112001]

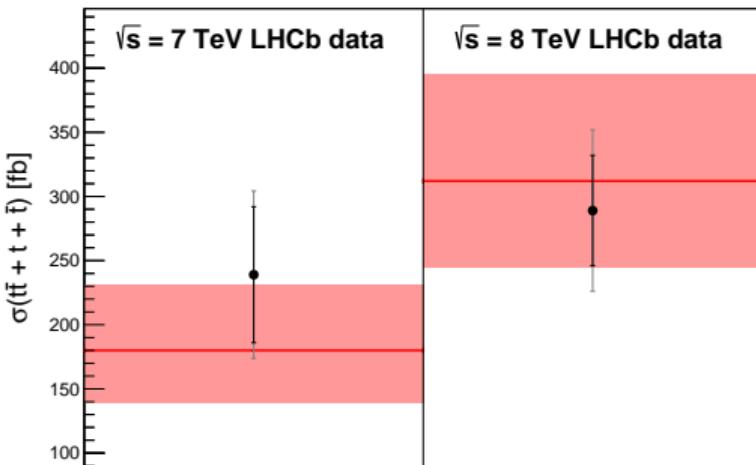


- top cross-section requires subtraction of  $Wb$  contribution
- determined by first measuring  $Wj$  in data and using  $Wb/Wj$  from simulation
- method validated using  $Wc$  which does not contain additional contributions (e.g. top)





- profile likelihood used to compare  $Wb$  hypothesis with  $Wb + top$
- both differential yield and charge asymmetry as a function of  $p_T(\mu + b)$  used
  - combined 7 and 8 TeV datasets
- uncertainties treated as Gaussian nuisance parameters
- $5.4\sigma$  significance observed
- CDF, D0, ATLAS, CMS and now LHCb have observed top production

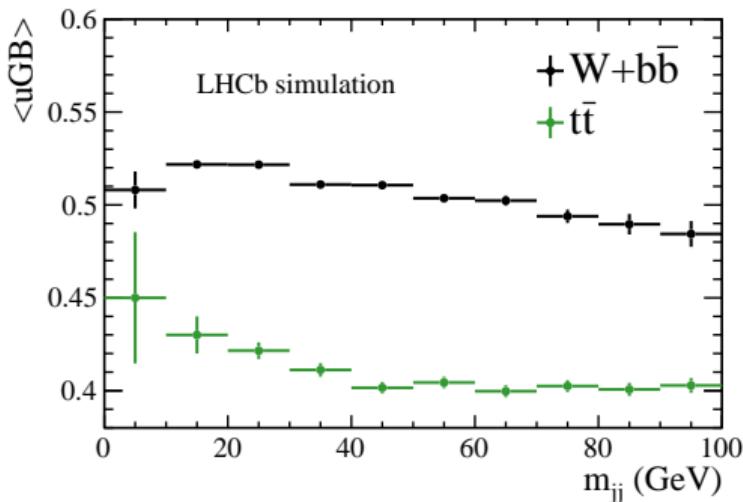


- combined single-top and  $t\bar{t}$  cross-sections determined by subtracting  $W + b$  background from data
- corrected for efficiencies determined from both data and simulation
- $t\bar{t}$  accounts for  $\approx 3/4$  of top production
- total signal yield of  $220 \pm 39$
- cross-sections in agreement with predictions (MCFM NLO, CT10)
- dominant uncertainty due to tagging efficiency (10%)
- uncertainties of 5-10% from purity determinations

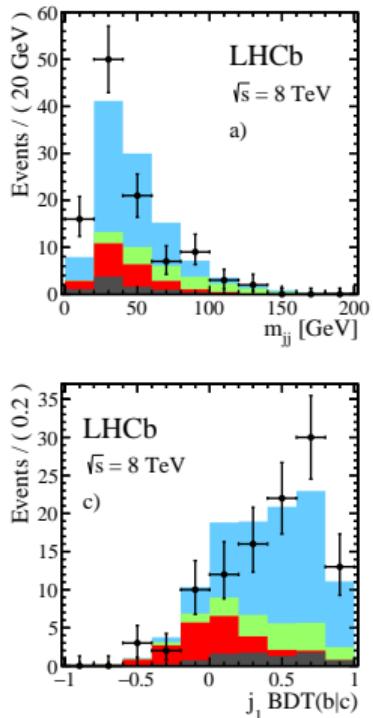
- $\ell b\bar{b}$  final state offers more suppression of backgrounds (e.g. QCD)
  - can also use final state electrons
- simultaneous measurement of  $W + b\bar{b}$ ,  $W + c\bar{c}$  and  $t\bar{t}$  production at LHCb in both  $\mu b\bar{b}$  and  $e b\bar{b}$  final states
  - $2.0 \text{ fb}^{-1}$  at 8 TeV

## selection

- $p_T(\ell) > 20 \text{ GeV}$ ,  $2.0 < \eta^\mu(\eta^e) < 4.5(4.25)$ 
  - isolated
- $12.5 < p_T(j) < 100 \text{ GeV}$ ,  $2.2 < \eta(j) < 4.2$ 
  - SV-tagged,  $\text{BDT}(\text{bc|udsg}) > 0.2$
- $\Delta R(\ell, j) > 0.5$
- $p_T(\ell + j_1 + j_2) > 15 \text{ GeV}$

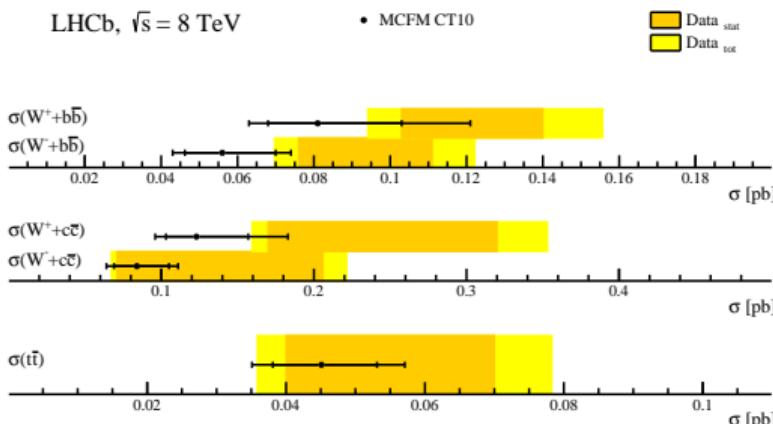


- uGB - BDT trained to separate  $W + b\bar{b}$  and  $t\bar{t}$
- uniform boosting technique [JINST (2015) 10:T03002] used to reduce correlation with mass
- trained using number of kinematic and topological variables
  - $p_T$ ,  $\eta$ , jet mass
  - $\Delta R$  separation between jets
  - lepton scattering angle in dijet rest frame



⊕ Data( $\mu$ )  
⊕  $W + b\bar{b}$   
⊕  $t\bar{t}$   
⊕  $W + c\bar{c}$   
⊕ Background

- 4-dimensional fit to extract signal yields
  - di-jet invariant mass
  - BDT( $b|c$ ) for both jets - separation between  $b$  and  $c$ -jets
  - $uGB$
- samples split by lepton charge and flavour
- backgrounds determined from mixture of data and simulation

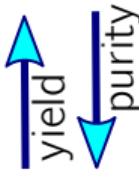


- $t\bar{t}$  signal observed with significance of 4.9 $\sigma$
- measurement precision  $\sim 40\%$ 
  - similar contributions from statistical and systematic sources
- many systematics will reduce with higher statistics
  - purity extraction, tagging efficiency, jet energy scale
- also used to place limits on Higgs production [LHCb-CONF-2016-006]
  - $H \rightarrow c\bar{c}$  at LHCb with the HL-LHC? see [here](#)

**Run 2 measurements**

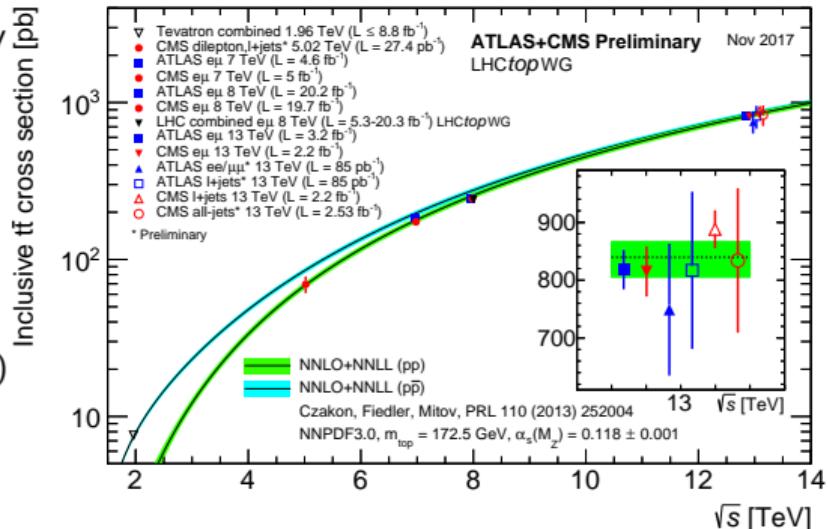
# top production at $\sqrt{s} = 13$

- centre-of-mass energy increased from 8 TeV to 13 TeV
  - factor of  $\sim 3$  increase in inclusive  $t\bar{t}$  cross-section



$d\sigma(\text{fb})$	7 TeV	8 TeV	14 TeV
$lb$	$285 \pm 52$	$504 \pm 94$	$4366 \pm 663$
$lbj$	$97 \pm 21$	$198 \pm 35$	$2335 \pm 323$
$lbb$	$32 \pm 6$	$65 \pm 12$	$870 \pm 116$
$lbbj$	$10 \pm 2$	$26 \pm 4$	$487 \pm 76$
$l^+l^-$	$44 \pm 9$	$79 \pm 15$	$635 \pm 109$
$l^+l^-b$	$19 \pm 4$	$39 \pm 8$	$417 \pm 79$

- factor of ten increase in the  $t\bar{t}$  cross-section at LHCb(!)
  - higher signal-to-background ratio
  - can explore final states inaccessible in Run 1
- collected  $\sim 6 \text{ fb}^{-1}$  of data in Run 2 so far



- top production in the dilepton channel offers the highest purity final state
  - extra lepton suppresses  $W + b\bar{b}$  and QCD backgrounds
  - different-flavour leptons suppress  $Z + b\bar{b}$
- out of statistical reach in Run 1, possible with boost in stats coming from increase in  $\sqrt{s}$
- analysis based on data collected in 2015 and 2016  $\sim 2 \text{ fb}^{-1}$

## selection

- muon and electron,  $p_T > 20 \text{ GeV}$ ,  $2.0 < \eta < 4.5$ 
  - isolated, prompt
- SV-tagged jet
  - no bdt requirements, high purity final state
- $\Delta R(\ell, j) > 0.5$ ,  $\Delta R(\mu, e) > 0.1$
- a total of **44** candidates selected

$$N(Z+\text{jet}) = 0.32 \pm 0.03$$

- leptons produced through  $Z \rightarrow \tau\tau$  or misidentification of muon or electron
- jet through genuine  $b$ -jet or misidentified charm or light jet
- determined by normalising to fully reconstructed  $Z \rightarrow \mu\mu + \text{SV-tagged jet}$

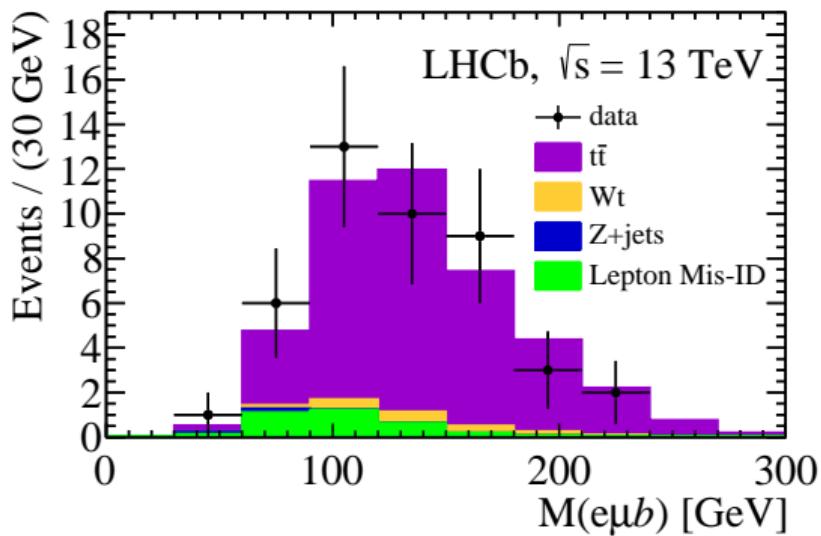
$$N(Wt) = 1.8 \pm 0.5$$

- top production in association with  $W$  produces identical final state
- determined using Powheg and scaled by efficiencies

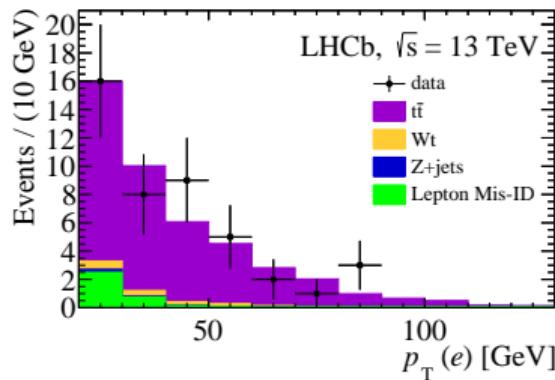
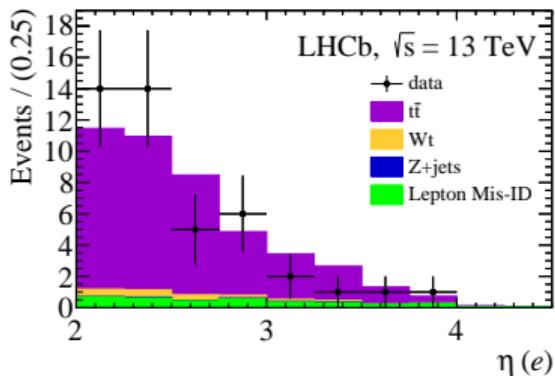
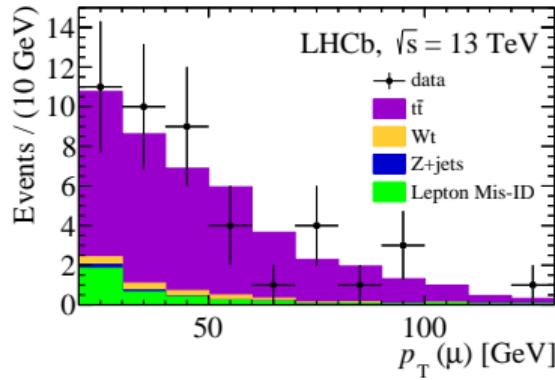
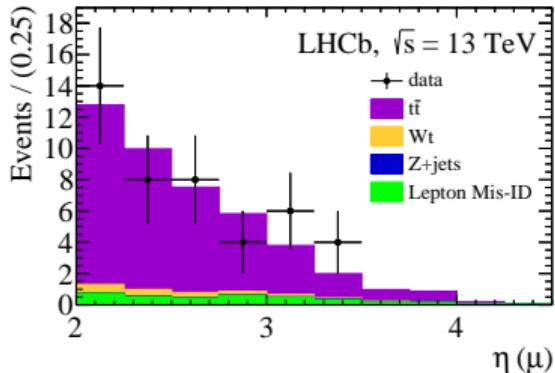
$$N(\text{mis-id}) = 3.9 \pm 1.9$$

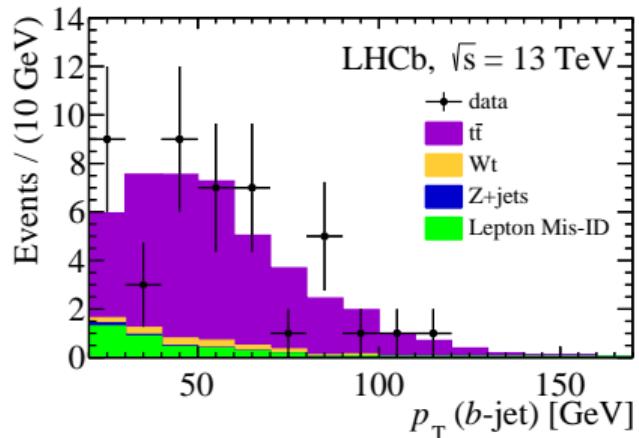
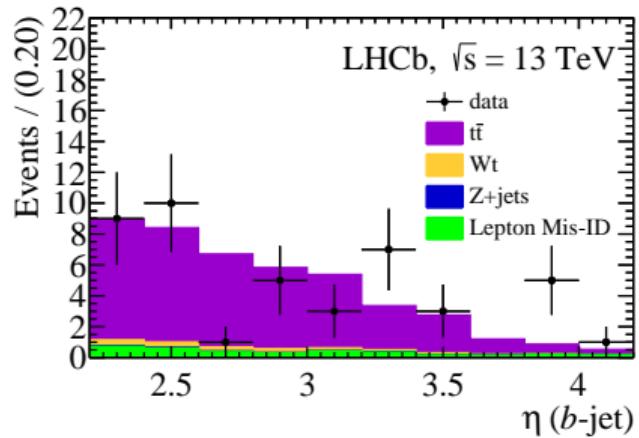
- events containing one mis-identified lepton (QCD multi-jet production,  $W + \text{jet}$ ,  $t\bar{t}$  etc..)
- determined by extrapolating from same-sign control region

$$N(WW, WZ, ZZ) \sim 0$$



- shapes taken from data (mis-id) and simulation ( $Zj$ ,  $Wt$ ,  $t\bar{t}$ )
- $t\bar{t}$  shape normalised to (data - background)
- purity of  $\sim 87\%$
- good agreement in kinematic variables (muon, electron, jet  $p_T$ ,  $\eta$ )





- cross-section calculated according to standard formula
- measured in fiducial region defined by kinematic requirements on muon, electron and jet

$$\sigma_{t\bar{t}} = \frac{N - N_{\text{bkg}}}{\mathcal{L} \cdot \varepsilon} \cdot \mathcal{F}_{\text{res}},$$

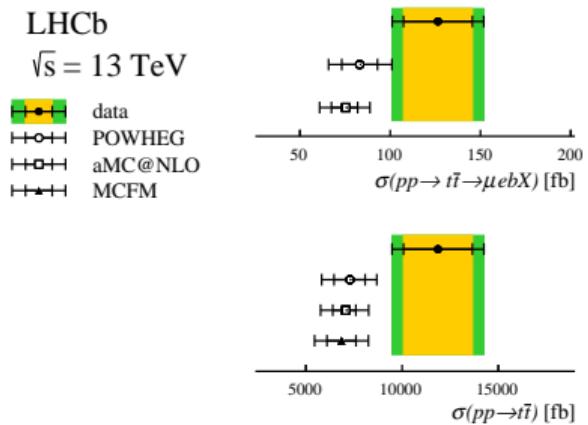
- luminosity,  $\mathcal{L} = 1.93 \pm 0.07 \text{ fb}^{-1}$
- efficiencies calculated using simulation validated using data-driven methods
- resolution efficiency  $\mathcal{F}_{\text{res}}$  accounts for migrations in to and out of the fiducial region

$$\sigma_{t\bar{t}} = 126 \pm 19 \text{ (stat)} \pm 16 \text{ (syst)} \pm 5 \text{ (lumi)} \text{ fb}$$

- overall precision of  $\sim 20\%$ , statistically limited
- systematic uncertainty dominated by uncertainty on jet tagging
  - will improve with increased datasets and further studies
- uncertainty on background dominated by mis-id
  - data-driven approach will improve with more statistics
- selection efficiency dominated by uncertainty on isolation requirements

Source	%
trigger	2.0
muon tracking	1.1
electron tracking	2.8
muon id	0.8
electron id	1.3
jet reconstruction	1.6
jet tagging	10.0
selection	4.0
background	5.1
acceptance	0.5
total	12.7

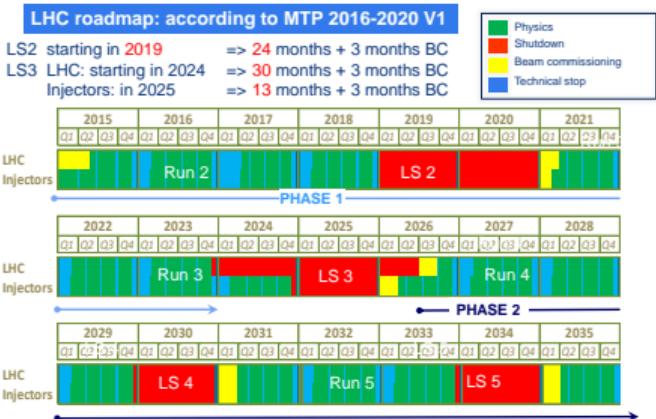
- measurements compared to predictions in measurement fiducial region (top)
- extrapolated to top quark level (below)
  - $2.0 < y^t < 5.0$
- results compared to POWHEG and aMCatNLO
  - interfaced with Pythia for the parton shower
  - decays performed with Madspin for aMCatNLO
- compatible with SM predictions

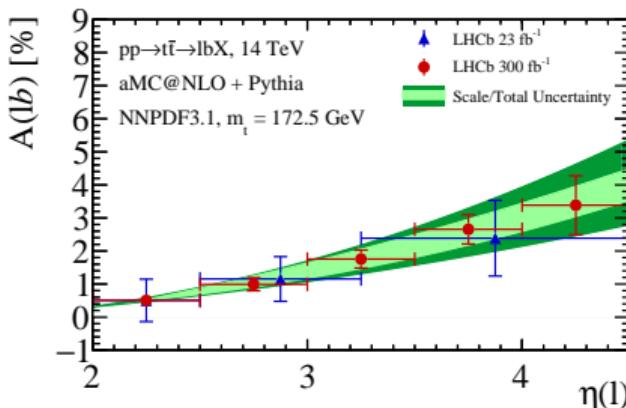


**conclusion**

# outlook

- last low-statistics  $t\bar{t}$  cross-section measurement at LHCb
- expecting  $\geq 6 \text{ fb}^{-1}$  of 13 TeV data by end of Run 2
  - measurements in other final states in progress
- attention turning to systematic uncertainties
  - work ongoing to improve uncertainty on tagging efficiency
- $> 50 \text{ fb}^{-1}$  with LHCb upgrade (Runs 3+4)
  - percent-level statistical uncertainties





final state	current	$23\text{ fb}^{-1}$	$50\text{ fb}^{-1}$	$300\text{ fb}^{-1}$	$< x >$
$\ell b$	220	54k	117k	830k	0.295
$\ell b\bar{b}$	24	8k	17k	130k	0.368
$\mu e b$	38	1k	2k	12k	0.348
$\mu e b\bar{b}$	-	120	260	1.5k	0.415

- proposal recently submitted for LHCb Upgrade II to take data during the HL-LHC (Run 5)
- $300\text{ fb}^{-1}$  of data at LHCb
  - almost 1M tops!
- statistical power to measure top asymmetry in number of final states
- can reach even the most inaccessible final states

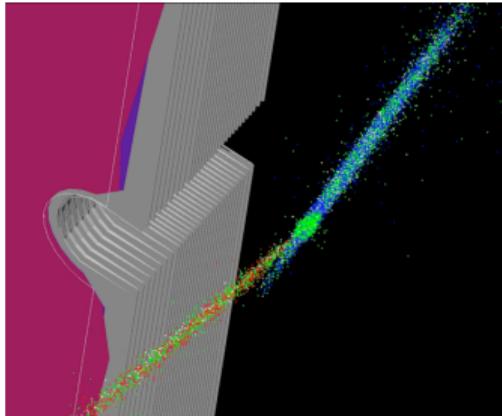
## conclusion

- presented first measurement of top production at LHCb in Run 2
- LHCb moving from the era of “top observation” to “precision measurements of top production”
- more Run 2 measurements to come



- LHCb can make important contributions to the LHC physics program!

**backup**



Distribution of vertices overlaid on detector display. z-axis is scaled by 1:100 compared to transverse dimensions to see the beam angle.

Beam 1 - Beam 2, Beam 1 - Gas, Beam 2 - Gas.

- luminosity measured at LHCb using two methods: Van der Meer Scan (VDM) and Beam-Gas Imaging (BGI)
- beams scanned across each order in VDM to trace beam profile
- in BGI method neon injected in beam-pipe to reconstruct beams using collision vertices
- both methods combined to determine luminosity

- updated luminosity measurement uses improved two-dimensional description of beam density profile
- BGI and VDM methods combined to achieve precision of 1.7% in 2011 and 1.2% in 2012
- “the most precise luminosity measurement achieved so far at a bunched-beam hadron collider”

## $t\bar{t}$ asymmetry - cut diagrams

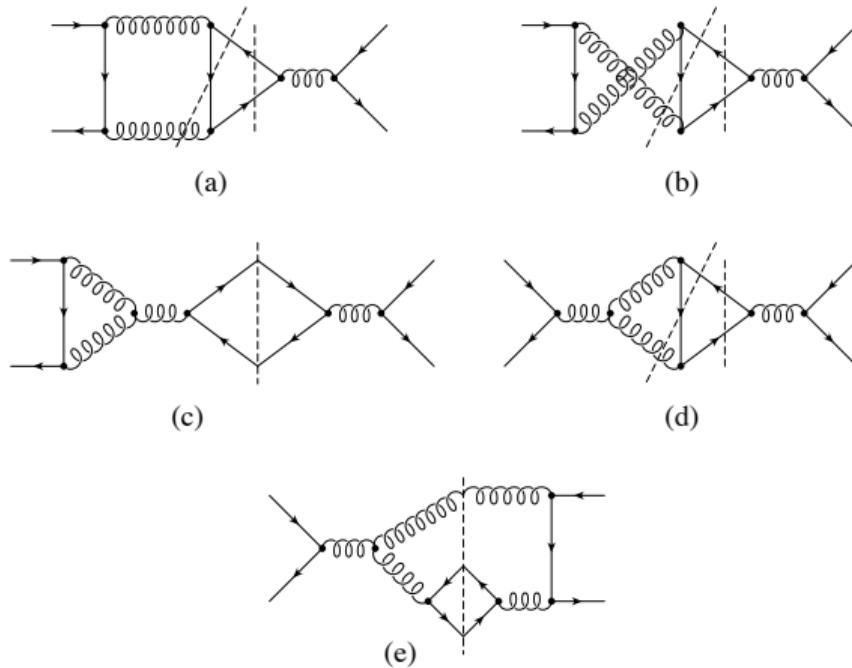
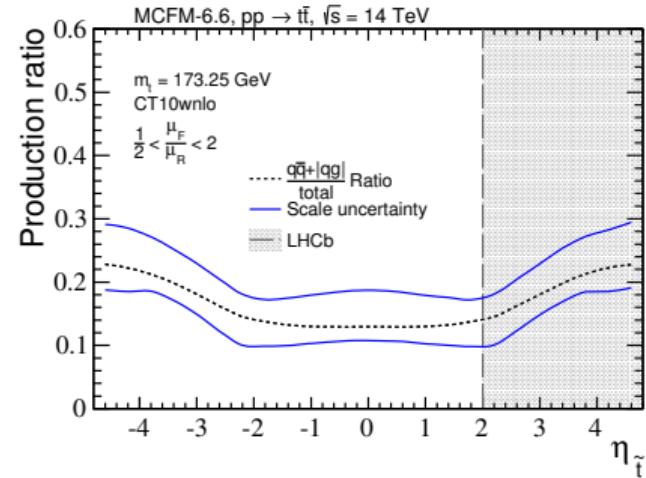
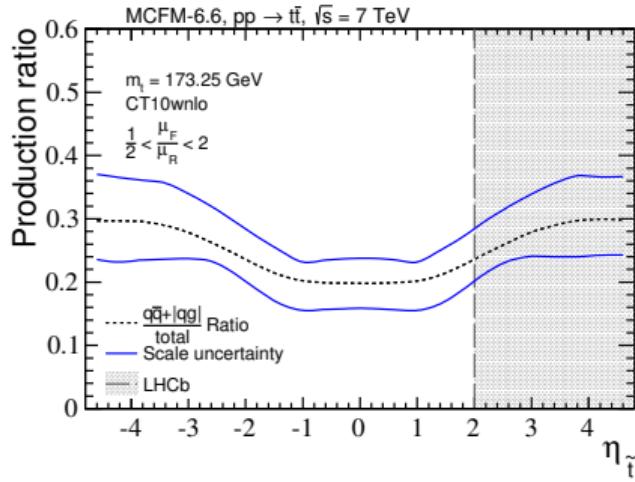
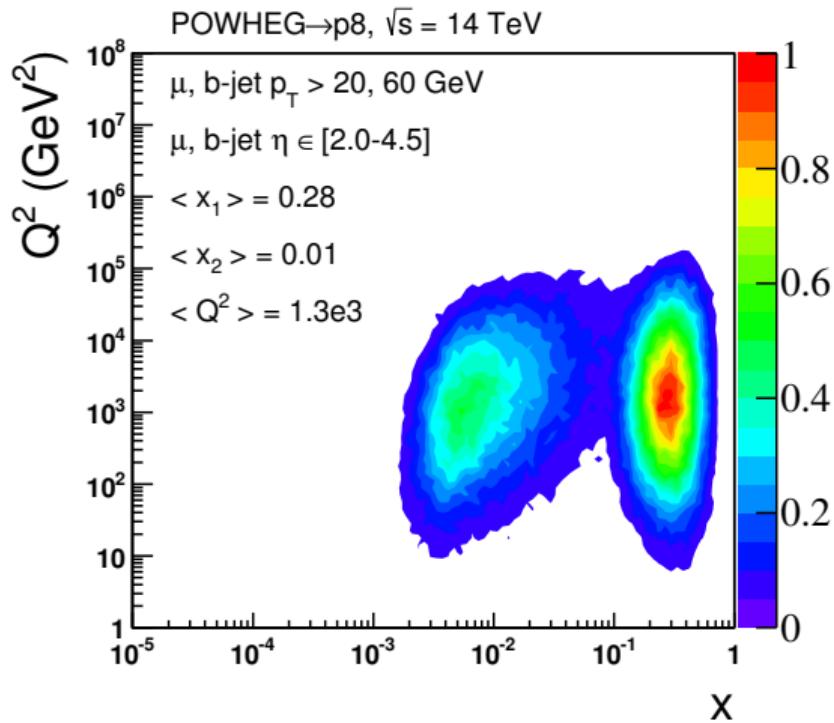


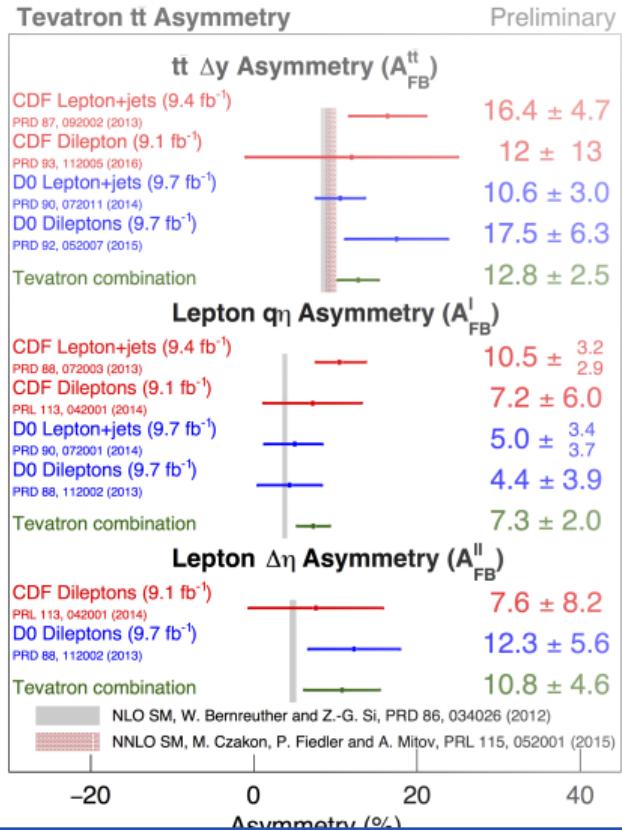
FIG. 3. Cut diagrams.

# $t\bar{t}$ production at LHCb



# $(x, Q^2)$ coverage at LHCb





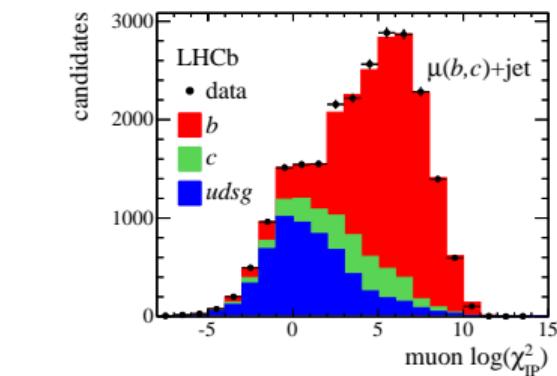
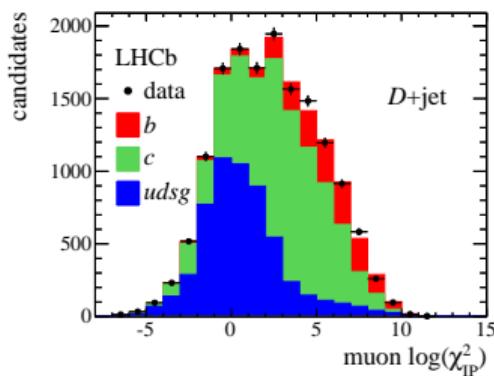
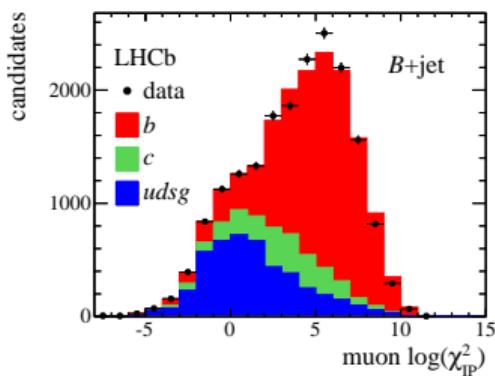
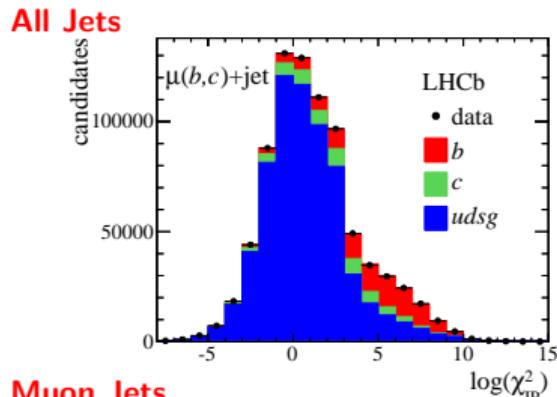
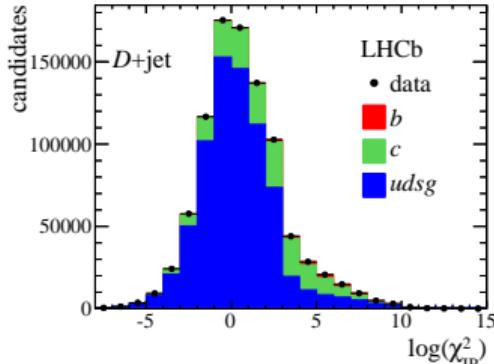
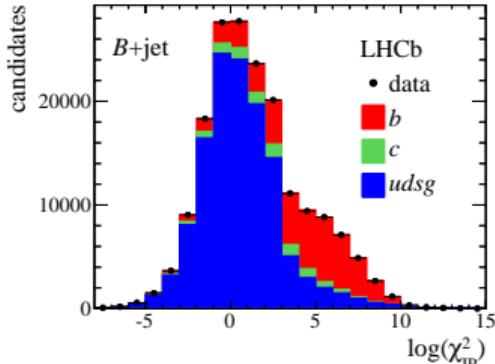
- a number of control samples used to validate heavy flavour tagging performance

<b>1.<math>B + jet</math></b>	fully reconstructed $b$ -hadron plus jet, enriched in $b$ -jets
<b>2.<math>D + jet</math></b>	fully reconstructed $c$ -hadron plus jet, enriched in $b$ and $c$ jets
<b>3.<math>\mu + jet</math></b>	displaced muon + jet, enriched in $b$ and $c$ jets
<b>4.<math>W + jet</math></b>	isolated prompt muon, enriched in light jet content

- study all jets in control samples, and subsamples where jets contain muons
  - presence of muon in jet enriches ( $b, c$ ) content further, but only probes a subsample
- $b$  and  $c$  tagging efficiencies determined by performing simultaneous fits to samples 1-3 before and after tagging requirements applied
  - “total” - fit to impact parameter of track with highest  $p_T$  in jet
  - “pass” - fit to two-dimensional BDT templates
- sample 4 used to study light jet mis-tag rate, and for data-driven templates

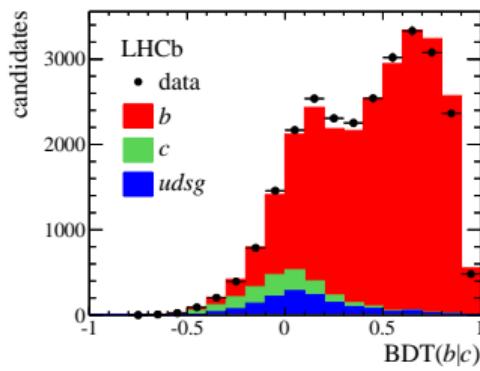
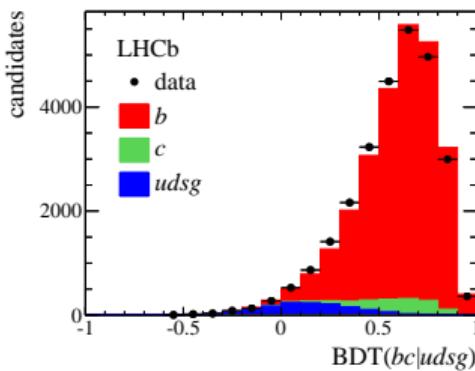
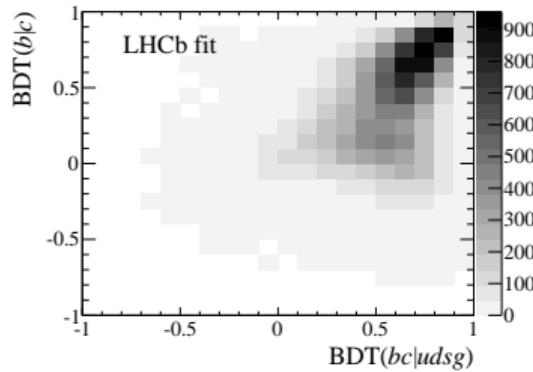
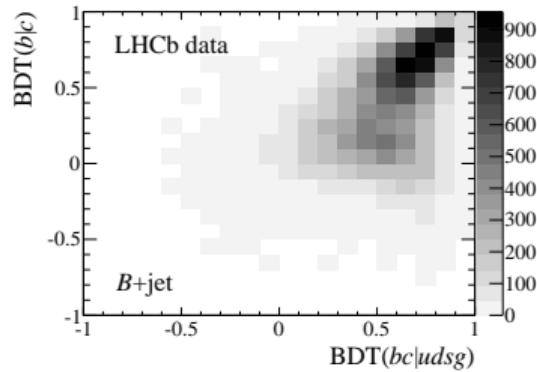
# heavy flavour tagging validation

[JINST (2015) 10:P06013]



# heavy flavour tagging validation

[JINST (2015) 10:P06013]



- fits shown for  $B+jet$  (left)
- uncertainties on yields by performing alternative fits using  $M_{corr.}$  and SV multiplicity

# heavy flavour tagging validation

