

Study of Charm Fragmentation at H1

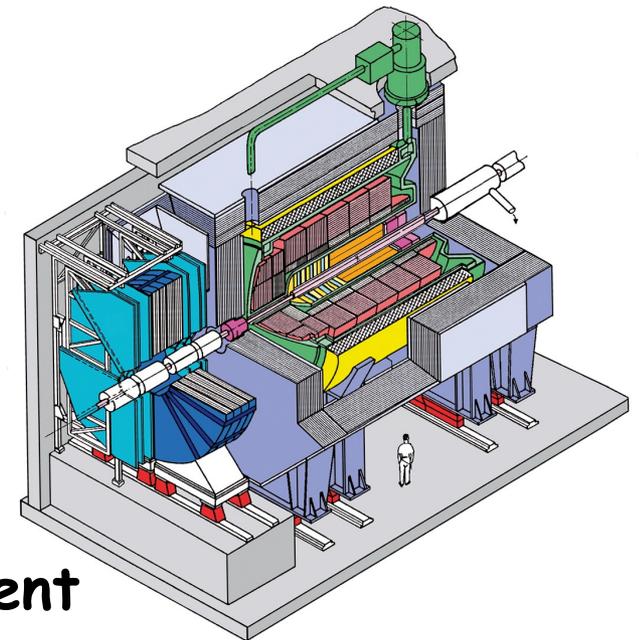
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University of Birmingham
for H1 Collaboration

Birmingham particle group seminar
18/2/2009

- Introduction
- Observable definitions & measurement
- Extraction of fragmentation parameters

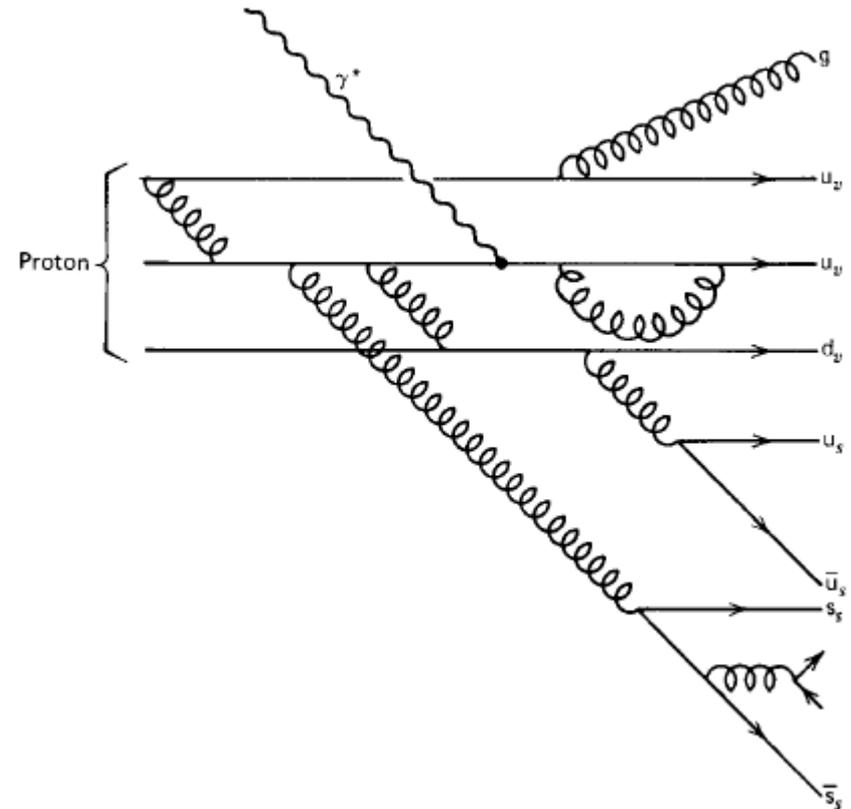


Introduction I.

$$L_{\text{QCD}} = -\frac{1}{4} F_{\mu\nu}^{(a)} F^{(a)\mu\nu} + i \sum_q \bar{\psi}_q^i \gamma^\mu (D_\mu)_{ij} \psi_q^j - \sum_q m_q \bar{\psi}_q^i \psi_{qi} ,$$

$$F_{\mu\nu}^{(a)} = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c ,$$

$$(D_\mu)_{ij} = \delta_{ij} \partial_\mu + ig_s \sum_a \frac{\lambda_{i,j}^a}{2} A_\mu^a ,$$



QCD: language problem

- It speaks about partons
- We see hadrons all around

Introduction II.

- ▶ Our picture of particle production at high energies:

$$\sigma_H = \sum_i \sum_k f_{i/p}(x, \mu_f) \otimes \hat{\sigma}_{i\gamma \rightarrow kX}(\alpha_S(\mu_r), \mu_r, \mu_f) \otimes D_k^H(z, \mu_f)$$

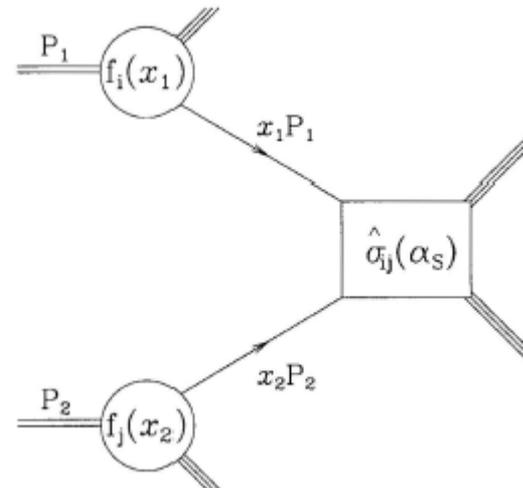
**Parton Density
Function**

**Hard Scattering
(perturbative)**

**Fragmentation
Function**

Three ingredients needed to describe high energy hadronic collision:

- ♦ parton density functions (from experiment)
- ♦ matrix element (calculable in pQCD)
- ♦ fragmentation functions (from experiment)

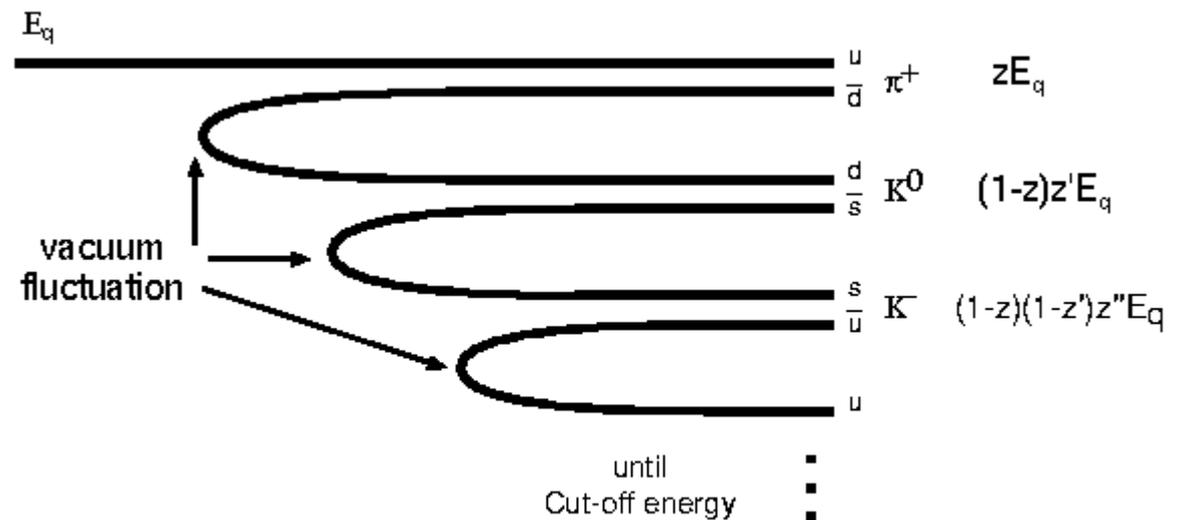


How to describe fragmentation 1.

Independent fragmentation:

Model of Feynman and Field:

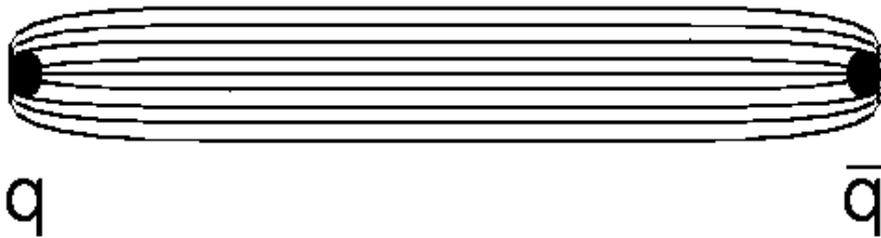
- ◆ each quark fragments independently
- ◆ there are many quark-antiquark pairs in the vacuum
- ◆ quark picks antiquark from vacuum, forming a hadron
- ◆ whole process continues until cut-off energy
- ◆ fraction of original quark energy carried by hadron is described by an arbitrary function, tuned to data



How to describe fragmentation 2.

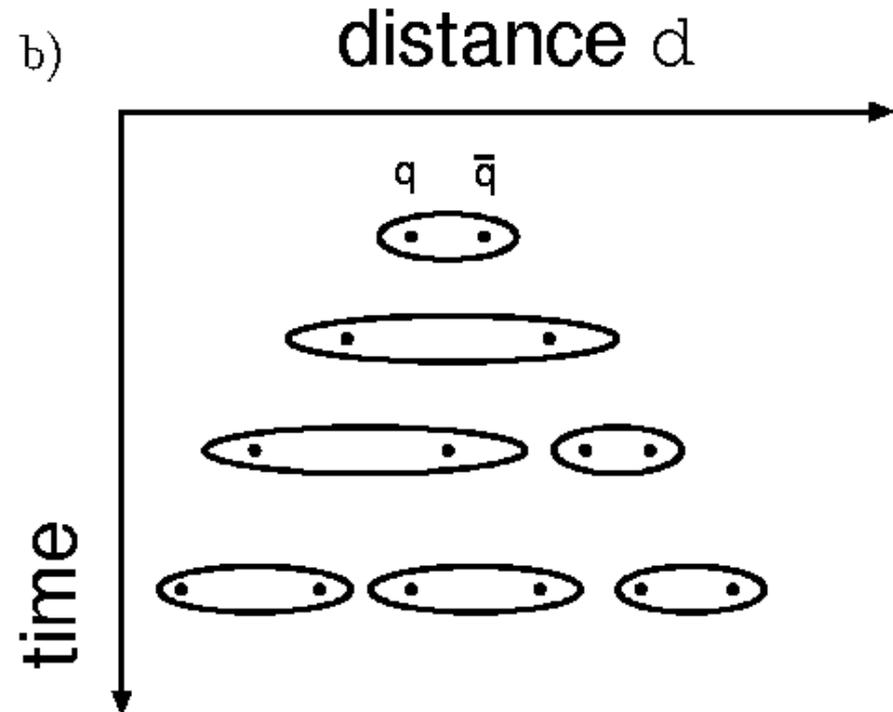
Lund string model:

a)



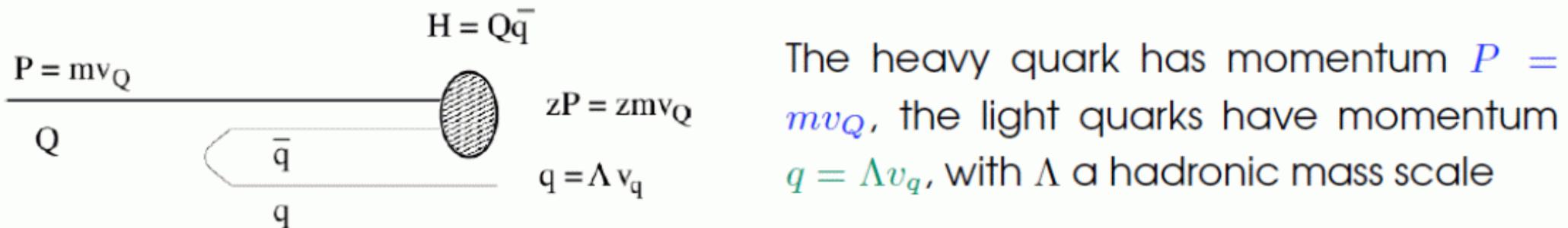
- ◆ Strong colour field between quark and antiquark forms a string
- ◆ at some point the string breaks
- ◆ small string pieces form hadrons
- ◆ the function describing string breaking tuned to data

b)



Fragmentation functions for light and heavy quarks 1

Fragmentation of heavy quarks should be different compared to light quarks (Bjorken, Suzuki, ~1977):



The heavy quark has momentum $P = mv_Q$, the light quarks have momentum $q = \Lambda v_q$, with Λ a hadronic mass scale

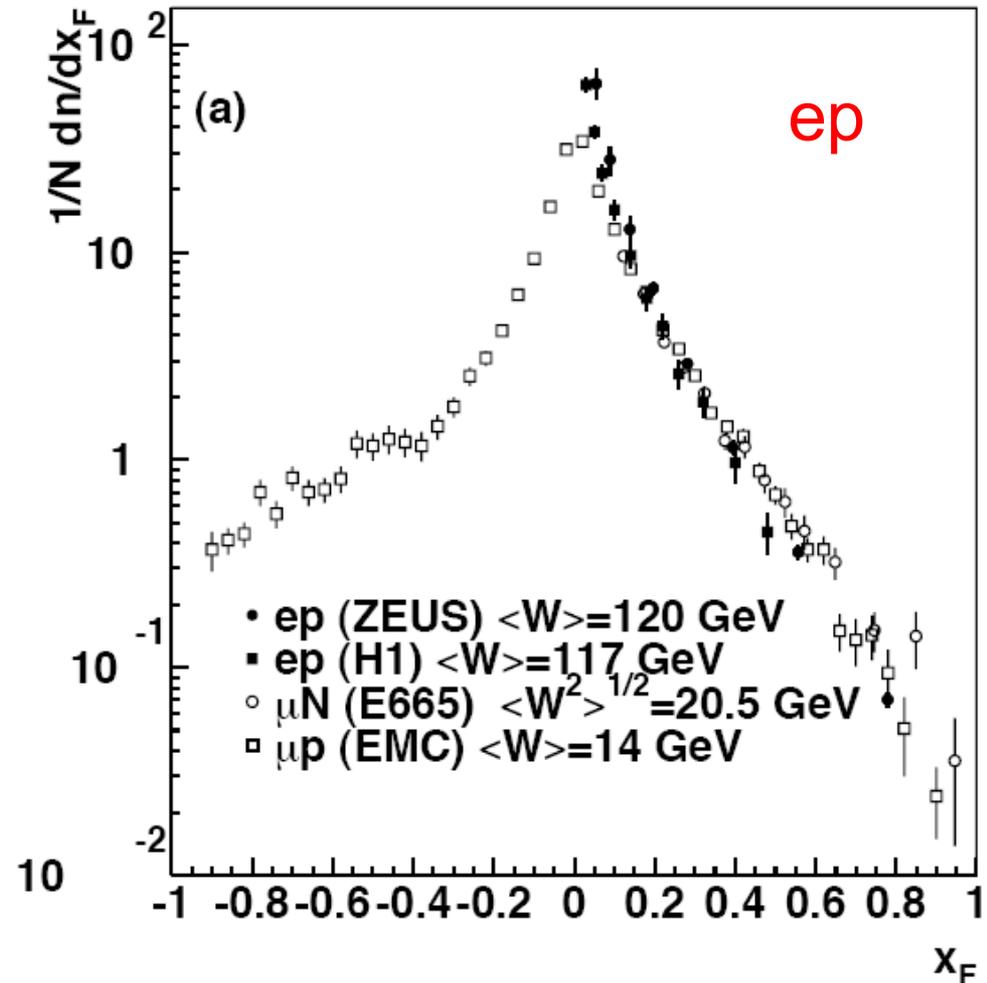
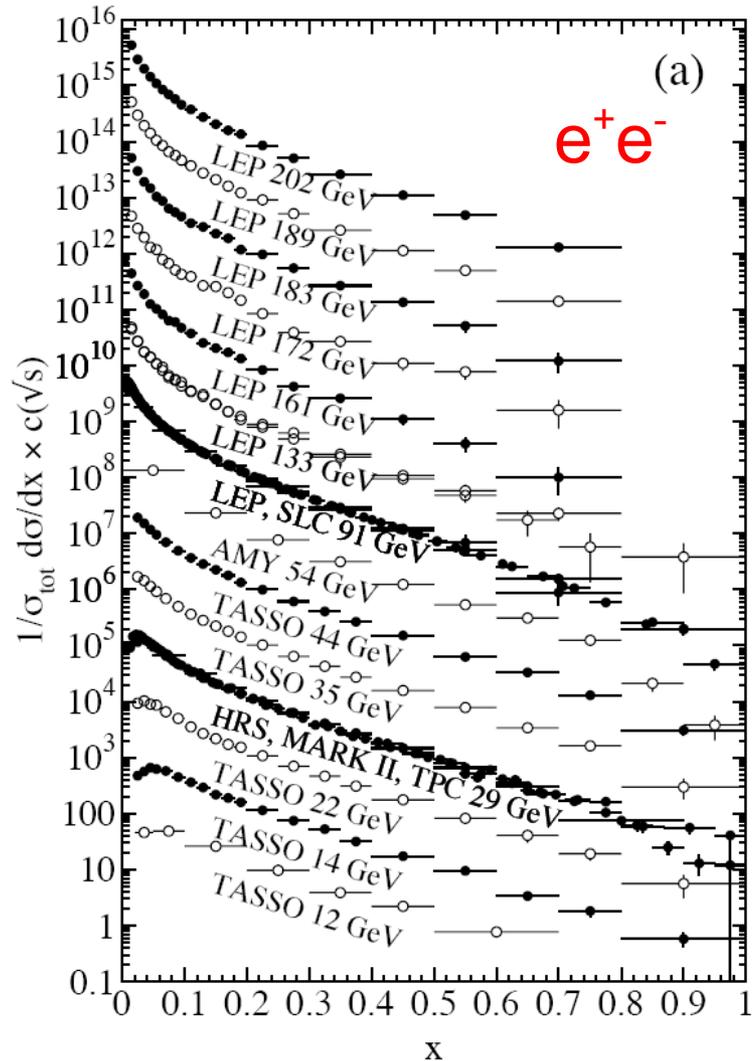
For the binding we need $v_Q \simeq v_q = v$. We have then

$$P = zP + q \quad mv = zmv + \Lambda v$$

and therefore

$$\langle z \rangle \simeq 1 - \frac{\Lambda}{m}$$

Fragmentation functions for light and heavy quarks 2



Most of light hadrons carry a small fraction of original parton momentum ...

Fragmentation functions for light and heavy quarks 3

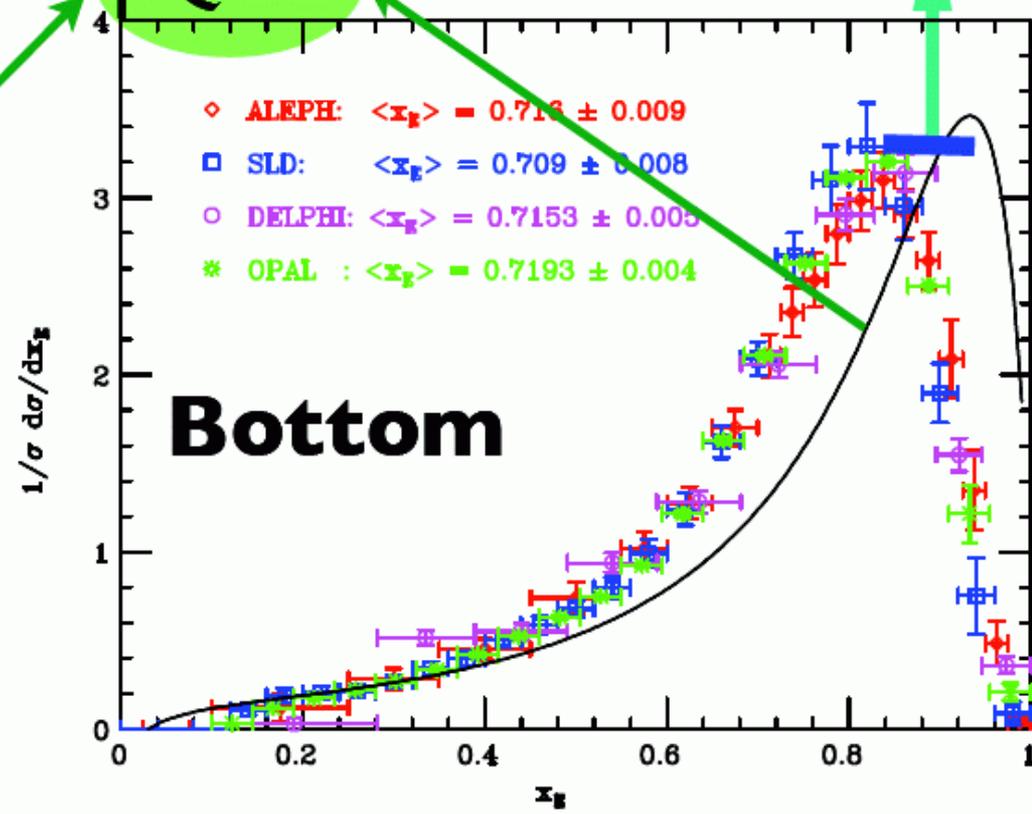
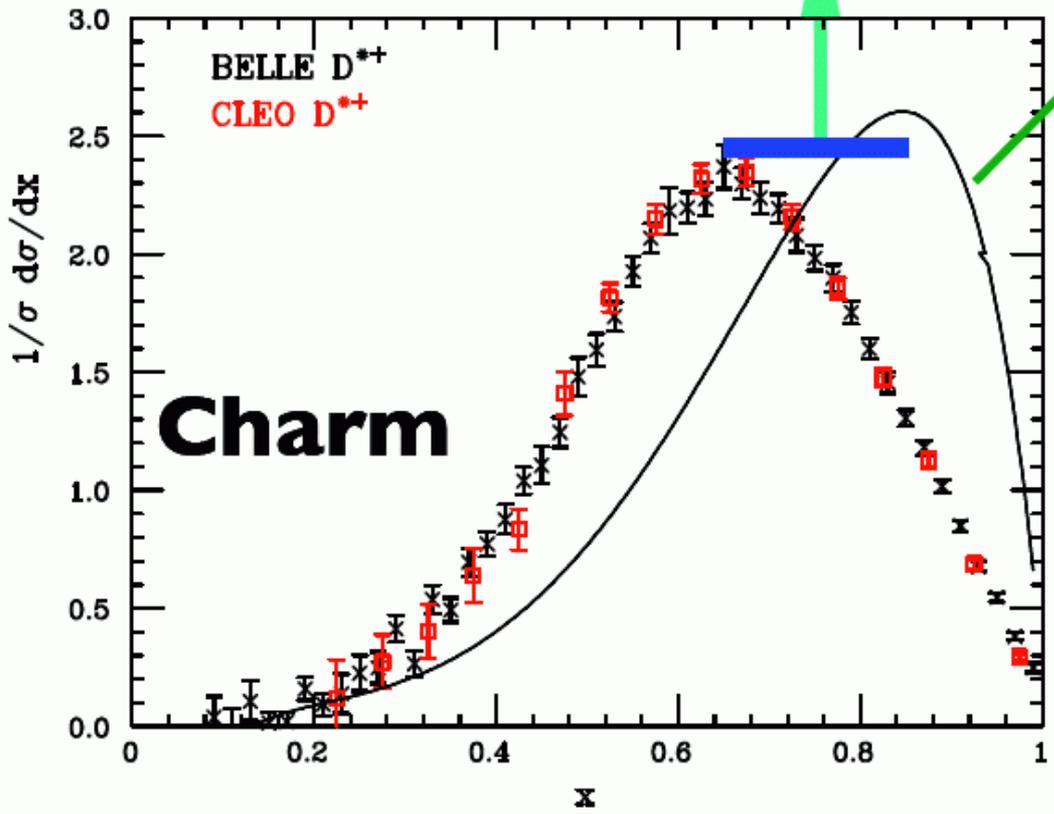
$$e^+ e^- \rightarrow QX \rightarrow H_Q X$$

non-perturbative contribution

$O(\Lambda/m_{\text{charm}})$

$O(\Lambda/m_{\text{bottom}})$

pQCD



Spectrum of heavy hadrons is rather hard ...

Fragmentation functions for light and heavy quarks 4

Peterson et al.: $D_Q^H(z) \propto \frac{1}{z[1 - (1/z) - \varepsilon/(1 - z)]^2}$

Kartvelishvili et al.: $D_Q^H(z) \propto z^\alpha(1 - z)$

All models use fragmentation functions tuned to e+e- data!

⇒ interesting to check, how well this approach works in ep

Fragmentation - a bit of terminology

Terminology in the field is very confusing!

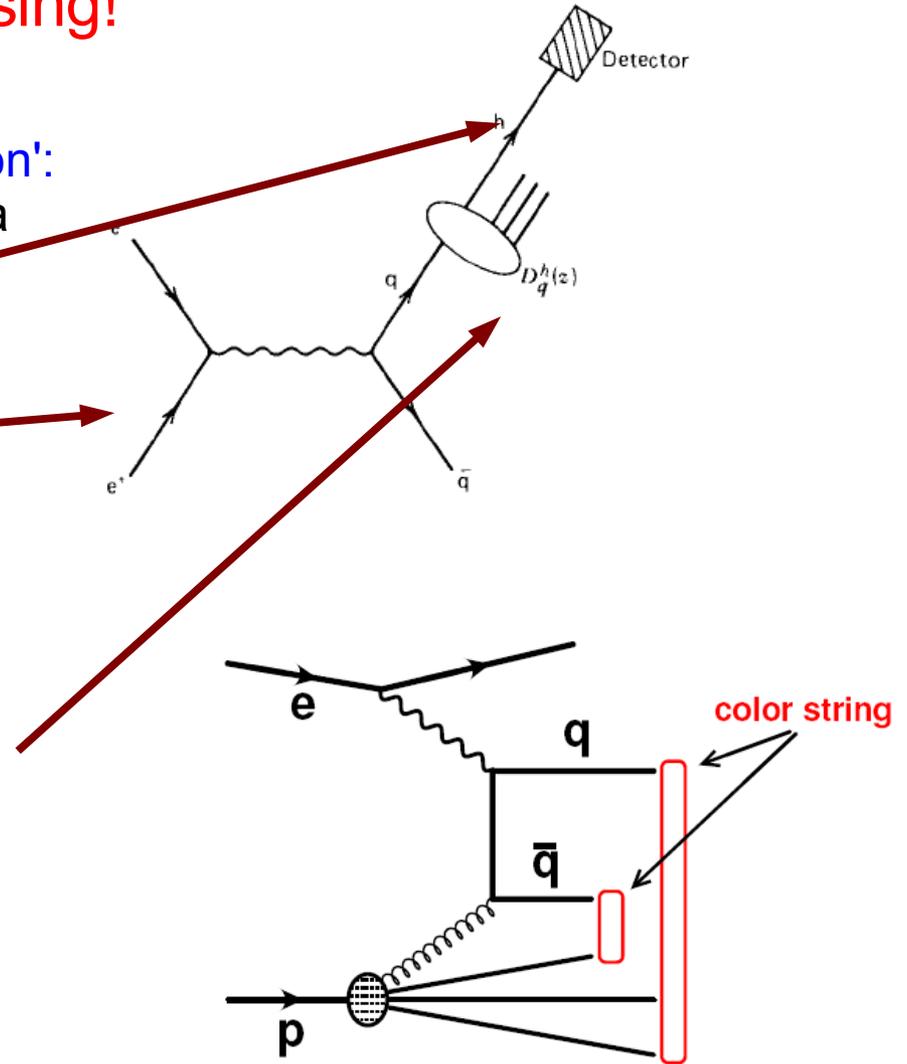
In e^+e^- two things are called 'fragmentation function':

1. differential cross section of heavy hadron as a function of the scaling variable z :

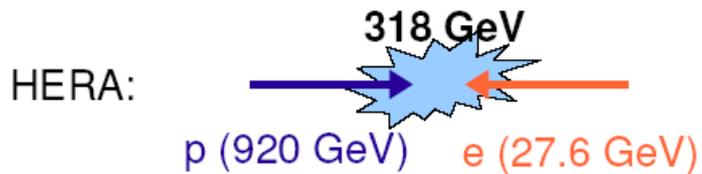
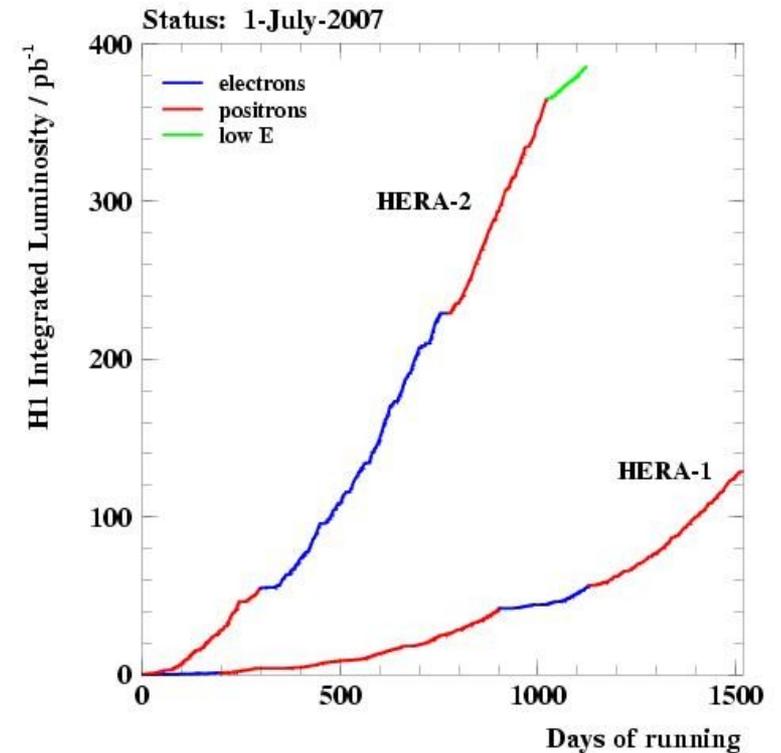
$$Z = E_h / E_{\text{beam}}$$

2. a function that is used (in a given model) to describe momentum transfer from parton to hadron

When I speak about FF, I always mean the function used (in a given model) to describe the transition from partons to hadrons



ep physics at HERA collider



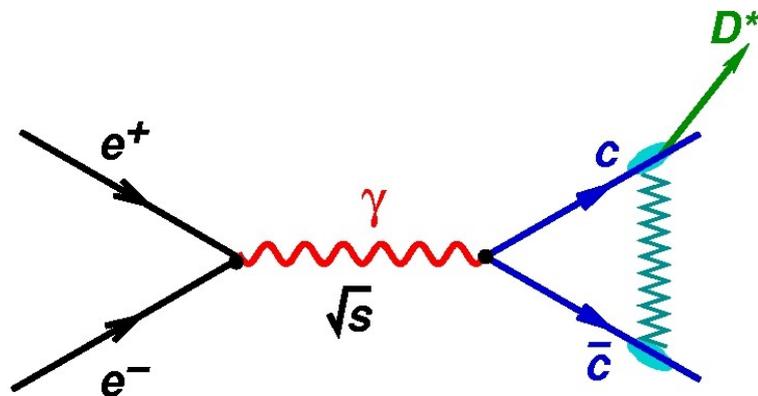
HERA I+II data:
Luminosity $\approx 0.5 \text{ fb}^{-1}$

Fragmentation in e^+e^- and ep

e^+e^- collisions

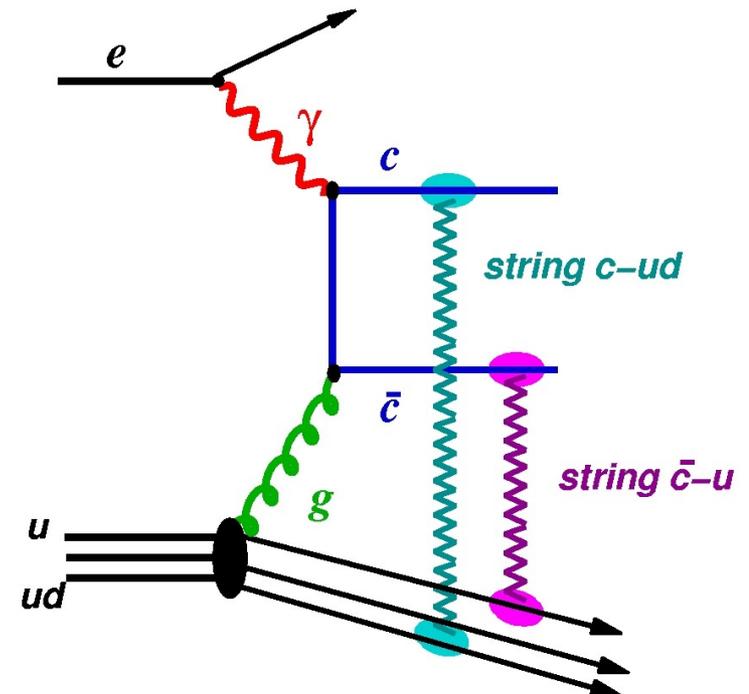
- ▶ natural choice:

$$z = E_{D^*} / (1/2\sqrt{s}) = E_{D^*} / E_{\text{BEAM}}$$
- ▶ in LO approximation $E_{\text{BEAM}} = E_c$
 $\Rightarrow z$ corresponds to direct measurement of FF



ep collisions

- ▶ \sqrt{s} of hard subprocess unknown
 \Rightarrow choice of observable not obvious
- ▶ differences: presence of IPS
 different color flow



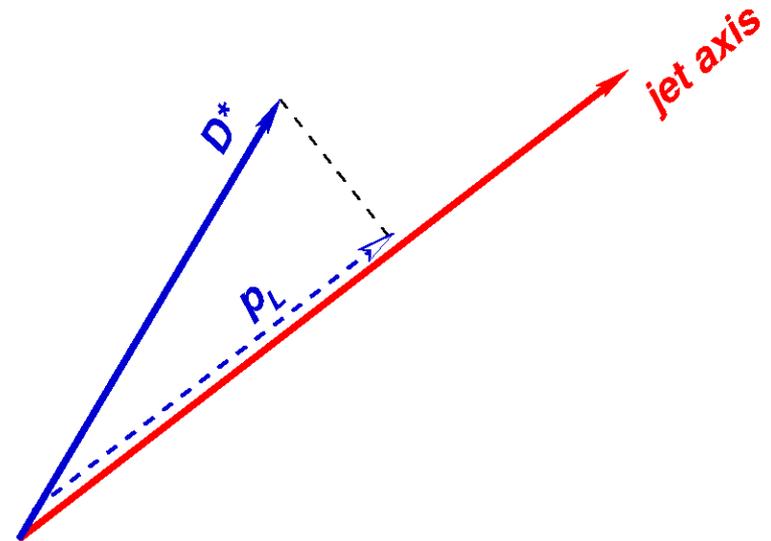
Observables for ep: Jet observable

Jet method:

- ▷ momentum of c -quark approximated by momentum of rec. D^* -jet

$$z_{\text{jet}} = \frac{(E + p_L)_{D^*}}{(E + p)_{\text{jet}}}$$

- ▷ k_{\perp} -clus jet algorithm applied in γp -frame ($E_t(D^* \text{ jet}) > 3 \text{ GeV}$)



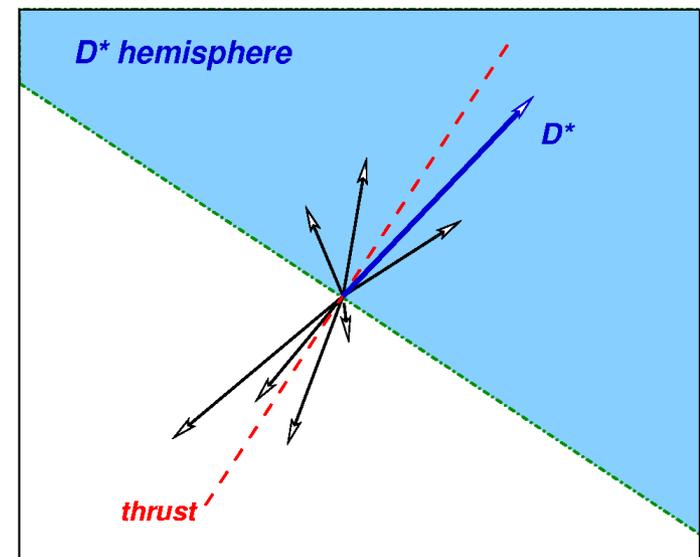
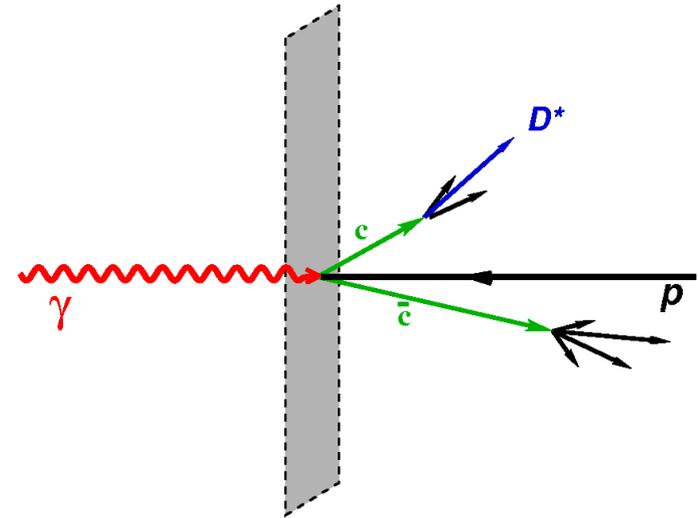
Observables for ep: Hemisphere observable

Hemisphere method:

- ▷ momentum of c -quark approximated by momentum of rec. D^* -hemisphere

$$Z_{\text{hem}} = \frac{(E + p_L)_{D^*}}{\sum_{\text{hem}} (E + p)_i}$$

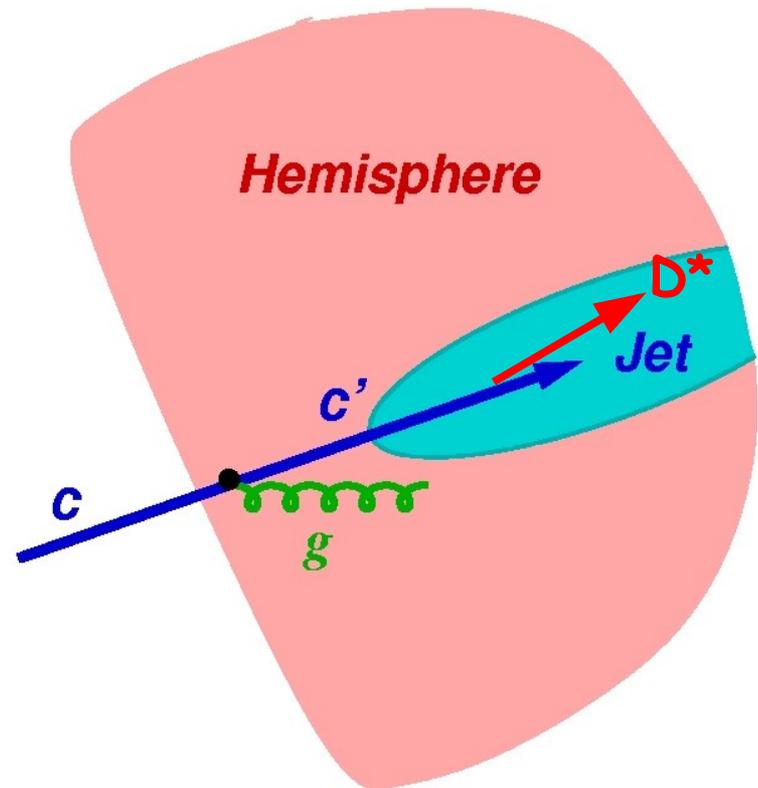
- ▷ $\eta(\text{part}) > 0$ for p -remnant suppression
- ▷ thrust axis in plane perpendicular to γ used for hemisphere division



Comparison of Observables

Hemisphere Method:

- ▶ Sums more gluon radiation than jet method
- ▶ May have different sensitivity to the hadronization process



Interesting to measure both $d\sigma/z_{hem}$ and $d\sigma/z_{jet}$ because:

- ▶ Allows to test understanding of parton radiation
- ▶ Both distributions should look differently, but extracted non-pert. FF should be the same if model is perfect

Event Selection

Golden channel: $D^* \rightarrow D^0 \pi_s \rightarrow K \pi \pi_s$

▶ 99+2000 data (47 pb^{-1})

▶ **DIS cuts:**

$$2 < Q^2 < 100 \text{ GeV}^2$$
$$0.05 < y_e < 0.7$$

▶ **D^* cuts:**

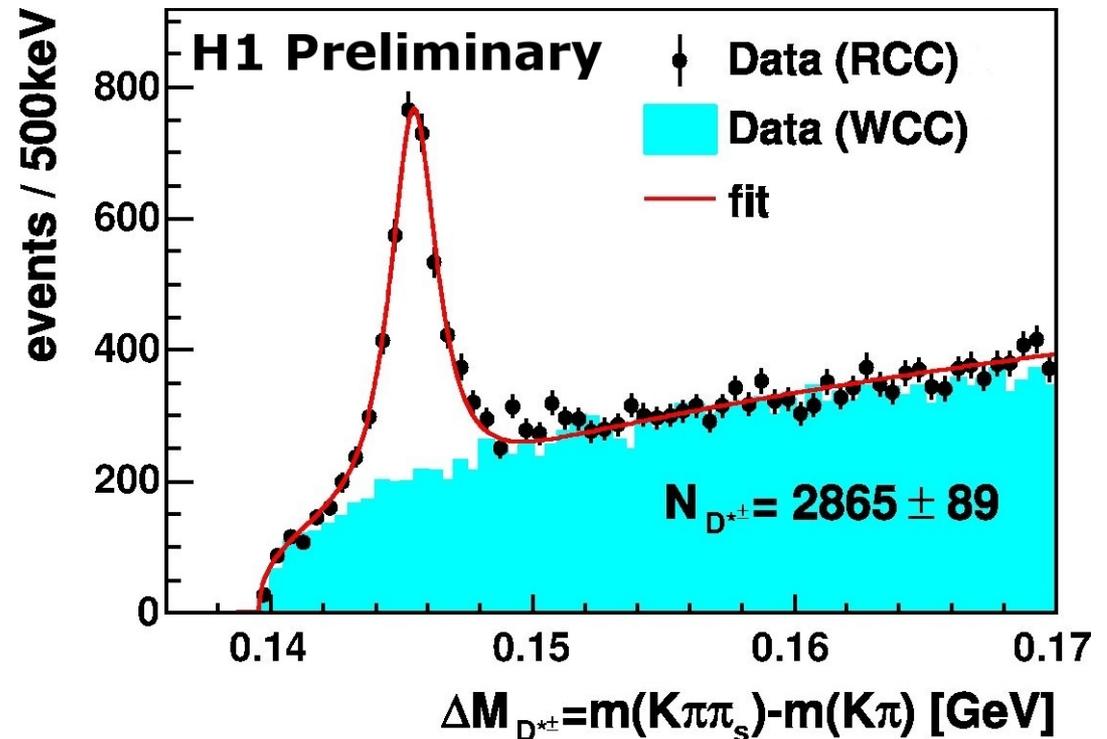
$$|\eta(D^*)| < 1.5$$
$$1.5 < P_T(D^*) < 15 \text{ GeV}$$

▶ **Jet cut:**

$$E_T(D^* \text{jet}) > 3 \text{ GeV}$$

▶ after E_T jet cut

$$N(D^*) \approx 1500$$



Charm tagging - D^*

Golden channel:

$$D^* \rightarrow D^0 \pi_s \rightarrow K \pi \pi_s$$

Mass of D^* very close to the mass of D^0 :

- ♦ $m(D^*) = 2.010 \text{ GeV}$
- ♦ $m(D^*) - m(D^0) = 145.5 \text{ MeV}$
- ♦ $(m_{\pi^{+-}} = 139.6 \text{ MeV})$

=> D^0 and pion are almost at rest!

Plotting $m(K\pi\pi) - m(K\pi)$!

- trick used since 70'ties, for example at SPEAR ($\sqrt{s} = 6.8 \text{ GeV}$)
- (Feldman et al., Phys Rev Lett 38(1977)1313)

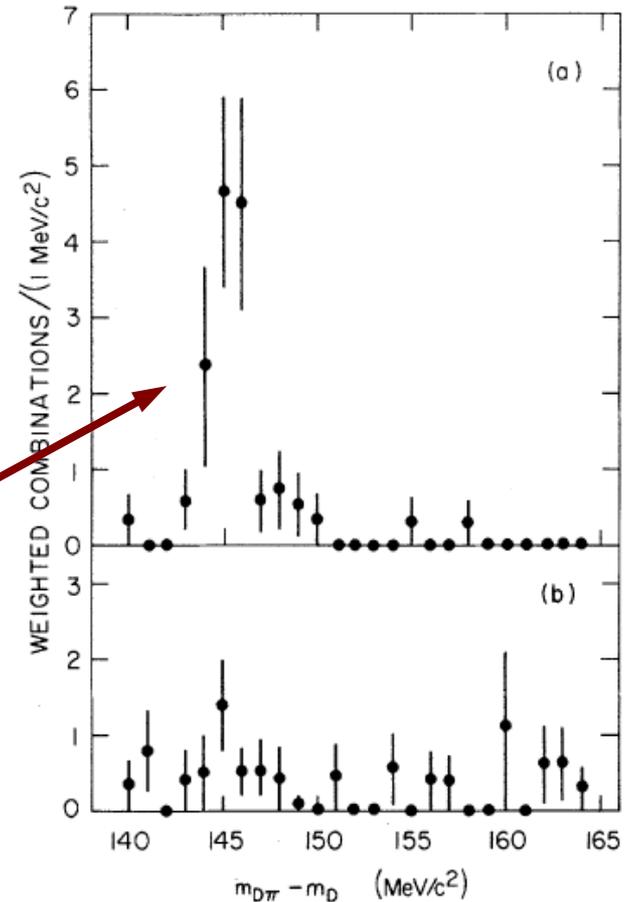
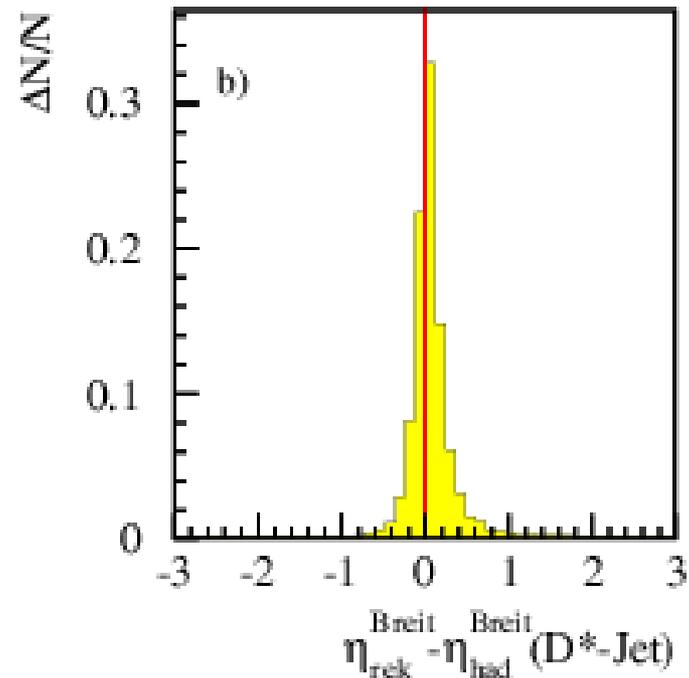
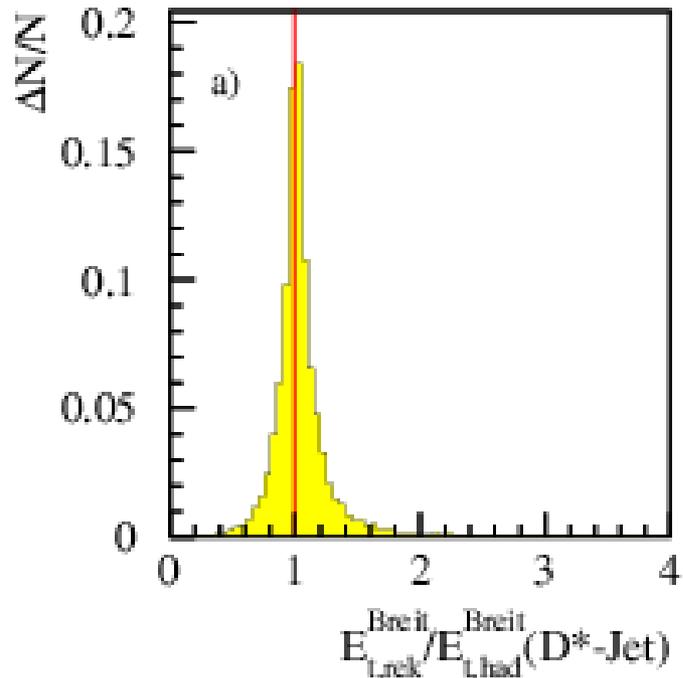


FIG. 2. Weighted $D\pi - D$ mass difference spectra for (a) $D^0\pi^+$ and $\bar{D}^0\pi^-$ (i.e., $K^\mp\pi^\pm\pi^\pm$) combinations and (b) $\bar{D}^0\pi^+$ and $D^0\pi^-$ (i.e., $K^\mp\pi^\pm\pi^\mp$) combinations.

Finding D^* jets



► **Jet cut:**

$$E_T(D^*jet) > 3\text{GeV}$$

Hmmmm...

Modified jet finder:

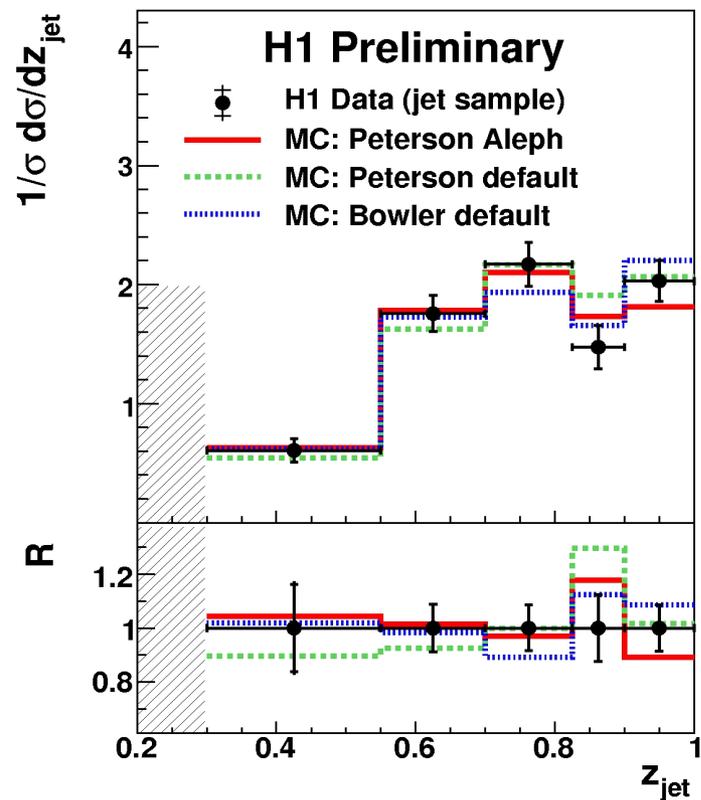
- ◆ inclusive kT algorithm
- ◆ treating $D^*=K$ as one particle
- ◆ quite a good correlation with 'truth' down to low ET

Correction Procedure

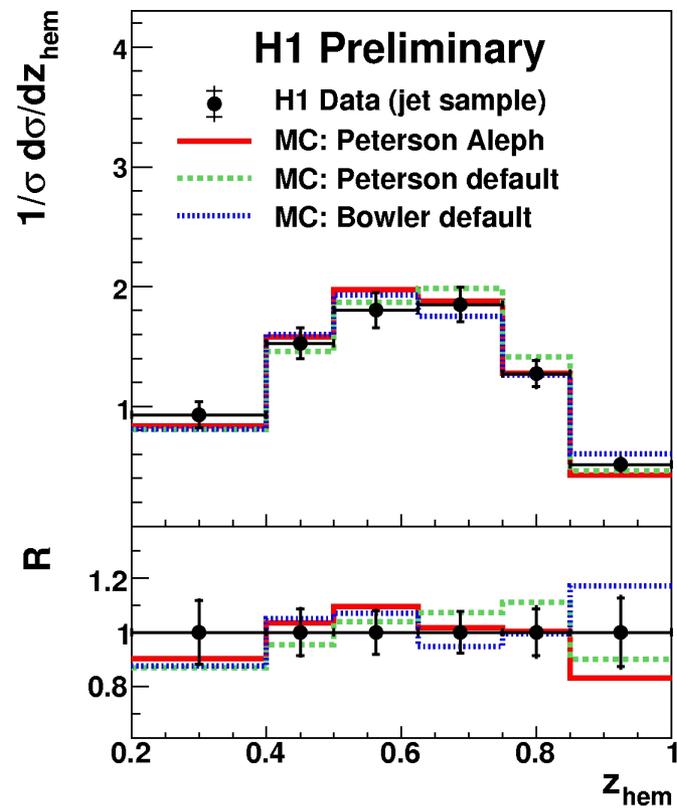
- ▶ **Subtraction of the beauty contribution to D^* production**
 - using bb RAPGAP MC prediction (fraction below 2%)
- ▶ **Correcting for detector effects**
 - regularized unfolding procedure applied, migrations from one bin into another one taken into account by detector response matrix
- ▶ **QED radiative corrections**
 - calculated by RAPGAP/HERACLES

Frag. Observable Distributions

Jet method



Hemisphere method



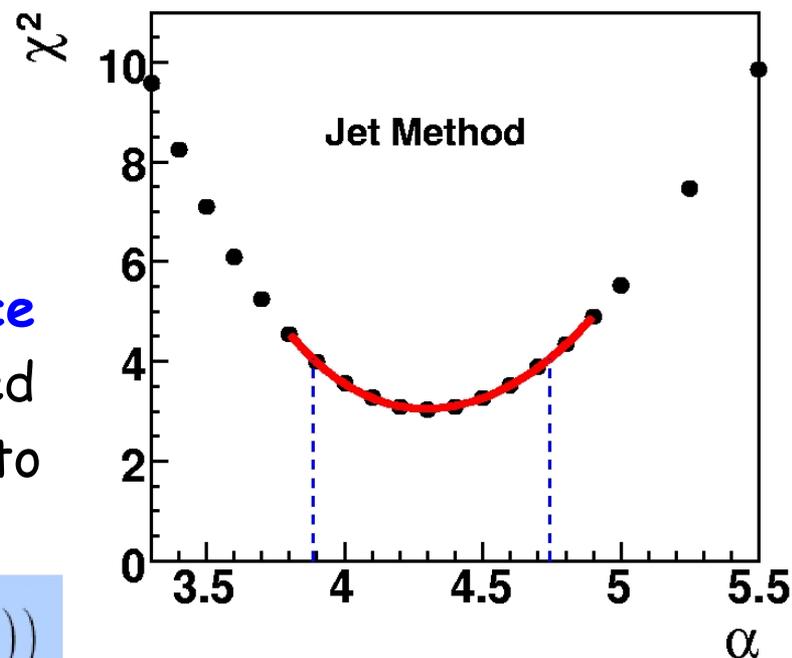
► Reasonable (but not optimal) description of the data by models

FF Extraction Procedure

Non-pert. Frag. function defined only within given theoretical model:

- ▶ **LO+PS Monte Carlo models** **RAPGAP** and **CASCADE** with Lund string fragmentation model as implemented in PYTHIA (default setting, Aleph setting)
- ▶ **NLO calculations** (HVQDIS)
- ▶ **Fitted parametrizations of non-pert. FF:** Kartvelishvili, Peterson
- ▶ **optimal parameters and confidence limits obtained from χ^2** (correlated statistical and sys. errors taken into account)

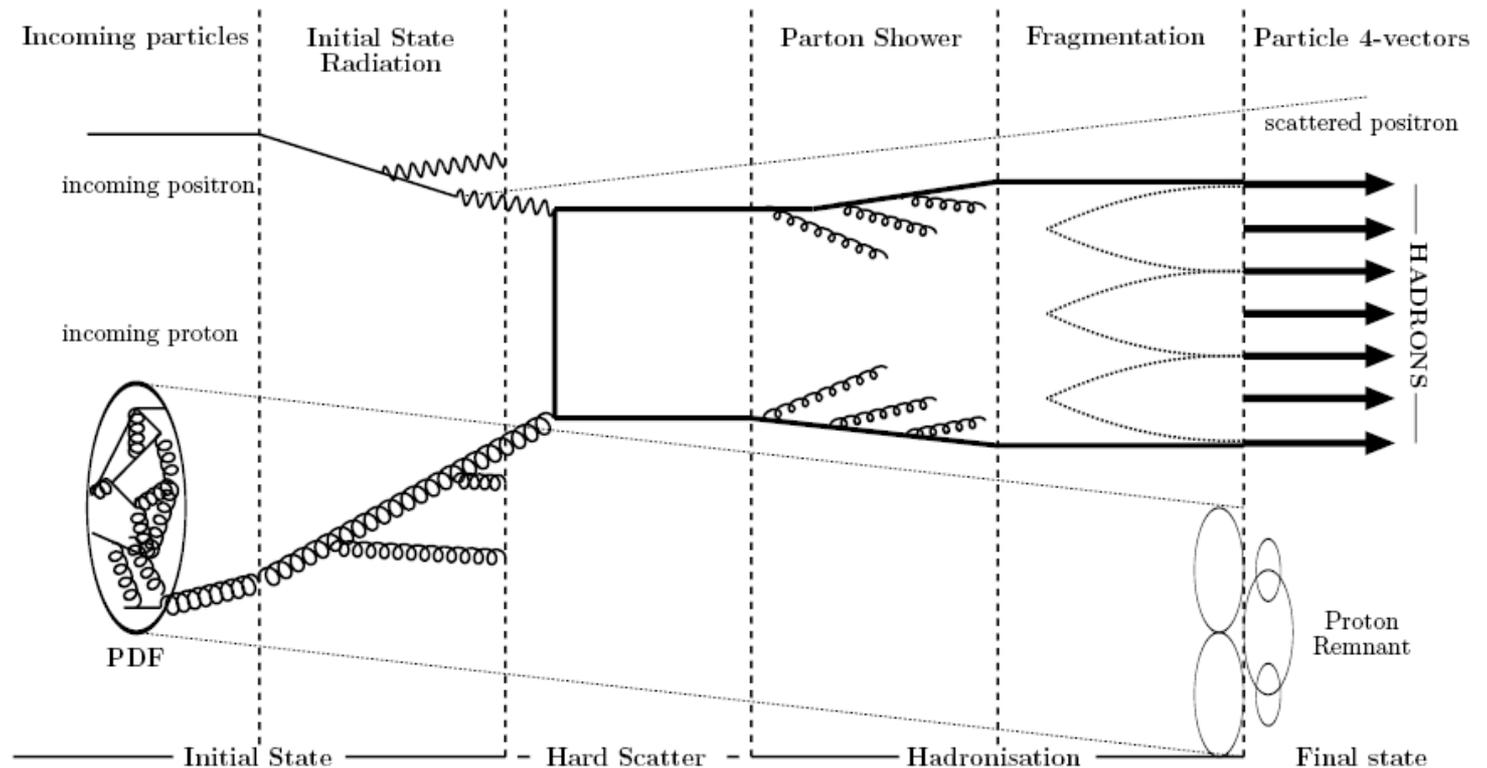
$$\chi^2(\boldsymbol{\varepsilon}) = (\mathbf{z} - \mathbf{z}^{\text{MC}}(\boldsymbol{\varepsilon}))^T \mathbf{V}^{-1} (\mathbf{z} - \mathbf{z}^{\text{MC}}(\boldsymbol{\varepsilon}))$$



QCD Models I. (Rapgap, Cascade)

Both models:

- ◆ Leading order matrix element
- ◆ parton shower (approximating higher orders in α_s)
- ◆ Lund String fragmentation
- ◆ A lot (really a lot :- () of free parameters



RAPGAP:

- ◆ using DGLAP parton density functions and parton showers
- ◆ (in philosophy very similar to PYTHIA)

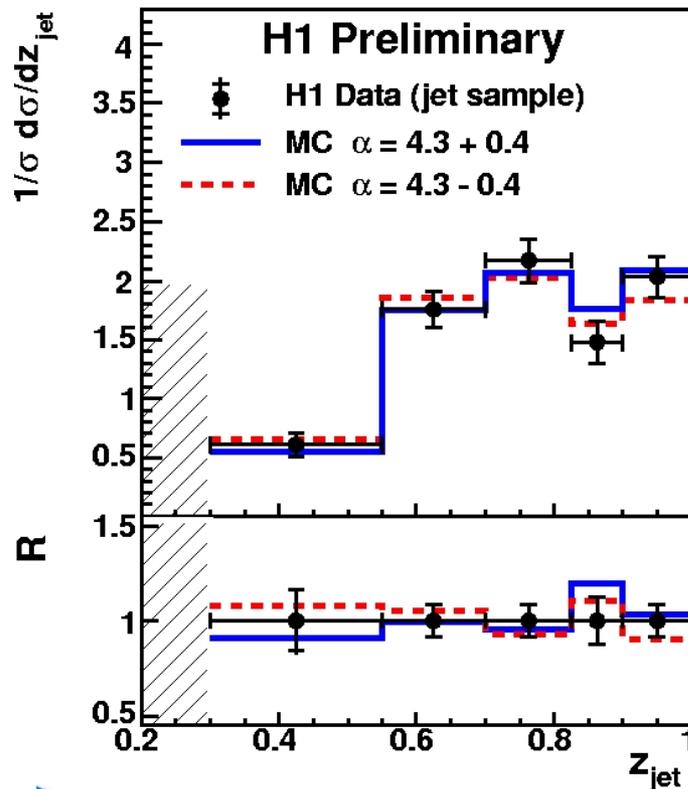
CASCADE:

- ◆ using CCFM parton density functions and parton showers
- ◆ Off-mass-shell LO matrix element
- ◆ Unintegrated parton density function

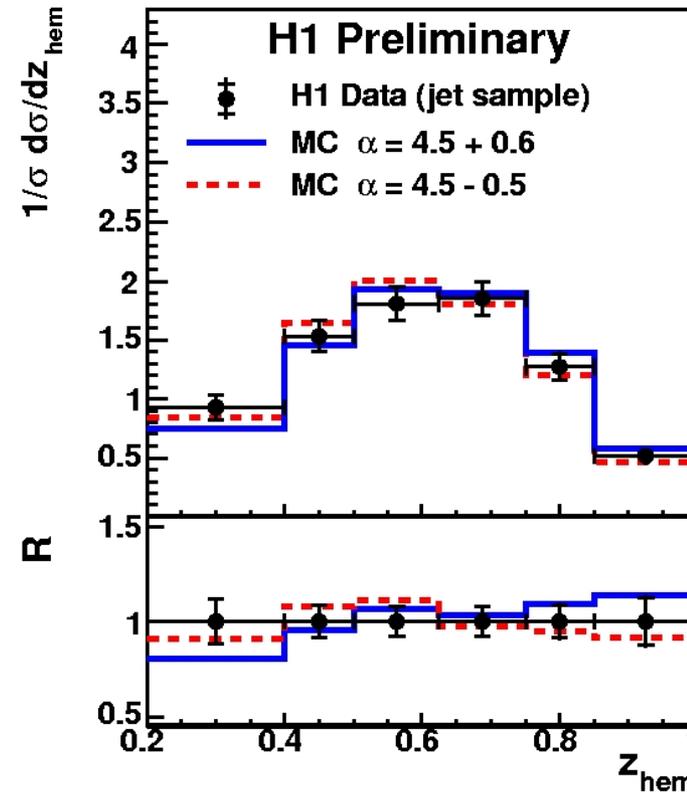
Extracted FF Plots - MC

Rapgap with Aleph setting & Kartvelishvili parametrization:

Jet method



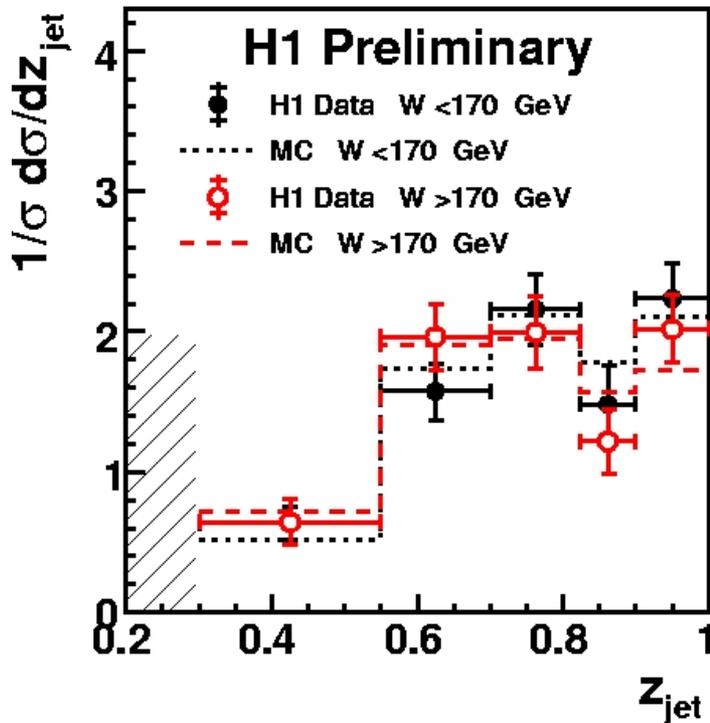
Hemisphere method



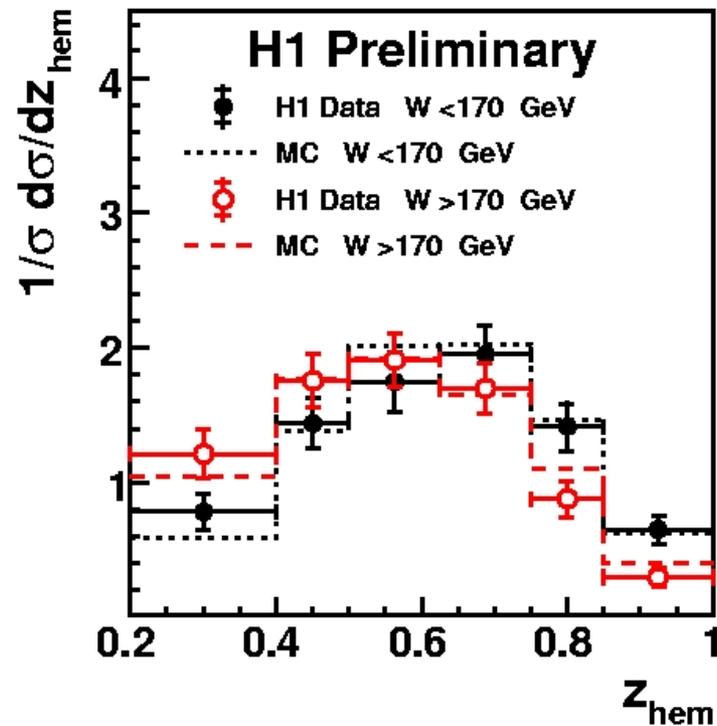
- ▶ both methods (hemisphere and jet) agree well with each other within errors
- ▶ Extracted FF parameter depends on all other free parameters of the model! (f.e. $\alpha = 4.5$ for Aleph setting, $\alpha = 3.3$ for default Pythia setting)

Observables as a function of W

Jet method



Hemisphere method

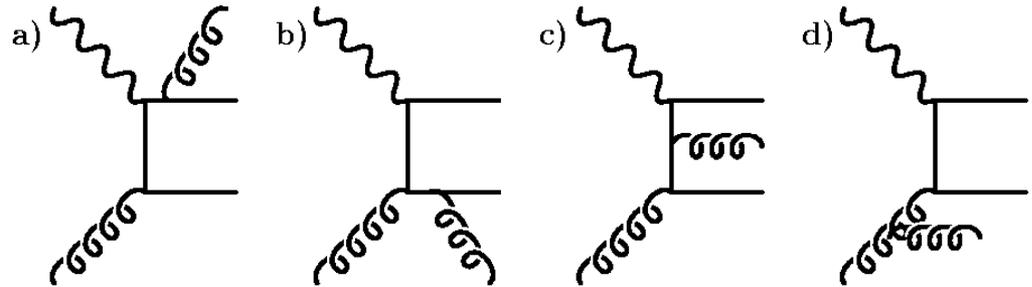


- ▶ z as function of γp cms energy - W
- ▶ MC follows the trend in data
- ▶ z_{hem} includes more gluon radiation than z_{jet} --> scale dependence more pronounced

QCD Models II. (HVQDIS)

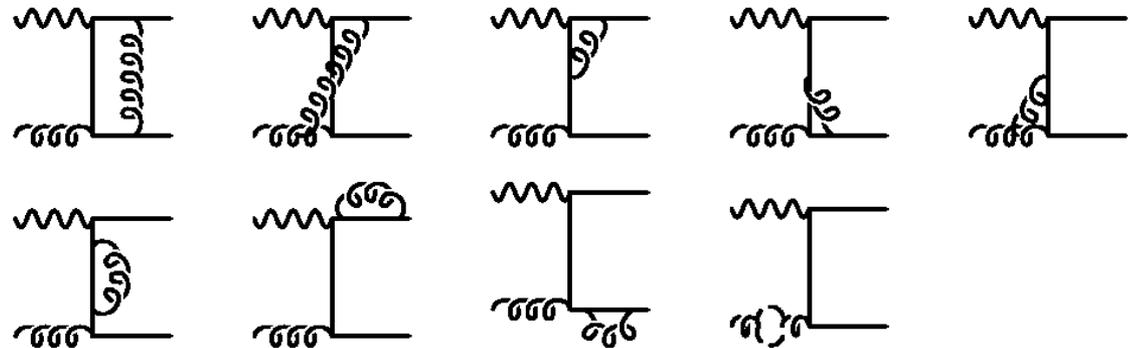
HVQDIS:

- ◆ full NLO calculation
- ◆ not really an event generator (rather a calculation with numerical integration using Monte Carlo method)
- ◆ negative event weights
- ◆ fixed (3) number of flavours
- ◆ results are a configurations of partons



“hand made” fragmentation:

- ◆ c-quarks fragmented in Ψp frame
- ◆ $p_{\perp}(D^*)$ generated according to given
- ◆ parametrization (D^* put on mass shell)

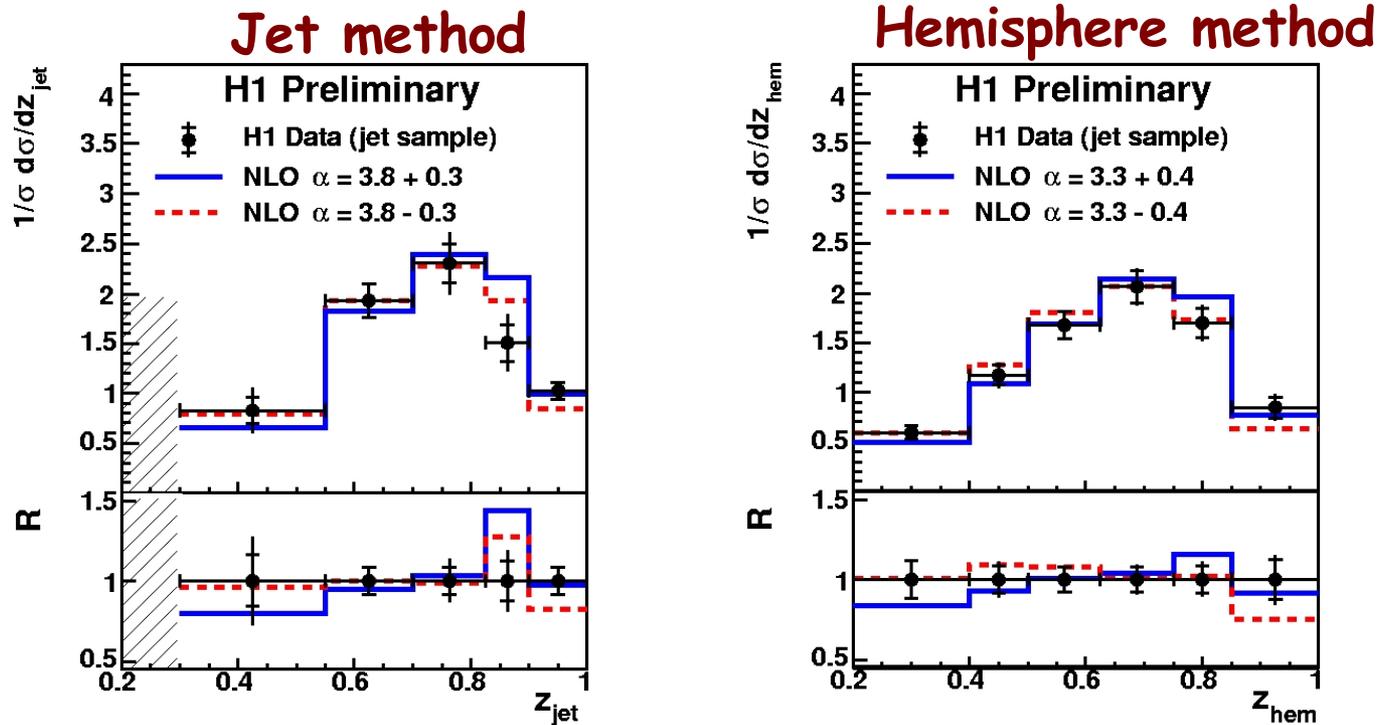


Extracted FF Plots - HVQDIS

HVQDIS: massive NLO calculation

($m_c = 1.5 \text{ GeV}$, $\mu_r = \mu_f = \sqrt{Q^2 + 4m_c^2}$, proton PDF = CTEQ5F3)

- ▶ data corrected to parton level & compared with NLO partonic cross-sections (c-quark fragmented independently in γ^*p -rest frame)



- ▶ Peterson fails to describe the data
- ▶ Reasonable description in case of Kartvelishvili

FF Parameter Fit Results (Summary)

- ▶ It is possible to tune the models so that they describe the data very well
- ▶ extracted Peterson parameter value is in agreement with the ε parameter in the Aleph tuned steering ($\varepsilon=0.04$)

--> Confirms charm fragmentation universality between e^+e^- and ep , if hard scale is involved !

- ▶ And what are the blue points ???

Rap. default

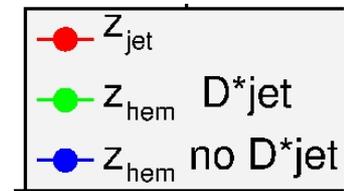
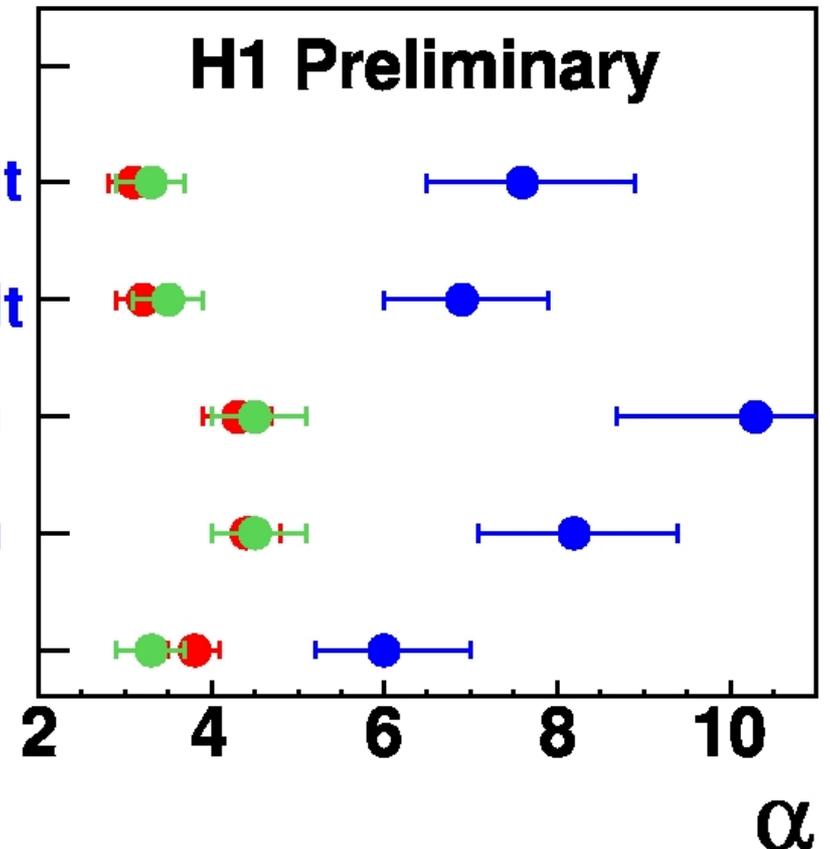
Cas. default

Rap. Aleph

Cas. Aleph

HVQDIS

Kartvelishvili



Investigating the Threshold Region I.

Hemisphere method does not need any "hard" object to be present:

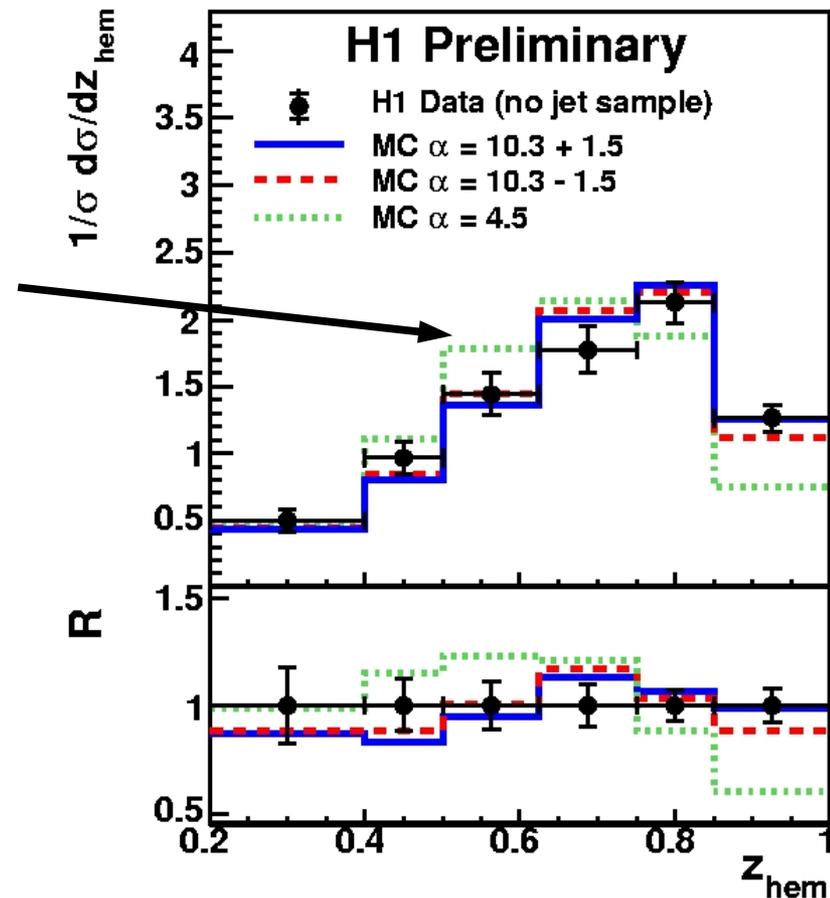
- ▶ events not fulfilling hard scale cut $E_T(D^* \text{jet}) > 3\text{GeV}$
- ▶ ~1300 D^* events, approximately half of our D^* statistics
- ▶ a big fraction of total charm cross section (efficiency is small at low P_T)

=> interesting kinematic region!

Investigating the Threshold Region II.

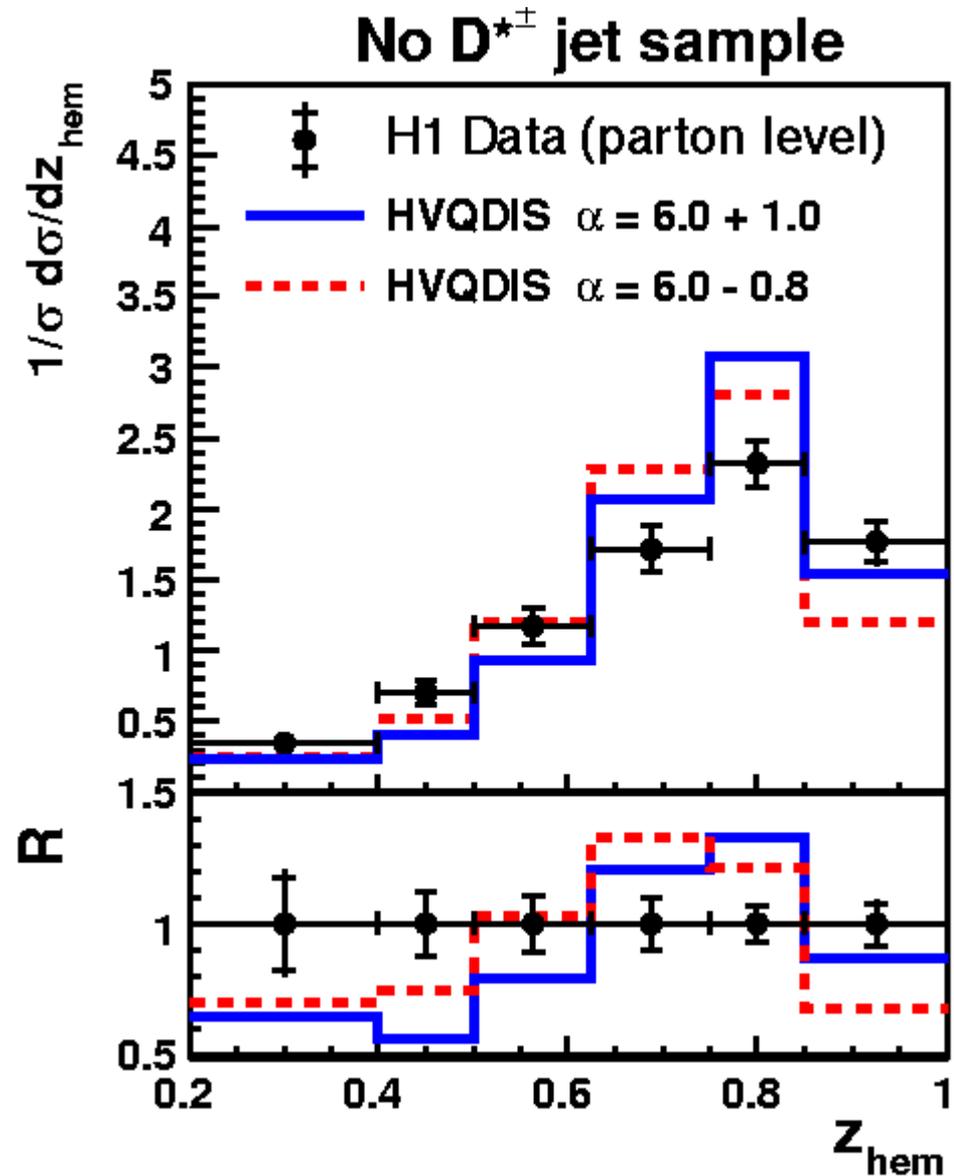
- ▶ extracted FF almost 4σ far from the FF extracted from the nominal sample (spectrum much harder!)
- ▶ discrepancy due to improper description of underlying physics close to the charm production threshold in QCD models
- ▶ NLO (HVQDIS) completely fails to describe the data
- ▶ $(\chi^2_{\text{MIN}}/N_{\text{df}} \approx 40/4)$

Rapgap with Aleph tune and Kartvelishvili FF:



Investigating the Threshold Region III.

- ▶ NLO (HVQDIS) completely fails to describe the data
- ▶ ($\chi^2_{\text{MIN}}/N_{\text{df}} \approx 40/4$)



Global fits of FF's

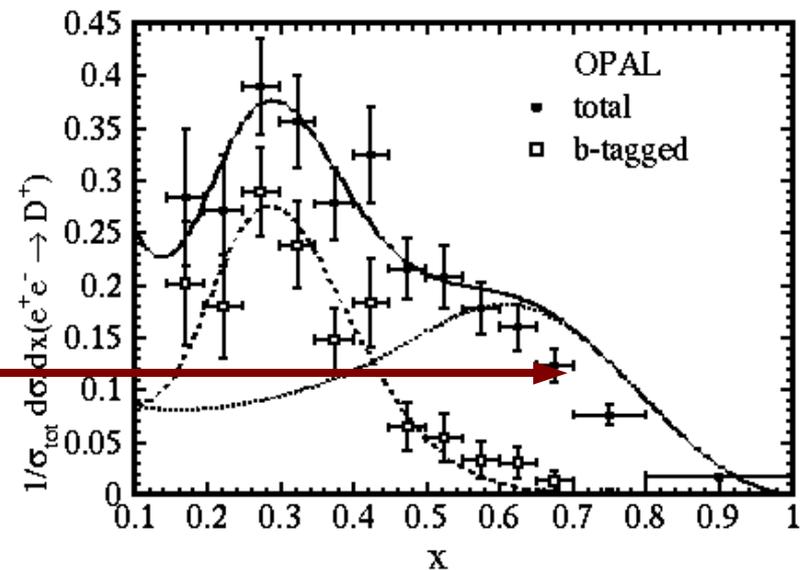
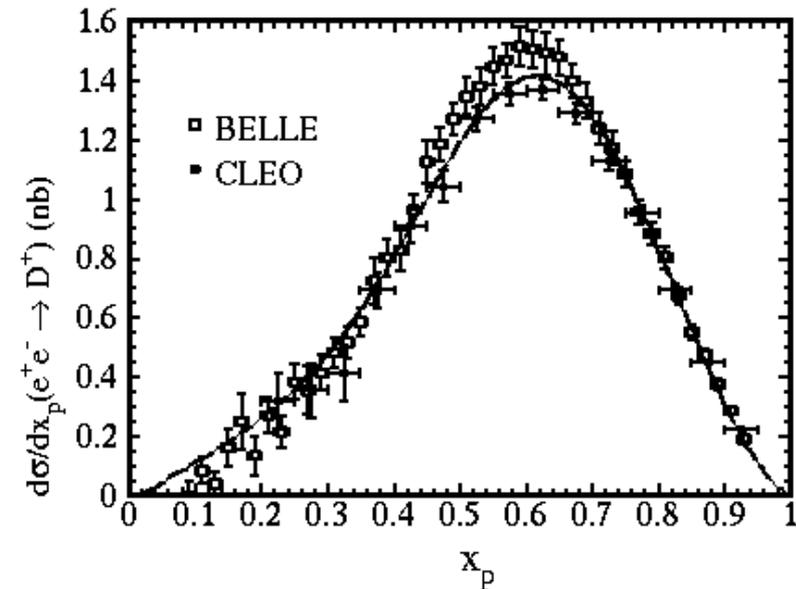
Several groups:

- ♦ trying to do „global fits „ of fragmentation functions to various data
- ♦ similar to the global fits of parton density functions by MRST (MSTW) and CTEQ

Example:

- ♦ B. Kniehl et al (2008): global fit of several precision e+e- experiments:
- ♦ description of low energy experiments (Belle, Cleo, $\sqrt{s} \sim 10$ GeV) very good
- ♦ problem to describe high energy data (LEP, $\sqrt{s} \sim 100$ GeV)

Global fit dominated by low s experiments, there FF seems to be significantly harder that at high \sqrt{s}



Conclusions I

- ▶ **charm fragmentation studied with ep data at H1 experiment:**
 - ▶ two different observable definitions (z_{jet} & z_{hem}) used
 - ▶ reasonable description of data by QCD models
- ▶ **FF parameters extracted for LO+PS MC models and NLO, using Peterson and Kartvelishvili parametrizations:**
 - ▶ both FF observables lead to consistent parameter values
 - ▶ ep FF parameters consistent with e^+e^- FF parameters
--> **FF universality!**
- ▶ **Investigating threshold region with z_{hem} :**
 - ▶ Needs different FF than basic sample
 - ▶ NLO (HVQDIS) fails completely
- ▶ **We don't get a consistent picture of charm fragmentation over full phase space**

Conclusions II

Need more input from both theory and experiment!

Backup slides

RAPGAP with PYTHIA		hemisphere observable	jet observable
parameter settings	fragmentation function	($\chi^2/n.d.f.$)	($\chi^2/n.d.f.$)
Aleph	Peterson $\varepsilon = 0.04$	6.0/5	4.3/4
default	Peterson $\varepsilon = 0.05$	6.1/5	6.0/4
default	Bowler $a = 0.3, b = 0.58$	5.6/5	3.5/4

Data compared with default MC models